1	Evaluation and Comparison of Himawari-8 L2 V1.0, V2.1 and MODIS C6.1
2	aerosol products over Asia and the Oceania regions
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### Abstract

Himawari-8 aerosol products are useful for forecasting weather, environmental 24 monitoring, and climate change research. In 2018, after receiving much attention from 25 researchers, the Himawari-8 Level2 (L2) aerosol retrieval algorithm was updated 26 from Version 1.0 (V1.0) to Version 2.1 (V2.1). Although previous studies have 27 examined the accuracy of Himawari-8 aerosol products, only a few studies have 28 evaluated and compared the V1.0 and V2.1 algorithms or described how the 29 30 Himawari-8 aerosol products differ from those of MODerate-resolution Imaging Spectrometer (MODIS). In this study, we validated and compared the Himawari-8 L2 31 V1.0 and V2.1 aerosol products at 500 nm using data from all Asian Aerosol Robotic 32 Network (AERONET) sites for the period from 2016 to 2017. Furthermore, a 33 comprehensive comparison between the Himawari-8 L2 V2.1 and MODIS C6.1 AOD 34 (Terra and Aqua) products was conducted. The V1.0 and V2.1 AOD agreed well with 35 AERONET AOD (R: 0.67-0.72) with 44.65% and 49.38% of retrievals fell within the 36 EE, respectively. Meanwhile, V1.0 and V2.1 AOD bias increased with the AOD 37 38 magnitude, but V1.0 AOD tended to underestimate the AOD. The underestimation has now improved in the V2.1 AOD products. Both V1.0 and V2.1 AOD performed better 39 over forests and grasslands than croplands, as well as urban and barren lands and had 40 the best performance in summer, while the worst in spring. Overall, the V2.1 AOD 41 outperformed in term of retrievals falling within the EE compared to V1.0 AOD, but it 42 still has large estimation uncertainties in high aerosol loadings areas and sparsely 43 vegetated areas, which indicating the aerosol algorithm needed to improve in the 44 future. Furthermore, the data quality of V2.1 AE/FMF products significantly 45 improved compared to that of V1.0. Compared to the MODIS C6.1 AOD products, 46 Himawari-8 L2 V2.1 AOD accuracy is slightly lower but demonstrates a similar 47 spatial distribution. 48

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### 53 1. Introduction

Atmospheric aerosols are suspensions of liquid and solid particles in the 54 atmosphere that are generated from a wide range of natural and anthropogenic sources. 55 Atmospheric aerosols significantly affect the global climate system directly by 56 scattering and absorbing incoming solar radiation and indirectly by altering cloud 57 formation and their properties (IPCC, 2013; Levy et al., 2013; Li et al., 2016). 58 59 Moreover, high concentrations of aerosol particles can cause serious environmental problems and threaten public healthy (Butt et al., 2016). Therefore, obtaining accurate 60 aerosol data is vital to climate, atmospheric, and environmental studies. 61

Aerosol optical properties can be monitored using ground-based measurements 62 and satellite-based observations. Ground-based measurement networks, such as 63 Aerosol Robotic Network (AERONET), provide accurate multispectral data of 64 aerosol optical properties, including aerosol optical depth (AOD), Ångström exponent 65 (AE), and fine-mode fraction (FMF), with a high temporal resolution (HOLBEN et al., 66 1998). However, AERONET sites are limited in number and are unevenly distributed 67 across the globe, making it impossible to retrieve information on aerosol properties 68 worldwide. Satellite-based measurements are an increasingly popular method of 69 obtaining aerosol data as it provides large-scale aerosol spatial data that is vital to 70 climate and environmental research (Chu et al., 2003; Paasonen et al., 2013; Remer et 71 al., 2008; Saide et al., 2015; Yan et al., 2017). With the rapid development in satellite 72 73 observation technologies, a series of sensors with high spectral, spatial, and temporal resolutions, as well as multi-angle capabilities, have been launched. These include the 74 75 MODerate Resolution Imaging Spectroradiometer (MODIS), Multi-angle Imaging SpectroRadiometer (MISR), and Visible Infrared Imaging Radiometer Suite (VIIRS). 76 Many sophisticated algorithms for retrieval of information on the AOD have been 77 developed, such as the Dark Target (DT)(Kaufman et al., 1997; Levy et al., 2013), 78 Deep Blue (DB)(Hsu et al., 2013; Hsu et al., 2004), and MISR algorithm (Diner et al., 79 2005). 80

81 The MODIS sensor has proven its superiority by continually providing aerosol products over land and ocean with excellent spatiotemporal resolution. Many studies 82 have evaluated the retrieval accuracy of aerosol products from MODIS(Bilal et al., 83 2017;Gupta et al., 2018; Levy et al., 2010; Sayer et al., 2013; Sayer et al., 2014; Sayer 84 et al., 2015). Evaluation studies on AOD products retrieved using the recently 85 released MODIS Collection 6.1 (C6.1) indicated that the latest C6.1 measurements 86 have the high agreement with those from the AERONET. However, MODIS is 87 88 mounted on a polar-orbiting satellite, which makes it difficult to capture dynamic aerosol characteristics. In contrast, geostationary earth orbits (GEO) satellites hover 89 over a single location with respect to the Earth, providing constant and dynamic 90 monitoring of the Earth with high temporal resolution (Yan et al., 2018). Himawari-8 91 is the next-generation of geostationary meteorological satellites that were launched by 92 the Japan Meteorological Administration (JMA) on October 7, 2014. Himawari-8 93 carries the Advanced Himawari Imager (AHI) onboard and has 16 spectral channels 94 (3 visible, 3 near-infrared and 10 infrared), with visible channel spatial resolutions of 95 96 0.5-1 km and an infrared channel resolution of 2 km (Bessho et al., 2016). Himawari-8 can provide diurnal aerosol properties every 10 minutes for the 97 Asia-Pacific region and every 2.5 minutes for Japan Area and Target Area. 98

Japan Aerospace Exploration Agency (JAXA) released Himawari-8 L2 V1.0 99 aerosol products in December 2016, followed by the updated V2.1 aerosol products in 100 August 2018. Himawari-8 aerosol products provide information critical to monitor 101 regional air pollution (Wang et al., 2017; Zang et al., 2018), dust (Sekiyama et al., 102 2016), and wild fires (Wickramasinghe et al., 2016). Thus, the evaluation of retrieval 103 accuracy and uncertainties in Himawari-8 aerosol products is very important. 104 However, to date, there are still a few studies that address this issue. For example, 105 Wang et al. (2017) evaluated the accuracy of Himawari-8 L3 AOD retrievals in 106 Beijing and found that the Himawari-8 L3 AOD retrievals exhibited high correlations 107  $(R^2: 0.74-0.81)$  and low uncertainty (0.18-0.22) with 54-59% of the retrievals falling 108 109 within the expected error (EE). Zhang et al. (2018) reported that Himawari-8 L2 AOD

in China had a high correlation ( $R^2 = 0.67$ ) with AERONET measurements, and 55% 110 of the AOD retrievals fell within the EE; according to the authors, the accuracy of L2 111 AOD was observed to be highly dependent on seasons and surface land cover types. 112 Yang et al. (2018) found out that Himawari-8 L3 AOD measurements underestimated 113 the actual AOD and performed slightly poorer than MODIS DB and DT in China. 114 However, these studies were mainly focused on particular regions or single countries 115 with insufficient AERONET sites included in the data. Therefore, it is difficult to 116 117 gauge the retrieval accuracy of Himawari-8 aerosol products in Asia and the Oceania region. Further in-depth research is needed on the limitations of retrieval algorithms 118 and factors influencing their retrieval accuracy. In this study, we have 119 comprehensively evaluated the Himawari-8 L2 V1.0 and V2.1 aerosol products from 120 2016 to 2017, allowing a more detailed comparison of the retrieval accuracy of 121 Himawari-8 and MODIS AOD products over Asia. 122

## 123 **2. Data and methods**

124 2.1. Study area

125 The Himawari-8 is located at the equator at 140°E longitude; it has an observation range of 80°E ~ 160°W and 60°S ~ 60°N, covering East Asia and 126 Southeast Asia, the western Pacific Ocean, Oceania. Fig. 1 shows the geographical 127 distribution of the selected 58 AERONET sites in Himawari-8 observation domain. 128 Table S1 provides the detailed information about the AERONET sites. These stations 129 are located in areas that are influenced by different aerosol types. The source of 130 aerosols in mainland Asia and the surrounding oceanic regions are a mix of various 131 types, including anthropogenic aerosols from industry, automobiles or other human 132 133 activities in urban areas, crop residue burning, as well as natural aerosol from mineral 134 dust (Mehta et al., 2016). In the past few decades, with the rapid development of economies and populations in developing countries, such as China and India, the 135 amount of anthropogenic aerosols released into the atmosphere has rapidly increased 136 (Zhang et al., 2012). The sources of aerosols in Australia and the surrounding oceanic 137 regions are mostly based on mineral dust from their arid interiors and smoke from 138



139 biomass burning in the tropical north (Schepanski, 2018).



141 **Fig. 1.** Study area and locations of AERONET sites (red dots). The background image depicts

142 2-year (2016-2017) annual average MODIS NDVI.

143 2.2 Data

144 2.2.1 Himawari-8 aerosol products

Himawari-8 L2 aerosol products include aerosol optical depth at 500 nm, 145 Ångström exponent (AE) and optical depth ratio (FMF) with the temporal and spatial 146 resolutions of 10 min and 5 km, respectively. The Himawari-8 L2 AOD, AE, and FMF 147 (QA=ALL were obtained products and QA=Very good) 148 from JAXA (http://www.eorc.jaxa.jp/ptree) from January 2016 to December 2017 over the study 149 area (from 00:00 to 09:50 Coordinated Universal Time, UTC) (Table 1). 150

151 There are three algorithms used in the Himawari-8 L2 aerosol product, including V1.0, V2.0 and V2.1. For aerosol model of V1.0 algorithm, aerosol type is assumed to 152 be Asian dust and aerosol particles are assumed to be spheroidal and randomly 153 oriented (Mano et al. 2009). For surface reflectance, land surface reflectance is 154 simulated using the near-infrared band (2300 nm), while the ocean surface is 155 simulated using multiple facets whose slopes vary with wind speed over the ocean 156 (Cox and Munk 1954). As compared to the V1.0 algorithm, the V2.1 algorithm has 157 158 major improvements in aerosol models, surface reflectance estimation, and maximum AOD output. For the aerosol model in the V2.1 algorithm, the fine aerosol model 159 parameters were set according to the average properties of fine models numbered 1-6 160 as defined by Omar et al. (2005), while the pure marine aerosol model parameters 161 were set according to the model defined by Sayer et al. (2012). Both models were 162 assumed to be spherical. The dust aerosol model parameters were set according to the 163 coarse model by Omar et al. (2005) and was assumed to be a non-spherical. For the 164 surface reflectance estimation, the V2.1 algorithm was used with the Rayleigh 165 166 scattering-corrected reflectance for each band that had the second lowest reflectance at 470 nm in one month as the surface reflectance. When the surface reflectance value 167 at 470 nm was higher than at 640 nm, it was estimated using the improved Kaufman 168 method (Fukuda et al., 2013). In addition, the V2.1 algorithm improved the 169 implementation of the iteration of optical estimation and expanded the AOD range 170 from 2.0 to 5.0. 171

172 2.2.2 MODIS AOD products

The MODIS instrument onboard the Terra and Aqua satellites of the Earth Observing System (EOS) is operated by the National Aeronautics and Space Administration (NASA) (Salomonson et al., 1989). The Terra satellite passes the equator at about 02:30 (UTC), while Aqua passes the equator at about 05:30 (UTC). The MODIS AOD products provide a consistent record of global aerosol information. In this study, the DB 10 km AOD (Scientific Data Set (SDS): Deep Blue Aerosol Optical Depth 550 Land Best Estimate) (QA $\geq$ 2 for land), DT 10 km AOD (SDS: Optical Depth Land And Ocean) (QA=3), and DT 3 km AOD (SDS: Optical Depth Land And Ocean) (QA=3) of MODIS Collection 6.1 Terra and Aqua were used to compare the accuracy and regional distribution of Himawari-8 AOD products over Asia (Table 1). These products can be downloaded from the NASA DACC website (https://ladsweb.modaps.eosdis.nasa.gov).

185 2.2.3 AERONET data

AERONET provides globally distributed observations of spectral columnar AOD 186 187 with low uncertainty (0.01-0.02) and high temporal resolution (every 15 min) and is commonly used to evaluate satellite AOD products (Eck et al., 1999). In this study, 188 AERONET version 2 data were collected from 58 AERONET sites over the study 189 area for validation purposes. Because the AERONET quality assurance level of 2.0 190 was not achievable during all periods, level 2.0 spectral deconvolution algorithm 191 (SDA) data were used, when available. Level 1.5 SDA data were used at all other 192 times. More detailed information regarding the AERONET data is given in 193 Supplementary Table S1. 194

195 2.2.4 Vegetation indices, DEM, and land cover data

Surface type is the most important factor affecting the satellite-based aerosol 196 retrieval accuracy. In this study, the MODIS Land Cover Type product (MCD12Q1), 197 the MODIS vegetation index product (MOD13A3), and the Shuttle Radar Topography 198 Mission (SRTM) 90-m digital elevation model (DEM) data were used to explore their 199 relationship with errors observed in the Himawari-8 AOD products. The MCD12Q1 200 provided information on the annual global land cover based on five global land cover 201 202 classification (Friedl al.. 2010) systems et (https://e4ftl01.cr.usgs.gov/MOTA/MCD12Q1.006/), and the MOD13A3 provided 203 global vegetation index data with 1-km resolution 204 (https://e4ftl01.cr.usgs.gov/MOLT/MOD13A3.006/). The SRTM 90-m resolution 205 DEM provides digital elevation data for more than 80% of the world between 60°N 206 207 and 56°S (http://srtm.csi.cgiar.org/ Index.asp).

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211	Table 1. Summary of datasets used in this study		
Instrument/Product	SDS name	Resolution	Coverage
Himawari-8 L2 Version1.0 Himawari-8 L2 Version2.1	Aerosol optical thickness; Ångström exponent; Optical Depth Ratio (FMF)	10 min, 5 km; 00:00-09:50 (UTC)	80°E ~ 160°W 60°S ~ 60°N
MOD04_L2	Deep_Blue_Aerosol_Optical_Depth_550_Land_Best_Estimate	Daily, 10 km;	
MYD04_L2	Optical_Depth_Land_And_Ocean	02:30/05:30 (UTC)	
MOD04_3K	Ortical Darth Land And Ocean	Daily, 3 km;	
MYD04_3K	Optical_Deptn_Land_And_Ocean	02:30/05:30 (UTC)	
MOD13A3	1 km monthly NDVI	Monthly, 1 km	Global
MCD12Q1	Land Cover Type Yearly L3 Global 500 m SIN Grid	Year, 0.5 km	Globul
AERONET	Aerosol Optical Depth (V2) ; AE ( $\alpha$ ) ; FMF	15 min, Site	-
SRTM	90 m Digital Elevation Data	Year, 90 m	

# 212 2.3 Data processing and analytical methods

To match satellite data with AERONET observations, wavelength interpolation 213 and spatial-temporal matching processing are required. Himawari-8 AOD products 214 were directly compared with the AERONET AOD data at 500 nm. MODIS AOD 215 retrievals at 550 nm from both Terra and Aqua satellites were evaluated in this study. 216 Since AERONET AOD products do not include the 550-nm channel, to compare with 217 MODIS AOD retrievals, first the AE ( $\alpha$ ) was calculated based on AOD wavelengths 218 of 440 and 675 nm (Eq. (1)). Moving on, AERONET AOD was obtained at 550 nm 219 by interpolating the AERONET data with AE (Eq. (2)). 220

221 
$$\alpha = -\frac{\log \frac{\tau_1}{\tau_2}}{\log \frac{\lambda_1}{\lambda_2}}$$
(1)

222 Where  $\lambda_1$  and  $\lambda_2$  are different wavelengths (440 and 675 nm), and  $\tau_1$  and  $\tau_2$  are the 223 AOD at  $\lambda_1$  and  $\lambda_2$ .

224 
$$\tau_{\lambda} = \beta \lambda^{-\alpha}$$
 (2)

225 Where  $\lambda$  is the wavelength,  $\tau_{\lambda}$  represents the AOD at wavelength  $\lambda$ ,  $\alpha$  is the 226 wavelength exponent; and  $\beta$  is turbidity coefficient. 227 Previous validation studies of satellite AOD products generally consider spatial window sizes of  $3 \times 3$  and  $5 \times 5$  centered at each AERONET site(Gupta et al., 2018; 228 Nichol & Bilal, 2016; Xiao et al., 2015), which can increase the number of matched 229 AODs for those sites without long-term measurements. However, the large window 230 size may introduce unexpected errors due to topographic or aerosol type heterogeneity 231 (Yong et al., 2011). In this study, to focus on the fine-scale AOD, the single satellite 232 pixel closest to the AERONET coordinates was used; this validation method is the 233 234 same as that used for the MAIAC AOD validation described by Emili et al. (2011). Then AERONET AOD averaged within ±30 min of the MODIS overpass were 235 extracted for matching MODIS C6.1 AOD products and its averaged values for ±5 236 min of the Himawari-8 overpass were extracted for matching Himawari-8 L2 AOD 237 products. 238

To quantitatively evaluate the accuracy of different AOD products, several statistical techniques were used. Pearson correlation coefficient was used to analyze the correlation between retrievals and measured values. The root mean squared error (RMSE, Eq. (3)), mean absolute error (MAE, Eq. (4)), relative mean bias (RMB, Eq. (5)), and Mean bias (Eq. (6)) (Mhawish et al., 2017) were used to evaluate the uncertainty in aerosol retrievals. Furthermore, the expected error (EE, Eq. (7)) have been used to evaluate the retrieval accuracy (Chu et al., 2002).

246 
$$\operatorname{RMSE} = \sqrt{\frac{1}{n} \sum_{i=1}^{n} \left( AOD_{(Satellite)i} - AOD_{(AERONET)i} \right)^2}$$
(3)

247 
$$MAE = \frac{1}{n} \sum_{i=1}^{n} |AOD_{(Satellite)i} - AOD_{(AERONET)i}|$$
(4)

248 RMB = 
$$\frac{1}{n} \sum_{i=1}^{n} |AOD_{(Satellite)i} / AOD_{(AERONET)i}|$$
 (5)

249 Mean bias 
$$= \frac{1}{n} \sum_{i=1}^{n} (AOD_{(Satellite)i} - AOD_{(AERONET)i})$$
 (6)

250 
$$EE = \pm (0.05 + 0.15AOD_{AERONET})$$
 (7)

- 251 **3. Results**
- 252 3.1 Evaluation of Himawari-8 L2 AOD retrieval accuracy against AERONET
- 253 3.1.1 Overall accuracy of V1.0 and V2.1 AOD products
- To evaluate the performance of the Himawari-8 L2 AOD retrievals, a total of

76,362 pairs of Himawari-8 and AERONET AOD retrievals at 500 nm were obtained 255 from 58 stations from 2016 to 2017. Both V1.0 and V2.1 AOD represented high 256 agreement with AERONET AOD (R: 0.67-0.72). There were 44.65% of retrievals 257 from V1.0 AOD that fell within the EE, and 44.65% (11.70%) were underestimated 258 (overestimated) (Fig. 2(a)). For V2.1 AOD products, 49.38% of the measurements 259 were within the envelopes of EE and 24.17% (26.45%) were underestimated 260 (overestimated) (Fig. 2(b)). The V1.0 AOD appeared to have comparatively lower 261 RMSE (0.26) and MAE (0.17), compared to the V2.1 AOD (R: 0.67, RMSE: 0.37, 262 MAE: 0.19). Fig. 3(a) and (b) illustrate the difference between V1.0 AOD and V2.1 263 AOD against AERONET AOD, respectively. V1.0 and V2.1 AOD showed relatively 264 265 small bias, when the AOD was lower than 0.3. When the AOD was greater than 0.4, the bias increased with the AOD magnitude. For V1.0 AOD, the AOD showed a 266 negative bias mostly at low and high AOD values, which indicated that V1.0 AOD 267 retrievals underestimated AOD. The V2.1 AOD had a smaller mean bias (-0.08), 268 which showed about zero mean bias at most AOD values (AOD<1.4) as compared to 269 270 that of V1.0 AOD. Furthermore, according to the standard deviation in AOD bins, the standard deviation for V2.1 AOD increased with the AOD magnitude, while the 271 standard deviation for V1.0 varied less. 272



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Fig. 2. Evaluation of Himawari-8 L2 V1.0 (a) and V2.1 (b) AOD retrievals at 500 nm against AERONET AOD measurements at 500 nm for all stations from 2016 to 2017. Data are sorted into

pairs (AERONET, Himawari-8) of AOD retrievals at 0.05 intervals of AOD. That color represents the number of cases (color bar) with the value of a given pair, i.e., frequency. Linear regression is shown as a solid red line and all the linear relationships are statistically significant at  $\alpha$ =0.01. The dashed lines are the envelopes of the expected error, while the black solid line is the 1:1 line.



Fig. 3. Box plots of Himawari-8 L2 V1.0 (a) and V2.1 AOD (b) errors (satellite - AERONET) at 500 nm versus AERONET AOD. Data are sorted by AERONET AOD at 0.05 intervals of AOD. The one-one line (zero error) is shown as a black dashed line, and the envelopes of the expected error are shown as red dashed lines. For each box-whisker plot, the width is the standard deviation ( $\alpha$ ) of the satellite AOD measurement; height is the interquartile range of the AOD error; the middle line and the red dot are the median and mean bias, respectively.

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Seasonal variations in surface reflectance can affect the quality of aerosol retrievals 287 (Mhawish et al., 2017). Therefore, we evaluated the performance of Himawari-8 L2 288 AOD retrievals in different seasons (Table 2). The number of collocation was 289 observed to vary within seasons with spring having the highest collocation (N: 31201), 290 followed by winter (N: 22618) and summer (N: 12620). Fall appeared to have the 291 lowest collocation (N: 9923). For the V1.0 and V2.1 AOD products, a better 292 293 performance was recorded in summer and fall than that in spring and winter. There was more than 48% of V2.1 AOD within the EE envelops for these two seasons, 294 while more than 42% exhibited this trend for V1.0 AOD. The V1.0 and V2.1 AOD 295 products had a better agreement (R:0.79-0.84) with AERONET AOD with 296 comparatively lower RMSE (0.22-0.27) and MAE (0.14-0.16) in summer and fall, 297 relative to that in spring and winter (R: 0.55-0.68, RMSE: 0.30-0.44, MAE: 298

0.20-0.27). The result showed that the Himawari-8 L2 AOD retrieval algorithm
performs the best in summer and performs the worst in spring. For the four seasons,
as compared with the V1.0 AOD, the V2.1 AOD had more retrievals that fell within
the EE, but it had higher RMSE and MAE. Additionally, the V2.1 AOD
overestimated AOD in all seasons (RMB: 1.03-1.73). The V1.0 AOD underestimated
AOD in spring, summer, and fall (RMB: 0.84-0.92), while overestimated AOD in
winter (RMB: 1.73).

306 **Table 2.** Seasonal summary of error statistics for Himawari-8 L2 V1.0 and V2.1 retrievals against

AERONET observations. "MAM," "JJA," "SON" and "DJF" are spring, summer, fall, and winter,
respectively, in the Northern hemisphere.

Casson	N	RMSE		MAE		RMB		R		(%)Within EE	
Season		V1.0	V2.1	V1.0	V2.1	V1.0	V2.1	V1.0	V2.1	V1.0	V2.1
MAM	31201	0.37	0.39	0.27	0.23	0.92	1.33	0.68	0.65	27.85	42.39
JJA	12620	0.24	0.27	0.15	0.14	0.87	1.23	0.81	0.79	42.20	55.79
SON	9923	0.22	0.26	0.15	0.16	0.84	1.03	0.84	0.79	44.96	48.82
DJF	22618	0.30	0.44	0.20	0.20	1.28	1.73	0.65	0.55	38.65	47.53

309 To obtain an overall view of the difference among the Himawari-8 V1.0, V2.1 AOD and AERONET AOD in terms of daily and sub-hourly mean AOD, we generated the 310 time series of this parameter as shown in Figure 4 and Figure 5. Based on the 311 312 Himawari-8-AERONET matchup data set, the V1.0, V2.1 and AERONET AOD were calculated by averaging all AOD values at each observation time. As illustrated in 313 Figure 4, it was easy to see that the variation of V1.0 and V2.1 AOD dataset were 314 consistent with the ground-based observations ( $R \ge 0.80$ ). Both V1.0 and V2.1 AOD 315 products reflected the sub-hourly variability of AOD with higher AOD during 316 03:00-04:20 (UTC) and lower AOD during 08:50-09:50 (UTC) (Fig.5). However, the 317 V1.0 AOD tended to underestimate AOD at all observation time, whereas the V2.1 318 AOD product provided relatively high-quality retrievals consistently throughout the 319 day except that it inclined to slightly overestimate AOD during 00:00-00:40 and 320 05:00-07:20 (UTC) and underestimate AOD during 01:00-04:00 and 08:20-09:50 321 (UTC). 322



Fig. 4. Time series of daily AOD measurement between Himawari-8 and AERONET.



Fig. 5. Box plots of the differences of 10-minute intervals AODs between Himawari-8 and AERONET. For each box-whisker plot, the height is the interquartile range of the AOD error; the red dot is the mean bias.

#### 329 3.1.2 Site-scale evaluation of V1.0 and V2.1 AOD products

The results of evaluations varied widely with the change of the aerosol model, 330 surface reflectance, and diverse land cover types among all the sites. Therefore, a 331 detailed evaluation of Himawari-8 L2 V1.0 and V2.1 AOD products for site-scale 332 from 2016 to 2017 was conducted in this study (Fig.6). Table S2 presents the 333 corresponding validation statistics. There were 21% and 36% of the sites that had 334 more than 50% of the retrievals falling within the EE for V1.0 and V2.1 AOD, 335 336 indicating that the V2.1 algorithm is relatively reliable (Fig. 6(a) and (b)). As compared to the V1.0 AOD, the fraction within the EE of V2.1 AOD increased in 47 337 of the 58 sites. However, for V2.1 AOD, some individual sites located in China (e.g., 338 Dongsha\_Island, Lulin, and NAM\_CO), Japan (e.g., Osaka and Hokkaido\_university), 339 and Australia (e.g., Canberra and Lake\_Argyle) had lower numbers of points falling 340 within the EE than that for V1.0 AOD (Fig.6(c)). For V1.0 AOD, approximately 78% 341 of sites had an RMSE of less than 0.3, while V2.1 AOD had only 60% of the sites 342 with an RMSE of less than 0.3 (Fig.6(d) and (e)). Moreover, the RMSE of the V2.1 343 344 algorithm at 36 sites was greater than that of the V1.0 algorithm. Small RMSE values of <0.2 were mainly observed in Japan, Korea, and Russia, while large RMSE values 345 of >0.3 were mainly observed in China, Thailand, and Vietnam (Fig. 6(f)). From Fig. 346 6(g), we can see that the V1.0 algorithm underestimated AOD with more than 77% of 347 the sites having RMB less than 1, especially in Southeast Asia and South Asia. By 348 contrast, the V2.1 algorithm showed better performances in terms of RMB with 44% 349 of the sites having RMB less than 1 (Fig.6(h) and 6 (i)). 350



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Fig. 6. Validation statistics for Himawari-8 L2 V1.0 and V2.1 AOD at 500 nm. For each site, (a,b) are the fraction of matches within the EE of AERONET, (d, e) show the root mean squared error (RMSE), and (g, h) show the relative mean bias (RMB). (c), (f), and (i) are the differences between the Himawari-8 L2 V1.0 and V2.1 AOD products represented as the fraction of matches within the EE, RMSE, and RMB, respectively.

From Fig. 1 and 6 we can see the V2.1 AOD retrievals outperformed in term of retrievals falling within the EE, but with comparatively higher RMSE compared to that of V1.0. The high RMSE of V2.1 AOD products can be found in Indo-Gangetic

360	Plain, Mongolian Plateau, and Southeast Asia. Moreover, The V2.1 AOD retrievals
361	tended to overestimate the AOD at some sites of these region (Fig. 6(h)). Therefore,
362	to explore the potential reasons for this high uncertainty of V2.1 AOD, we selected
363	the Gandhi College (Cropland) and Dalanzadgad (Urban) sites that are located the
364	Indo-Gangetic Plain and the Mongolian Plateau, respectively, to analyze their AOD
365	retrievals. Table 3 showed the error statistics for V1.0 and V2.1 AOD, we found out
366	that the V2.1 AOD (V1.0 AOD) had 44.94% (38.20%) and 40.16% (8.20%) retrievals
367	falling within the EE in Dalanzadgad and Gandhi College, respectively. However, the
368	RMSE, MAE and RMB values of the V2.1 AOD at both sites were much higher than
369	those of V1.0 AOD. We then looked at the AOD retrieval distribution on two days, 10
370	September 2017 and 16 April 2016, at the Dalanzadgad and Gandhi College sites (Fig.
371	7). At the Dalanzadgad site on September 10, 2017, the V2.1 AOD value was 2.50
372	(Fig. 7(b)), but the value was only 0.115 as recorded with V1.0 (Fig. 7(a)). Similarly,
373	the V2.1 AOD was also significantly higher (1.26) (Fig. 7(d)) than the V1.0 AOD
374	(0.28) (Fig. 7(c)) at Gandhi College. This indicated that the outliers generated by the
375	V2.1 algorithm may be the cause of its higher RMSE, MAE, and RMB as compared
376	to that of the V1.0 algorithm. This phenomenon was attributed to the inaccurate
377	characterization of surface reflectance. V2.1 algorithm uses two methods to determine
378	surface reflectance: second lowest reflectance technology and an improved Kaufman
379	method (Yoshida et al., 2018; Fukuda et al., 2013). Thus, it is possible for the
380	estimated surface reflectance of one pixel to differ from that of its neighbors;
381	furthermore, the underestimation of surface reflectance may lead to an overestimation
382	of the AOD.

**Table 3.** Error statistics for Himawari-8 L2 V1.0 and V2.1 AOD at Dalanzadgad and Gandhi

384 College at 05:30 (UTC)

Site	N	RMSE		MAE		RMB		Within EE (%)	
Sile	IN	V1.0	V2.1	V1.0	V2.1	V1.0	V2.1	V1.0	V2.1
Dalanzadgad	89	0.22	1.13	0.16	0.72	3.18	11.57	38.20	44.94
Gandhi_College	122	0.51	0.59	0.43	0.36	0.51	1.05	8.20	40.16



385

Fig. 7. Comparison of Himawari-8 L2 V1.0 ((a), (c)) and V2.1 ((b), (d)) AOD at Dalanzadgad
(Mongolia) and Gandhi\_College (India) on 2 days: September 10, 2017 (top row) and April 16,
2016 (bottom row). All satellite images were captured at 05:30 (UTC).

389 3.1.3 Spatial distributions of V1.0 and V2.1 AOD products

To compare the spatial variations of the Himawari-8 L2 V1.0 and V2.1 AOD 390 products from 2016 to 2017, the annual mean AOD values during 00:00 to 09:50(UTC) 391 of V1.0 (Fig. 8(a) and (d)) and V2.1 (Fig. 8(b) and (e)) were generated. It was clearly 392 observed that the V1.0 and V2.1 AOD retrievals generally exhibited highly similar 393 spatial patterns in aerosol loading but differed in their details. High aerosol loadings 394 (AOD > 0.5) were mainly found in East China, West China, and the Indo-Gangetic 395 Plain, while low aerosol loadings (AOD < 0.2) were observed in Southwest China, 396 Japan, Sri Lanka, and Australia for both V1.0 and V2.1 AOD. From Fig.8 (c) and (f), 397 we can see large spatial differences (> 0.2) existed between V1.0 and V2.1 algorithms 398

in regions with high aerosol loadings (e.g., West China, the North China Plain, and the
Indo-Gangetic Plain), especially in Northwestern China where the AOD differences
between V1.0 and V2.1 were greater than 0.8. Meanwhile, the V2.1 AOD retrievals
tended to be lower than those from V1.0 in parts of Southwestern and Northeastern
China, Southwestern Russia, Japan, and Australia, where the AOD differences were
observed to be between -0.2-0.



405

406 Fig. 8. Spatial distribution of the average AOD products at 500 nm from the two versions and the407 difference between them over the study area in 2016 and 2017.

408 3.1.4 Uncertainty analysis on V1.0 and V2.1 AOD products

Surface types and Surface reflectance are known to affect the accuracy of aerosol retrievals. High Normalized Difference Vegetation Index (NDVI) values indicated complete or nearly complete coverage by green vegetation, while low values indicated sparse vegetation (Burgan & Hartford, 1993). As demonstrated in Fig. 9 (a), for NDVI<0.2, the V2.1 retrievals showed positive mean biases greater than 0.17, while

the V1.0 retrievals had smaller mean biases around 0.04. For 0.2  $\leq$  NDVI  $\leq$  0.8, the 414 V1.0 mean biases became increasingly negative (changing from -0.09 to -0.21) while 415 the V2.1 mean biases were more stable and remains comparatively closer to zero. For 416 the NDVI  $\geq 0.8$ , both the V1.0 and V2.1 biases were close to zero, around 0.01 for 417 V1.0 and 0.03 for V2.1. To further explore the performance of Himawari-8 L2 AOD 418 algorithms over multifarious surfaces, we classified the data of the L2 AOD retrievals 419 from 2016 to 2017 into five typical land cover types (i.e., forest (4 sites), cropland (6 420 421 sites), grassland (17 sites), bare land (2 sites), and urban (29 sites)) as determined by MOD12Q1 land cover data (Fig. S1). Of the three vegetation types, both V1.0 and 422 V2.1 algorithm performed the best over forested area with the biases closer to zero 423 424 (Fig. 9(b)).

Compared to the V1.0 algorithm, the performance of V2.1 algorithm was improved over the three vegetation types. However, it still showed negative biases. Meanwhile, V1.0 and V2.1 algorithm performed poorly over urban and barren land. The mean biases were negative (<-0.1) for the urban and positive (>0.14) for barren land. These results showed that the performance of V2.1 retrievals improved as compared to the V1.0 retrievals because it had more stable biases that were closer to zero.

Elevation is an important property of the underlying surface and it varies greatly 431 among the 58 AERONET sites (Fig. S2). Therefore, we evaluated the Himawari-8 L2 432 AOD retrievals performance at different elevations (Fig. 9(c)). When the elevation was 433 below 100 m, V1.0 AOD retrievals showed large negative biases around -0.15, while 434 435 V2.1 AOD retrievals had smaller biases closer to zero, which indicated that V2.1 AOD retrievals performed better in low-elevation areas. For elevation in the 100-1000 436 437 m range, although the V2.1 algorithm seemingly performed better as compared to the V1.0 algorithm, both of them showed negative biases, which suggested an 438 underestimation in the AOD. This could be due to the high frequency of human 439 activities and a more complicated landscape in this elevation range, leading to errors in 440 the estimation of surface reflectance and posing a challenge in satellite retrieval of 441 aerosol properties. For high-elevation areas (height>1000 m), all two datasets showed 442

good performances with small biases (~0) because high elevation areas tend to besparsely populated mountains, where aerosol properties are relatively simple.

Fig. 9(d) summarized the seasonal variation of retrieval accuracy for V1.0 and V2.1 AOD, which indicated both the V1.0 and V2.1 algorithm performed the best in summer, followed by fall and winter, and the worst in spring. Similar seasonal variations in Himawari-8 AOD product biases have also occurred in China (Zhang et al., 2019). Moreover, the V1.0 algorithm tended to underestimate the AOD in every season with the mean biases being less than -0.3. As compared to V1.0 retrievals, V2.1 retrievals had smaller biases that were closer to zero.



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457 3.2 Evaluation of Himawari-8 L2 AE and FMF accuracy against AERONET

458

The AE is commonly used to describe the wavelength dependence of the AOD

and is an important optical parameter for qualitatively measuring aerosol particle sizes. 459 Particle size helps to distinguish and characterize different aerosol types (Kaskaoutis 460 et al., 2006). To evaluate the accuracy of Himawari-8 AE products, we used a total of 461 76,362 pairs of Himawari-8 and AERONET AE data obtained from 2016 to 2017. The 462 results indicated that the V2.1 algorithm provided more accurate AE retrievals than 463 the V1.0 algorithm, with the fraction of AE retrievals falling within the EE being 464 34.64% (Fig. 10b) and 13.43% (Fig. 10a), respectively. The RMSE (0.65) and MAE 465 466 (0.52) values of the V2.1 AE were significantly lower than those of the V1.0 AE (RMSE: 1.03, MAE: 0.91). Overall, although the Himawari-8 L2 AE products still 467 demonstrated large retrieval error, their accuracy appeared to have been significantly 468 improved with the algorithm upgrade. In Fig.10(c) and 10(d), we can see that both 469 470 Himawari-8 L2 V1.0 and V2.1 Angstrom exponents are overestimated at low AE and underestimated at high AE. As compared with V1.0, V2.1 AE had smaller standard 471 deviations at most bins. This suggested that Himawari-8 L2 V2.1 AE had a better 472 performance than that of V1.0. 473

474 The FMF was another important physical property used to distinguish between natural and anthropogenic aerosols (Yan, Li, et al., 2017). Therefore, we evaluated the 475 performance of the Himawari-8 L2 FMF retrievals (Fig. S3). It is evident that the 476 retrieval accuracy of V2.1 FMF was comparatively better (within EE: 39.07%) than 477 that of the V1.0 (within EE: 28.35 %) with lower RMSE (V1: 0.41, V2: 0.30) and 478 MAE (V1: 0.34, V2: 0.25) (Fig. S3 (a), (b)). Similar to Himawari-8 L2 AE products, 479 both Himawari-8 L2 V1.0 and V2.1 FMF were overestimated at low FMF and 480 underestimated at high FMF (Fig. S3(c), (d)). Overall, both Himawari-8 FMF 481 products showed significant underestimation, demonstrating the need for further 482 483 algorithm optimization.

22



Fig. 10. Scatter plot comparing AERONET and Himawari-8 L2 V1.0 (a) and V2.1 (b) Angstrom 485 486 exponent (AE) values at 500 nm from 2016 to 2017. Linear regression is shown as a solid red line. 487 The dashed lines are the envelopes of the expected error, and the black solid line is the 1:1 line. 488 Box plots of Himawari-8 L2 V1.0 (c) and V2.1 AE (d) errors (satellite - AERONET) at 500 nm 489 versus AERONET AE. Data are sorted by AERONET AE at 0.1 intervals of AE. The one-one line (zero error) is shown as a black dashed line and the envelopes of the expected error are shown as 490 491 red dashed lines. For each box-whisker plot, the width is the standard deviation ( $\alpha$ ) of the satellite 492 AE; height is the interquartile range of the AE error; the middle line and the red dot are the 493 median and mean AE error, respectively.

494 3.3 Comparison between Himawari-8 and MODIS AOD products

495 3.3.1 Accuracy of Himawari-8 and MODIS AOD products

484

496To perform inter-comparison of retrieval accuracy and spatial variation between497Himawari-8 AOD (QA=Very good) and MODIS AOD (DB:QA≥2; DT:QA=3)

498 products in Asia, Himawari-8 L2 V2.1 AOD according to MODIS overpass time for

499 each of 38 AERONET sites in Asia (Fig. S4) was used. Table S3 presents the UTC time of MODIS overpass time (02:30 for Terra, and 05:30 for Aqua at Local standard 500 time (LST)) for each AERONET site. The accuracy comparison between Himawari-8 501 (02:30 LST) and Terra MODIS AOD products is shown in Fig.10. The number of 502 collocation vary with the Himawari-8 L2 V2.1 AOD having the highest collocation 503 (N: 3202) (Fig. 11(a)) followed by Terra DB (N: 1634) (Fig. 11(b)) and DT (N: 1167) 504 (Fig. 10(c)). The DT 3 km has the lowest number of retrievals (919) (Fig. 11(d)), most 505 506 likely due to its inability to retrieve AOD over sparsely vegetated, dry and bright surfaces characterized by very high surface reflectance (Levy et al., 2010). The 507 Himawari-8 L2 V2.1 retrievals at 02:30 (LST) agree well with AERONET AOD 508 (R = 0.73) with 48.63% of observations falling within the EE, and its RMSE, MAE 509 and RMB values were 0.29, 0.16 and 0.15, respectively. By contrast, the Terra 510 MODIS retrievals provide better agreement with AERONET AOD (R: 0.84-0.88) 511 with more retrievals falling within the EE (DT 10 km: 57.93%, DT 3 km: 56.37%, DB: 512 54.59%). Furthermore, the Terra MODIS retrievals have smaller RMSE (0.19-0.22) 513 514 and MAE (0.13-0.14), but slightly larger RMB (1.16-1.37) than that of retrievals from Himawari-8 L2 V2.1. 515

The comparison results of the Himawari-8 L2 V2.1 (05:30 LST) and Aqua 516 MODIS AOD are shown in Fig.12. Similar to the results at 02:30, Himawari-8 had the 517 highest number of AOD retrievals (3012) (Fig. 12(a)), followed by the DB (1634) 518 (Fig. 11(b)), DT 10 km (990) (Fig. 12(c)), and DT 3 km (845) (Fig. 12(d)) at 05:30. 519 V2.1 AOD at 05:30 showed a poor performance with 46.35% of retrievals falling 520 within the EE and larger RMSE (0.37), MAE (0.19), and RMB (1.47) as compared to 521 522 that with V2.1 AOD at 02:30, indicating that V2.1 retrievals at 02:30 had a better performance. The accuracy of the Aqua MODIS AOD was still higher than that of the 523 Himawari-8 L2 V2.1 AOD. For Aqua MODIS AOD, the DT 10 km retrievals showed 524 relatively better performance among the three datasets with 58.28% of retrievals 525 falling within the EE, larger R (0.88), smaller RMSE (0.18), MAE (0.12), and RMB 526 (1.13). Our findings are little different with previous verification results of MODIS 527

AOD (Nichol et al. 2016). For example, Nichol et al. (2016) validated the MODIS Collection6 AOD products (MYD04\_3K and MYD04\_L2) over Asian countries and found 55% and 63% of the retrievals for MYD04\_3K and MYD04\_L2 within the expected error, respectively. The main reason may be the use of MODIS AOD data for different time periods, and different AERONET sites for verification. Overall, these results indicated that the retrieval quality of the MODIS C6.1 AOD products was still better than the Himawari-8 L2 V2.1 AOD products in Asia.





Fig. 11. Himawari-8 V2.1 AOD (02:30, LST for each site) (a) at 500nm and Terra MODIS C6.1
DT 10 km (b), DT 3 km (c), and DB 10 km (d) at 550 nm against AERONET AOD product over

Asia from 2016 to 2017. The blue dashed lines are the envelopes of the expected error, the black

- solid line is the 1:1 line, and linear regression is shown as a solid red line.
- 540



541

Fig. 12. Himawari-8 V2.1 AOD (05:30, LST for each site) (a) at 500 nm and Aqua MODIS C6.1
DT 10 km (b), DT 3 km (c), and DB 10 km (d) at 550 nm against AERONET AOD over Asia in
the period between 2016 and 2017. The blue dashed lines are the envelopes of the expected error,
the black solid line is the 1:1 line, and linear regression is shown as a solid red line.

546 3.3.2 Spatial variations of Himawari-8 and MODIS AOD products

Fig.13 and Fig.14 show the two-year average Himawari-8 and Terra/Aqua 547 MODIS AOD during the four seasons in Asia, respectively. The Himawari-8 V2 548 algorithm provided the best coverage, with almost no missing data over the study 549 domain, while the MODIS DT algorithm showed the lowest coverage in regions with 550 high surface reflectance (e.g., Northwestern China), where the predominant land 551 cover type is bare soil. From Fig. 13 and 14, we can see the Himawari-8 L2 V2.1 and 552 Terra/Aqua MODIS C6.1 AOD showed similar spatial patterns in aerosol loading but 553 differed in their details. Regions with similarly high aerosol loadings (AOD>0.5) 554 were mainly those with severe air pollution, such as parts of China (Northeast Plain, 555

North China Plain, Sichuan Basin) and the Indo-Gangetic Plain(Yan et al., 2017; Yang
et al., 2018). Meanwhile, low aerosol loadings (AOD < 0.3) are found in southern</li>
parts of the Japanese and Korean peninsula, the Yunnan-Guizhou Plateau, Sri Lanka,
and the Western Sichuan Plateau of China. Observations at the AERONET sites were
basically consistent with the overall distribution of Himawari-8 and MODIS AOD
(Fig. 15).

However, comparison of Himawari-8 and MODIS AOD products indicated that 562 Himawari-8 overestimated AOD in regions with high aerosol loadings, especially in 563 West China, where Himawari-8 had significantly higher AOD retrievals (AOD > 1)564 than MODIS (AOD < 0.7). Zhang et al. (2019) also found that the Himawari 565 overestimated the AOD in North China Plain and West China. This result suggests 566 that the Himawari-8 has a large bias in heavily polluted regions, which mainly results 567 from improper estimation of surface reflectance and aerosol model. Moreover, 568 Himawari-8 also overestimated AOD in Southeast Asia (such as Sumatra, Kalimantan 569 and New Guinea), with an AOD range of 0.5–1.2, which is much higher than those of 570 571 AERONET (0.19-0.57) and MODIS (0.01-0.4). The Himawari-8 and MODIS AOD products also showed similar seasonal spatial distribution but differed in their details. 572 In spring and summer, large difference could be found in West China, the 573 Indo-Gangetic Plain, and Southeast Asia. The difference between Himawari-8 and 574 MODIS AOD can even exceed 0.6 in West China. In fall, the difference between 575 Himawari-8 and MODIS AOD was relatively small, and both of them could reflect 576 the AOD in East Asia and South Asia. However, the AOD of V2.1 AOD in Southeast 577 Asia was slightly higher than that of MODIS AOD. In winter, most of the V2.1 AOD 578 579 values were above the values of the MODIS AOD retrievals in West China and Southeast Asia. 580

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583

Fig. 13. Spatial distribution of the 2-year (2016-2017) average AOD over Asia using Himawari-8
L2 V2.1 (500 nm), Terra MODIS DT 10 km, DT 3 km, and DB 10 km (550 nm). "MAM," "JJA,"

586 "SON" and "DJF" are spring, summer, fall, and winter, respectively, in the northern hemisphere.



587

**Fig. 14.** Spatial distribution of the 2-year (2016-2017) average AOD over Asia for Himawari-8 L2

589 V2.1 (500 nm), Aqua MODIS DT 10 km, DT 3 km, and DB 10 km (550 nm). "MAM," "JJA,"

590 "SON" and "DJF" are spring, summer, fall, and winter, respectively, in the northern hemisphere.



592 **Fig. 15.** The average AERONET AOD across two years (2016–2017) over Asia at each site

593 **4. Discussion** 

591

This study compared the performance of the Himawari-8 L2 V1.0 and V2.1 594 aerosol products in terms of AOD retrievals. Results indicated that both V1.0 and 595 V2.1 AOD show a high consistency with AERONET AOD (R: 0.67-0.72). V2.1 AOD 596 has more retrievals falling within the EE but with higher RMSE and MAE than those 597 of V1.0. The bias between Himawari-8 L2 AOD and AERONET AOD increased with 598 599 the AOD magnitude, indicating that aerosol retrievals face great challenges under the condition of high AOD. On the other hand, V1.0 AOD tended to underestimate AOD, 600 especially at high AOD values, while V2.1 AOD had a smaller mean bias and showed 601 about zero mean bias at most AOD values. Zhang et al. (2019) also found that the bias 602 of Himawari-8 L2 V2.0 AOD was positive when AOD lower than 0.5 and decreased 603 with the AOD magnitude. Moreover, V2.1 AOD retrievals can more accurately reflect 604

the daily variations and sub-hourly variations compared to that of V1.0 AOD
retrievals. Site-scale evaluation and spatial distribution results showed that
Himawari-8 L2 AOD overestimated AOD in Australia and Northwest China and
underestimated AOD in Southeast Asia.

The uncertainty of V1.0 and V2.1 AOD varies widely with the change in the 609 diverse land cover types, DEM, and seasons. Results showed that both V1.0 and V2.1 610 AOD retrievals perform much better over forested and grassland areas than over 611 612 urban areas, croplands, and barren lands. Seasonal changes also affect the accuracy of aerosol retrieval. Best performance can be observed in summer, followed by fall and 613 winter, and the worst is observed in spring. Both of the two versions of AOD products 614 perform much better in high-elevation areas due to artifact reduction, while they 615 perform poorly at elevations of 100-1000 m. 616

Results indicate that the total underestimation of the V2.1 AOD was improved 617 and more retrievals falling within the EE compared to the V1.0 AOD (Fig.2). Li et al. 618 (2019) found similar results by validating Himawari-8 L2 (V1.0 and V2.0) and L3 619 620 AOD products in Eastern China. The Himawari-8 L2 V1.0 AOD retrieval interval was 0.0-2.0, which may have led to an underestimation of the AOD values in areas, where 621 the aerosol loadings were extremely high (Daisaku, 2016). However, V2.1 AOD still 622 have large estimation uncertainties in high aerosol loadings areas and sparsely 623 vegetated areas. For example, this study demonstrates that V2.1 algorithm tends to 624 overestimate the AOD in Northwest China. Overall, the identified large biases of 625 highly polluted area and sparsely vegetated areas in V2.1 AOD product may be due to 626 the inaccurate characterization of surface reflectance and aerosol model (Zhang et al., 627 628 2019).

#### 629 **5. Conclusions**

In this study, we evaluated the performance of aerosol products (AOD, AE, and FMF) of the two versions (V1.0 and V2.1) of Himawari-8 against 58 AERONET observations and analyzed the uncertainty in Himawari-8 L2 AOD products. Furthermore, we compared the Himawari-8 L2 V2.1 and MODIS C6.1 AOD products 634 in terms of accuracy and spatial variations.

Our main conclusions are as follows. Both V1.0 and V2.1 AOD showed a high 635 consistency with AERONET AOD (R: 0.67-0.72) with 44.65% and 49.38% of 636 retrievals fell within the EE, respectively. Both of the V1.0 and V2.1 AOD bias 637 increased with the AOD magnitude, but V1.0 AOD tended to underestimate the AOD, 638 especially at high AOD values. The underestimation has now improved in the V2.1 639 AOD products. Moreover, V2.1 AOD retrievals can more accurately capture daily 640 641 variations and sub-hourly variations compared to the V1.0 AOD. The uncertainty analysis showed that the V1.0 and V2.1 algorithm performed worse over sparsely 642 vegetated surfaces (low NDVI) but improved as NDVI increased. Both V1.0 and V2.1 643 AOD performed better over forests and grasslands than croplands, as well as urban 644 and barren lands. Meanwhile, V1.0 and V2.1 AOD had the best performance in 645 summer, while the worst in spring and performed better in high-elevation areas. 646 Overall, the V2.1 AOD outperformed in term of retrievals falling within the EE 647 compared to V1.0 AOD, but V2.1 AOD still have large estimation uncertainties in 648 649 high aerosol loadings areas and sparsely vegetated areas.

We also compared the Himawari-8 AE and FMF retrievals (AOD size parameters) with those of AERONET. The results showed that the accuracy of V2.1 AE/FMF products was significantly higher than that of V1.0, with more retrievals falling within the EE with lower RMSE and MAE values. However, the Himawari-8 V2.1 AE and FMF products still exhibited large estimation error on all scales, showing overestimations at low values and underestimations at high values.

With the comparison between Himawari-8 and MODIS AOD products, we found that the V2.1 AOD obtained more retrievals due to the high revisit frequency, but the MODIS AOD provided a better agreement (R: 0.84-0.88) with more retrievals falling within the EE (Terra: 57.93% (DT 10 km), 56.37% (DT 3 km), 54.49% (DB); Aqua: 58.28% (DT 10 km), 56.80% (DT 3 km), 57.69% (DB), as well as lower RMSE (0.18-0.22), MAE(0.12-0.16), and RMB (1.13-1.37) compared to that of V2.1 AOD. Furthermore, the V2.1 and Terra/Aqua MODIS C6.1 AOD showed relatively similar spatial patterns in aerosol loading, but V2.1 AOD tended to be overestimated in northwestern China and Southeast Asia. Although the accuracy of Himawari-8 L2 V2.1 AOD products were recorded to be slightly lower than MODIS C6.1 AOD products, it can still provide real-time monitoring aerosol properties over Asia and Oceania regions, which can be used to capture dynamic aerosol variations. Furthermore, Himawari-8 L2 V2.1 AOD products could help fill the data gaps in existing satellite data.

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