

# Probing Conformation Change and Binding Mode of Metal Ion-Carboxyl Coordination Complex through Resonant Surface-Enhanced Raman Spectroscopy and Density Functional Theory

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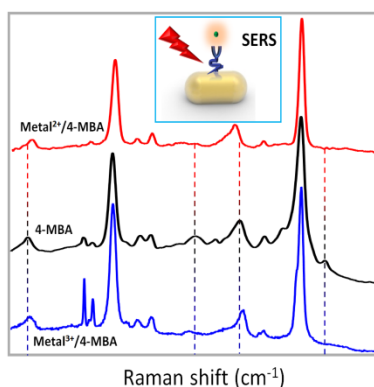
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## Abstract

Understanding of carboxyl-metal ligand interaction has great significance in analytical chemistry. Herein, we use resonant surface-enhanced Raman scattering (SERS) to probe the physiochemical interaction and conformation change in several metal ion-carboxyl coordination complex systems adsorbed on plasmonically resonant metal nanostructures surface. Our SERS results and density function theory calculations jointly reveal that low-valence metal ions (such as  $K^+$  and  $Pb^{2+}$ ) tend

1 to bind to the carboxyl active site of a Raman tag molecule, 4-mercaptobenzoic acid (4-MBA), in  
2 a unidentate binding mode of low binding energy whereas high-valence metal ions (such as  $\text{Fe}^{3+}$ )  
3 favor a bidentate binding mode of relatively high binding energy. Particularly,  $\text{Pb}^{2+}$  ion  
4 concentration-dependent SERS spectra suggest the repulsive interaction leads to a tilted  
5 configuration of 4-MBA on the metal surface. This work indicates the resonant SERS approach  
6 not only suits for studying carboxyl-metal ligand interaction but also detecting various types of  
7 heavy metal ions at low concentrations.

## 8 TOC GRAPHICS



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10

11 **KEYWORDS** resonant surface-enhanced Raman spectroscopy, density function theory, carboxyl-  
12 metal-ion ligand interaction, surface plasmon resonance, molecular conformation

13 Ligand interaction between metal ions and functional groups plays a crucial role in biochemistry  
14 and life science. For example, metalloproteins such as hemoglobin and DNA/RNA polymerase are  
15 mediated by metal ion cofactors, and as a result oxygen transportation<sup>1-2</sup> and DNA/RNA  
16 synthesis<sup>3-4</sup> can be precisely controlled. It is also reported that  $\text{Mg}^{2+}$  ion can regulate the energy  
17 releasing process owing to its high binding affinity towards energy carrier adenosine triphosphate  
18 (ATP)<sup>5-6</sup>. Besides from the biotic systems, manipulating ligand-metal interaction is also essential

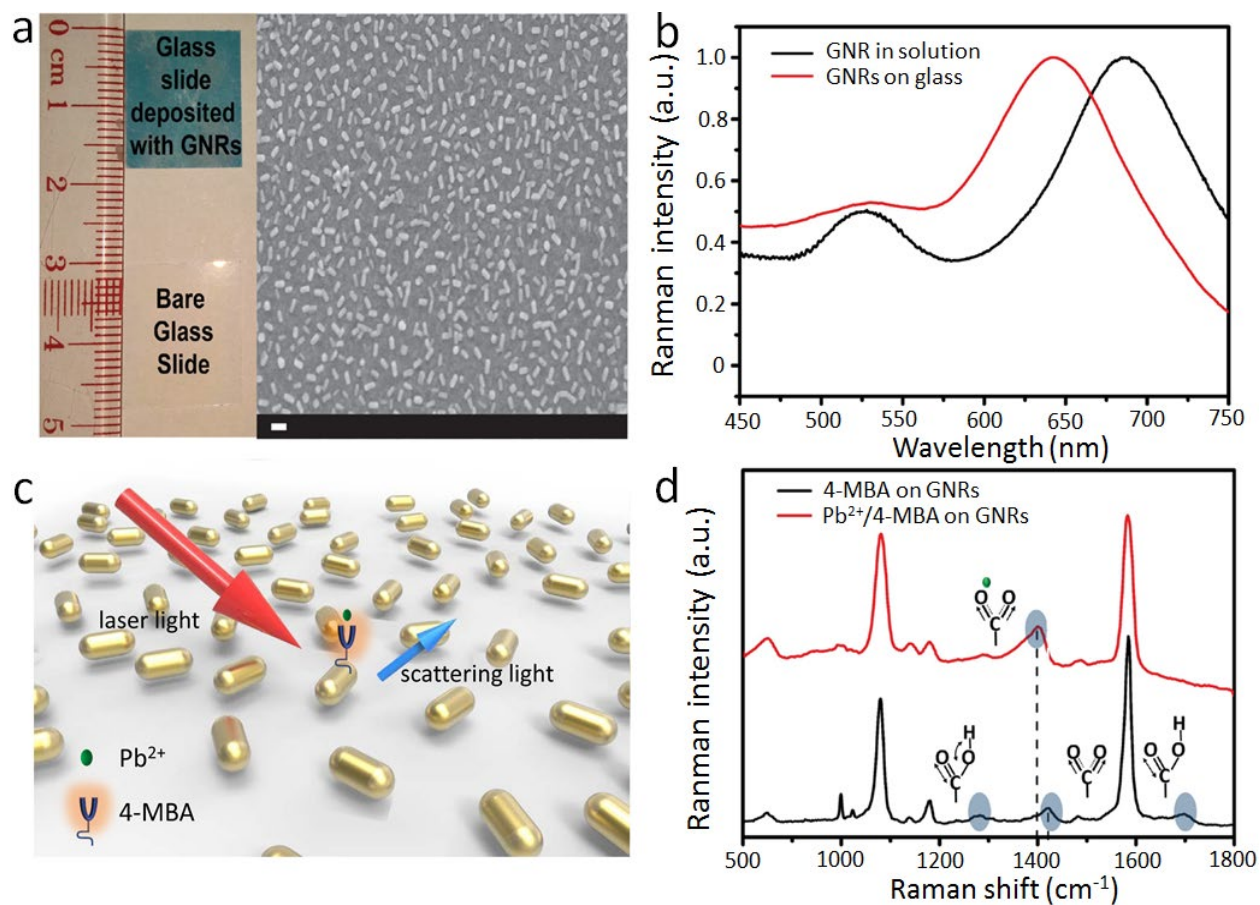
1 to artificially synthesized catalysts<sup>7-9</sup> and polymers<sup>10-11</sup>. Therefore, comprehensive understanding  
2 of the ligand complex interaction can provide constructive information to the aforementioned  
3 research fields.

4 X-ray<sup>12-15</sup>, neutron diffraction<sup>16-18</sup> and nuclear magnetic resonance (NMR) are commonly  
5 employed to study the ligand complex interaction. However, these characterization techniques  
6 often require highly-concentrated samples, and also involve complicated sample preparation. To  
7 overcome these limitations, vibrational spectroscopies, which extract optical signals from the light-  
8 sample interaction, have emerged with easy implementation. For example, Raman scattering and  
9 Fourier-transform infrared spectroscopies have been employed to detect molecular conformational  
10 changes induced by metal-ligand interaction<sup>19-22</sup>. However, the optical signals derived from  
11 molecule-metal ion bond vibrations are often very weak, limiting the signal collection when the  
12 molecule concentration is relatively low<sup>23</sup>. To solve this problem associated with the intrinsically  
13 low Raman scattering cross-section of molecules, surface-enhanced Raman scattering (SERS) has  
14 been developed by utilizing the localized surface plasmon resonance (LSPR) in metallic  
15 nanostructures. Upon the excitation of LSPR, the incident light energy is absorbed and confined  
16 around the surface of a metallic nanostructure, which consequently produces strongly enhanced  
17 electromagnetic near fields<sup>24-25</sup> and thereby accelerates molecular vibrations, allowing an accurate  
18 and sensitive probing of molecular conformation changes.

19 Here, we combine resonant SERS spectroscopy and density functional theory (DFT) calculations  
20 to systematically study the physiochemical interaction and conformational change in several metal  
21 ion-carboxyl coordination complex. 4-mercaptobenzoic (4-MBA) molecule monolayer as a SERS  
22 tag of metal ions was assembled on an array of densely-packed gold nanorods (GNRs). Under  
23 resonant illumination at the localized surface plasmon resonance (LSPR) band of the GNRs, the

1 pure 4-MBA/GNR system exhibits several characteristic vibrational modes of 4-MBA. Upon the  
2 addition of metal ions, some of these Raman modes either red shift or blue shift, depending on the  
3 valence of the ions, and the amount of Raman shift is correlated with ion concentration and the pH  
4 value of the solution, thereby allowing a systematic spectral analysis on the metal ion-carboxyl  
5 ligand interaction in aqueous environment. The  $\text{Pb}^{2+}$  ion concentration-dependent SERS spectral  
6 analysis also suggests that 4-MBA adopts a tilted configuration at high concentrations of  $\text{Pb}^{2+}$ . Our  
7 experimental observations are well supported by the DFT calculations.

8 The resonant SERS nanostructure was made by assembling CTAB-capped GNRs on a glass  
9 substrate, assisted by electrostatic interaction. The left panel of Figure 1(a) shows a transparent  
10 bare glass slide and a greenish GNR-assembled slide. As seen from the scanning electron  
11 microscope (SEM) micrograph in the right panel, GNRs are uniformly dispersed on the glass slide  
12 with an estimated particle density of 110-120 GNRs/ $\mu\text{m}^2$ . UV-Vis spectroscopic measurements  
13 show that the absorption spectrum of the SERS substrate closely resembles that for an aqueous  
14 solution of the same GNRs, with the longitudinal LSPR peak shifting from 687 to 642 nm due to  
15 the decreased effective dielectric permittivity<sup>26-27</sup>. The SERS substrate absorption peak exhibits  
16 no significant broadening, indicating that the GNR deposition has no aggregation, consistent with  
17 the SEM micrograph. The plasmonic response of individual GNRs is well persevered in this solid  
18 SERS substrate, providing a strong near-field enhancement under resonant excitation.



1  
 2 **Figure 1.** (a) Left: Photographs of a glass slide covered with dense GNRs (upper, greenish) and a bare glass slide  
 3 (lower, transparent); Right: SEM micrograph of the GNG-covered glass slide, i.e. our solid SERS substrate. The white  
 4 scale bar is 50 nm. (b) Normalized absorption spectra of the SERS substrate (red) and the same GNRs in water (black).  
 5 (c) Schematic diagram of probing carboxyl- $Pb^{2+}$  binding configuration with SERS. (d) SERS spectra of 4-MBA  
 6 (black) and  $Pb^{2+}$ /4-MBA complex (red) both adsorbed on the surface of GNRs.

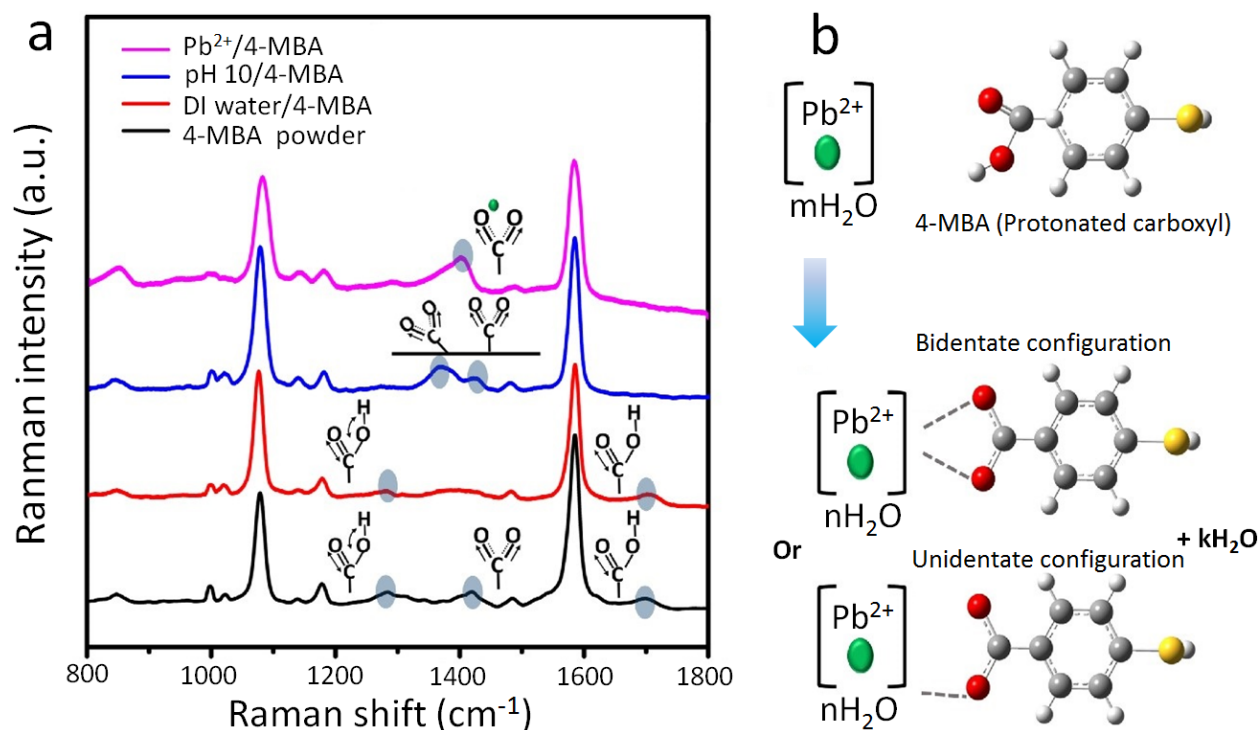
7 Here, 4-MBA is used as a Raman tag molecule because it can be tightly adsorbed on the surface  
 8 of GNRs through forming Au-S bonding, and its functional carboxyl group has a strong binding  
 9 affinity towards metal ions, which results in the formation of carboxyl-metal ion coordination  
 10 complex. To investigate the physiochemical interaction in such coordination complex system,  
 11 determine the mode of metal ion-carboxyl bonding, and also monitor molecular conformational  
 12 change, as schematically illustrated in Figure 1(c), we collect the SERS signals of the system under

1 resonant excitation by a 633 nm CW laser, which closely matches the longitudinal LSPR  
2 wavelength of the GNRs. To confirm resonant excitation enhanced SERS, we compare the SERS  
3 signals collected from the same system under on-resonance excitation at 633 nm and off-resonance  
4 excitation at 488 nm at the same conditions, with the results shown in Figure S1. Indeed, the  
5 resonant excitation gives rise to an SERS intensity more than one order of magnitude larger than  
6 that of the non-resonant excitation.

7 We start with  $\text{Pb}^{2+}$  as a representative because monitoring  $\text{Pb}^{2+}$  at the trace level is of high  
8 importance to the public hygienic issue<sup>28</sup>. As shown in Figure 1(d), the measured SERS spectrum  
9 for the pure 4-MBA molecules adsorbed on the GNR surface exhibits two intense peaks at 1075  
10  $\text{cm}^{-1}$  and 1585  $\text{cm}^{-1}$ , corresponding to the ring breathing/ $\nu(\text{C-S})$  (1075  $\text{cm}^{-1}$ ) and  $\nu_{8a}$  aromatic ring  
11 vibrations (1585 $\text{cm}^{-1}$ ) of 4-MBA<sup>29-31</sup>. Moreover, we conducted an additional DFT simulation (see  
12 Figure S2) to understand the characteristic peak (1075  $\text{cm}^{-1}$ ) and the calculated peak is located at  
13 1097  $\text{cm}^{-1}$  which consistent with the referred ones as expected. The peaks observed at 1281 and  
14 1705  $\text{cm}^{-1}$  can be assigned respectively to the  $\nu(\text{C-OH})$  and  $\nu(\text{C=O})$  vibrations of the protonated  
15 carboxyl group<sup>32</sup>, and that at 852 and 1423  $\text{cm}^{-1}$  respectively to the deformation band  $\delta(\text{COO}^-)$  and  
16 the symmetric stretching mode  $\nu_s(\text{COO}^-)$  of the deprotonated carboxylate group<sup>33-34</sup>, indicating  
17 that the carboxyl groups of the pure 4-MBA on the GNRs adopt either a protonated or deprotonated  
18 configuration. Upon the addition of  $\text{Pb}^{2+}$ , the Raman peaks associated with the aromatic ring  
19 vibrations exhibit no shift; however, the Raman peaks associated with the protonated carboxyl  
20 groups ( $\nu(\text{C-OH})$  and  $\nu(\text{C=O})$ ) diminish and even vanish. Additionally, the  $\text{Pb}^{2+}$  ion induces the  
21  $\nu_s(\text{COO}^-)$  and  $\delta(\text{COO}^-)$  modes to red shift to 1402 and 846  $\text{cm}^{-1}$ , respectively, suggesting the  
22 formation of carboxyl- $\text{Pb}^{2+}$  coordination complex. Additionally, these SERS signals are found to  
23 be highly reproducible, as evidenced by the 15 independent SERS spectra randomly collected from

1 the same  $\text{Pb}^{2+}$ /4-MBA system (see Figure S3), showing negligible variation in both peak position  
2 and signal intensity.

3 In order to have a more comprehensive conformation analysis on the carboxyl- $\text{Pb}^{2+}$  coordination  
4 complex, we carried out SERS measurements under varied pH environment since the carboxyl  
5 group can adopt either a protonated or deprotonated configuration upon varying the pH value of,  
6 or adding metal ions to, the solution<sup>35</sup>. By comparing the SERS spectra of the 4-MBA/GNR system  
7 and pure 4-MBA in water in Figure 2(a), we find the frequency and intensity of the Raman peaks  
8 associated with the protonated carboxyl group are similar in both environments (1281 vs. 1289  
9  $\text{cm}^{-1}$  and 1705 vs. 1705  $\text{cm}^{-1}$ ); however, the symmetric stretching mode for the deprotonated  
10 carboxylate group (1423  $\text{cm}^{-1}$ ) becomes very weak for the 4-MBA in water. Instead, a broad  
11 shoulder appears in the SERS spectrum of the 4-MBA in water in the range of 1350-1430  $\text{cm}^{-1}$ ,  
12 indicating that most carboxyl groups of 4-MBA are protonated in aqueous environment. This  
13 information is important for the formation of carboxyl- $\text{Pb}^{2+}$  coordination complex because most  
14 complexation processes occur in aqueous environments (i.e. in water).



1  
 2 **Figure 2.** (a) SERS spectra of 4-MBA in different environments: adsorbed on GNRs (black), in DI water (red), in  
 3 pH=10 alkaline environment (blue), and upon the addition of  $\text{Pb}^{2+}$  when adsorbed on the surface of GNRs (magenta).  
 4 (b) Schematic illustration of two binding modes in the formation of carboxyl- $\text{Pb}^{2+}$  coordination complex used in our  
 5 DFT calculations. Here, a  $\text{Pb}^{2+}$ -aquo complex with  $m\text{H}_2\text{O}$  and a 4-MBA molecule with a protonated carboxyl group  
 6 form a carboxylate- $\text{Pb}^{2+}$  coordination complex having  $n\text{H}_2\text{O}$  and  $k\text{H}_2\text{O}$  ( $m = n + k$ ).

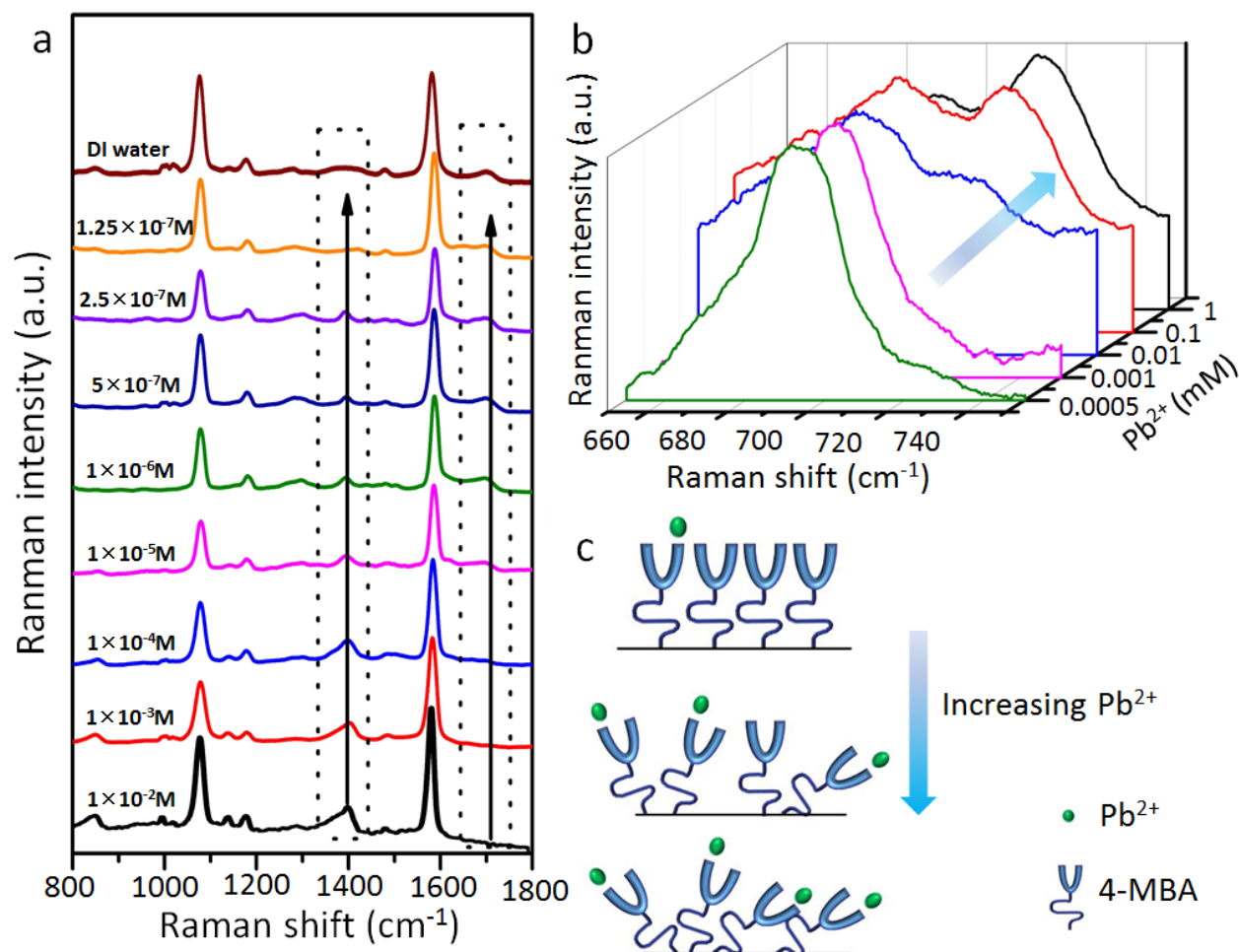
7 Compared to the above-discussed Raman characteristics, the SERS spectrum for 4-MBA in the  
 8 alkaline environment (pH = 10 in Figure 2(a)) exhibits dramatically different spectral features  
 9 because the carboxyl group is very sensitive to the pH environment. Firstly, the two Raman peaks  
 10 associated with the protonated carboxyl group ( $\sim 1289\text{ cm}^{-1}$  and  $\sim 1705\text{ cm}^{-1}$ ) disappear, indicating  
 11 that all 4-MBA molecules are in the deprotonated carboxylate fashion. Secondly, two distinctive  
 12 peaks emerge at  $1363$  and  $1423\text{ cm}^{-1}$ , both of which can be ascribed respectively to a surface-  
 13 bounded and an up-right symmetric stretching mode  $\nu_s(\text{COO}^-)$  of the deprotonated carboxylate  
 14 group, with their peak positions fully consistent with the previous report<sup>36</sup>. We can now conclude  
 15 that the carboxyl group transforms from the protonated to deprotonated configuration with



1 increasing the pH value. Then, we turn to analyze the  $\text{Pb}^{2+}/4\text{-MBA}$  SERS spectrum in Figure 2(a)  
2 and observe no Raman peak associated with the protonated carboxyl group but a new peak at 1402  
3  $\text{cm}^{-1}$  corresponding to the  $\nu_s(\text{COO-Pb}^{2+})$  mode, which is different from that for the pure protonated  
4 carboxyl group (1363 or 1423  $\text{cm}^{-1}$ ). Therefore, we can now conclude that  $\text{Pb}^{2+}$  is coordinated to  
5 the carboxyl group by displacing a proton in the carboxyl group.

6 The above SERS spectral analysis provides a basic understanding on the conformational change  
7 of carboxyl- $\text{Pb}^{2+}$  coordination complex. In fact, the carboxyl- $\text{Pb}^{2+}$  binding configuration is jointly  
8 determined by the intrinsic cation property<sup>37</sup> and the metal-aquo complexation<sup>38</sup>. Typically, the  
9 carboxyl-metal coordination complexation can result in three binding configurations: unidentate,  
10 bidentate, and bridging<sup>23</sup>. To determine the exact carboxyl- $\text{Pb}^{2+}$  coordination configuration, we  
11 resort to density function theory (DFT) calculations by modelling 4-MBA as a deprotonated  
12 carboxyl group due to the above discussion that  $\text{Pb}^{2+}$  replaces a bounded proton of the carboxyl  
13 group to form carboxyl- $\text{Pb}^{2+}$  coordination complex. In the DFT modelling, the  $\text{Pb}^{2+}$  ion is placed  
14 either at the center of the two oxygen ions or at one side of the oxygen ion, as illustrated in Figure  
15 2(b), forming either a bidentate and unidentate mode, respectively. By considering the Gibbs free  
16 energy of each species involved in the complexation process, we can achieve the most stable  
17 configuration for the carboxylate-hydrated  $\text{Pb}^{2+}$  coordination complex in which the final hydration  
18 number of  $\text{Pb}^{2+}$  is four and  $\text{Pb}^{2+}$  binds with the carboxylate group to form the most stable unidentate  
19 configuration (see detailed DFT calculation results in Figure S4). The unidentate coordination is a  
20 common configuration for carboxylate-metal complex in aqueous environment<sup>37</sup> as the hydrogen  
21 bond of the hydration molecule can stabilize the second oxygen of the carboxylate group<sup>39-40</sup>.  
22 Meanwhile, the  $\text{Pb}^{2+}$ -aquo solution is a kind of weak acid; thus, the charge acceptability of  $\text{Pb}^{2+}$   
23 would not attract the second oxygen ion.

1 Based on the above SERS spectral analysis and the DFT calculation results, we can now propose  
2 that, in a carboxylate-Pb<sup>2+</sup> coordination complex, the Pb<sup>2+</sup> cation displaces the proton of, and binds  
3 to, the carboxyl group in a unidentate mode. To further verify this complexation process, we  
4 collected a series of Pb<sup>2+</sup>/4-MBA SERS spectra under varied Pb<sup>2+</sup> concentration. As shown in  
5 Figures 3(a), the carboxylate-Pb<sup>2+</sup> vibrational mode,  $\nu_s(\text{COO-Pb}^{2+})$  at 1402 cm<sup>-1</sup>, gradually  
6 diminishes with decreasing Pb<sup>2+</sup> concentration, whereas the modes associated to the protonated  
7 carboxyl group at 1289 and 1705 cm<sup>-1</sup> are restored. When the Pb<sup>2+</sup> concentration is lowered to  
8  $1.25 \times 10^{-7}$  M, the SERS spectrum of the coordination complex becomes similar to that of pristine  
9 4-MBA in water. By normalizing the measured SERS spectra by the intensity at 1585 cm<sup>-1</sup>, we  
10 plot relative intensity for the symmetric stretching peak related to carboxylate-Pb<sup>2+</sup> (1402 cm<sup>-1</sup>)  
11 and carboxyl vibration  $\nu(\text{C=O})$  (1705 cm<sup>-1</sup>) in Figure S5(a) and (b) as a function of Pb<sup>2+</sup>  
12 concentration. It can be seen that the normalized Raman intensity at 1402 cm<sup>-1</sup> linearly decreases  
13 with the logarithm Pb<sup>2+</sup> concentration, while that at 1705 cm<sup>-1</sup> linearly increases. Besides the  
14  $\nu(\text{C=O})$ , an additional  $\nu(\text{C-COOH})$  peak<sup>41</sup> at  $\sim 800$  cm<sup>-1</sup> (see Figure S6) becomes increasingly  
15 significant with the decreasing Pb<sup>2+</sup> concentration. Such opposite trends provide a complete picture  
16 of the carboxyl-Pb<sup>2+</sup> complex formation, fully consistent with our proposed scenario that Pb<sup>2+</sup>  
17 displaces the proton in the carboxyl group to form the ligand complex. Therefore, the SERS spectra  
18 of Pb<sup>2+</sup>/4-MBA under low concentration Pb<sup>2+</sup> tend to be similar with that of pristine 4-MBA in  
19 aqueous environment, i.e., restoring all features of the protonated carboxyl group.



1  
2 **Figure 3.** (a):  $\text{Pb}^{2+}$ -concentration-dependent SERS spectra of  $\text{Pb}^{2+}/4\text{-MBA}$  complex ( $1 \times 10^{-2} \text{ M}$  to  $1.25 \times 10^{-7} \text{ M}$ ) and  
3 pristine 4-MBA in DI water. (b) Magnified view of the same SERS spectra in the spectral range of 650 to 750  $\text{cm}^{-1}$  as  
4 a function of  $\text{Pb}^{2+}$  concentration. (c) Schematic illustration of 4-MBA molecular orientation under varied  $\text{Pb}^{2+}$   
5 concentration.

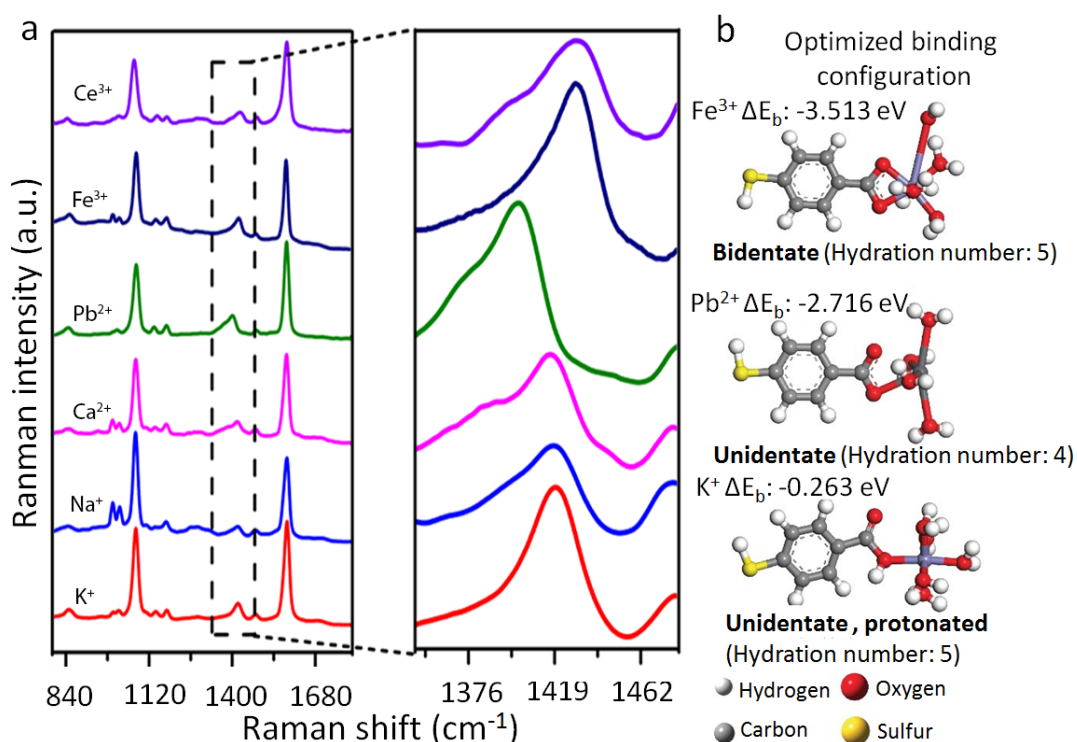
6 Interestingly, we also observe a weak Raman peak at 718  $\text{cm}^{-1}$  in the magnified SERS spectra  
7 in Figure 3(b), the intensity of which also depends on  $\text{Pb}^{2+}$  concentration. With decreasing the  $\text{Pb}^{2+}$   
8 concentration from 1 mM to 0.001 mM, this Raman peak intensity decreases dramatically and  
9 vanishes in the end. According to previous reports<sup>35,40</sup>, this Raman mode could be ascribed to the  
10 out-of-plane vibration of  $\nu(\text{CCC})$ , suggesting that the 4-MBA molecule in the complex possesses  
11 a tilted orientation with respect to the surface of gold as illustrated in Figure 3(c). It is worth to  
12 note that, the D.I water/4-MBA system shows no peak at 718  $\text{cm}^{-1}$  (see Figure S7). Therefore, we

1 can conclude that under high concentration of  $\text{Pb}^{2+}$  ions, most carboxylate active sites are  
2 coordinated with  $\text{Pb}^{2+}$ , resulting in a high density of carboxyl- $\text{Pb}^{2+}$  coordination complex.  
3 Subsequently, the repulsive interaction among these carboxylate- $\text{Pb}^{2+}$  bonding leads to the down-  
4 tilted configuration of 4-MBA. When the  $\text{Pb}^{2+}$  concentration reaches a relatively low level, the  
5 peak at  $718\text{ cm}^{-1}$  vanishes, suggesting that the repulsive interaction between adjacent 4-MBA  
6 molecules is insufficient and most of these molecules will remain upright configuration. In order  
7 to consider the electric neutralization effect from the anion solution, we conducted more DFT  
8 calculations to compare the binding energy between lead (II)-acetate and  $\text{Pb}^{2+}$ -4-MBA as a higher  
9 binding energy means the compounds more stable and has a stronger interaction. The calculated  
10 results in Figure S8 suggests that the binding energy of lead (II)-acetate is 1.722 eV while the value  
11 for  $\text{Pb}^{2+}$ -4-MBA is 2.716 eV. It is believed the hydrated  $\text{Pb}^{2+}$  would preferably bind to the 4-MBA  
12 carboxylate group with minimal effect on the free acetate (anion) in the solution. Therefore, at  
13 high  $\text{Pb}^{2+}$  concentrations, the 4-MBA molecules adopt a tilted orientation upon adsorption on the  
14 surface of the SERS substrate because of the repulsive interaction between adjacent carboxyl-ion  
15 complexes.

16 Since different carboxyl-ion coordination complexes have their unique SERS response, we can  
17 use such Raman spectral fingerprints to determine the identity of bound ions and their binding  
18 mode with 4-MBA. Here we select another five types of ions, including sodium ( $\text{Na}^+$ ), potassium  
19 ( $\text{K}^+$ ), calcium ( $\text{Ca}^{2+}$ ), cerium ( $\text{Ce}^{3+}$ ) and iron ( $\text{Fe}^{3+}$ ). While the SERS spectra for the low-valence  
20 ions ( $\text{Na}^+$ ,  $\text{K}^+$ ,  $\text{Ca}^{2+}$ ) share a similar vibration mode  $\nu_s(\text{COO-ion})$  at  $1417\text{ cm}^{-1}$  and preserve the  
21 spectral features of the protonated carboxyl  $\nu(\text{C-OH})$  and  $\nu(\text{C=O})$  at  $1281$  and  $1705\text{ cm}^{-1}$  (see  
22 Figure S9). These common features suggest that these ions ( $\text{Na}^+$ ,  $\text{K}^+$ ,  $\text{Ca}^{2+}$ ) bind to the carboxyl  
23 group with a similar conformation, i.e. unidentate, protonated carboxyl. These SERS spectra

1 contrasts strikingly with that for the  $\text{Pb}^{2+}$  case, because the Raman peaks associated with the  
 2 protonated carboxyl group vanish upon the addition of  $\text{Pb}^{2+}$ . However, the intensities of the two  
 3 Raman modes at  $1281$  and  $1705\text{ cm}^{-1}$  become significantly lower and even vanished for the  $\text{Fe}^{3+}$   
 4 and  $\text{Ce}^{2+}$  based complexes, indicating that the ions are bound to the active carboxylate active site  
 5 by fully replacing the existing proton. It is noted that recently, M. Futamata and his co-workers  
 6 performed some relevant work on SERS detection of different metal cations and p-MBA  
 7 molecules.<sup>42-43</sup>

8



9  
 10 **Figure 4.** (a) SERS spectra of six carboxyl ion coordination complex systems. The enlarged view in the spectral range  
 11 from  $1350$  to  $1485\text{ cm}^{-1}$  shows the symmetric stretching vibration  $\nu_s(\text{COO}^-)$  of carboxyl. (b) DFT-calculated lowest  
 12 energy binding configurations for three carboxyl ion complex systems.  $\Delta E_b$  represents calculated binding energy for  
 13 each complex.

14 To further confirm the above proposed mechanism, we calculated the lowest energy binding  
 15 configuration for two representative ions,  $\text{K}^+$  and  $\text{Fe}^{3+}$ . The DFT results in Figure 4b render the

1 lowest-energy binding configurations for  $K^+$  (unidentate, protonated carboxyl),  $Pb^{2+}$  (unidentate,  
2 deprotonated carboxyl), and  $Fe^{3+}$  (bidentate, deprotonated carboxyl). This explains the preserved  
3 Raman features of the protonated carboxyl group in the SERS spectra. More importantly, the  
4 measured peak position of  $\nu_s(\text{COO-ion})$  of monovalent and divalent cations with lower  
5 wavenumbers, whereas the  $\nu_s(\text{COO-ion})$  frequency for trivalent cations with larger wavenumbers.  
6 In this case, we conducted DFT simulations to investigate the ligand interaction in carboxyl-metal-  
7 ion complex systems. The typical characteristic peak position of carboxylate symmetric vibration  
8  $\nu_s(\text{COO}^-)$  was selected for comparison since the low valence ions (such as  $Pb^{2+}$ ) bind in a  
9 unidentate geometry whereas the high valence ions (such as  $Fe^{3+}$ ) are bidentate. As shown in  
10 Figure S10 and Table S1, it is obvious that the  $\nu_s(\text{COO}\cdot Fe^{3+})$  has a much higher wavenumber shift  
11 than that for  $\nu_s(\text{COO}\cdot Pb^{2+})$ , which is consistent well with our experimental results. This  
12 phenomenon can also be explained by the optimized binding configurations and pervious  
13 spectroscopic studies<sup>44-45</sup>. Generally, the frequency of  $\nu_s(\text{COO-ions})$  has a lower wavenumber in  
14 unidentate configuration and a higher wavenumber in bidentate configuration.

15 Finally, we also analyzed the binding energy ( $\Delta E_b$ ) of three representative ions with the carboxyl  
16 group. Considering the calculated  $\Delta E_b$  of these three ions ( $K^+ < Pb^{2+} < Fe^{3+}$ ), we can conclude that  
17 binding affinity is strongly correlated with ion valence. Trivalent and divalent ions have a higher  
18 charge acceptability, and thus the complex stability is larger than those monovalent cations. This  
19 also explains that  $Fe^{3+}$  has an optimized bidentate configuration, because trivalent ions have  
20 enough charge acceptability to attract charges from the second oxygen of carboxyl group<sup>37</sup>.

21 In conclusion, we have systematically investigated the ligand interaction and conformation  
22 change in carboxyl-metal-ion complex systems through combining resonant SERS spectroscopy  
23 and DFT calculations. By comparing the Raman wavenumber shift between pristine carboxyl and

1 carboxyl-metal ion complexes (both adsorbed on a resonant solid SERS substrate), we have  
2 observed distinguishable conformation changes in the carboxyl group molecule, 4-MBA.  
3 Additionally, at high  $Pb^{2+}$  concentrations, the 4-MBA molecules adopt a tilted orientation upon  
4 adsorption on the surface of the SERS substrate due to the repulsive interaction between adjacent  
5 carboxyl-ion complexes, which is manifested by the appearance of an out of plane vibration mode  
6 of the carboxyl group. Finally, we find that binding configuration of carboxyl-metal ion complex  
7 depends on the valence of bound ions, and the observed general tendency is consistent with the  
8 DFT optimized lowest energy configurations. This easy to implement resonant SERS approach  
9 provides a useful means to probe ligand interaction and detect heavy metal ions.

10

## 11 ASSOCIATED CONTENT

### 12 Supporting Information

13 The Supporting Information is available free of charge on the

14 ACS Publications website at DOI:xxxx

## 15 AUTHOR INFORMATION

16 #Willis Kwun Hei Ho, Zhi Yong Bao, Xiaorong Gan contribute equally to this work.

17 The authors declare no competing financial interests.

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# Supporting information

## Experiment Section

### 1. Seed mediated growth of GNRs

The Au seed solution was prepared by adding Au (III) chloride trihydrate (HAuCl<sub>4</sub> 0.25 mL, 0.25 mM) into hexadecyltrimethylammonium bromide (CTAB 9.75 mL, 0.1 M) solution, followed by injecting ice-cold sodium borohydride (NaBH<sub>4</sub> 600 μL, 10 mM) to reduce the Au (III) ion into Au seeds. Then the seed solution was gently stirred for 1 min, the resultant mixture should be kept in room temperature at least 2 hours. The growth solution was prepared by adding HAuCl<sub>4</sub> (2 mL, 10 mM), silver nitrate (0.4 mL, 400 μL), and ascorbic acid (320 μL, 0.1 M) into CTAB (5 mL, 0.20 M) solution with gentle mixing. Then the mixture color will change from yellowish to colorless. Finally, the prepared seed solution (80 μL) was added into the growth solution, and the resultant mixture was kept in room temperature overnight.

### 2. Deposition of GNRs on glass substrate

To prepare the resonant solid SERS substrate, the concentration of surfactant (CTAB) should be carefully controlled by centrifugation of prepared GNR for twice (5000 rpm, 15 mins) and then the treated GNRs were re-dispersed into deionized water. Meanwhile, the glass slide was cleaned by ultra-sonication in acetone, ethanol and deionized water for 30 min, respectively. Finally, the cleaned glass slide was immersed in the GNRs solution for 5 hours (the concentration of CTAB is estimated as 2.2 μM), a monolayer GNRs array would be assembled on the glass substrate.

### 3. Electron microscope characterization

The surface morphology of the resonant solid SERS substrate are characterized by scanning electron microscope (JEOL JSM-6335F) operating at 12 kV.

### 4. SERS measurements of 4-MBA and metal ions/4-MBA complex

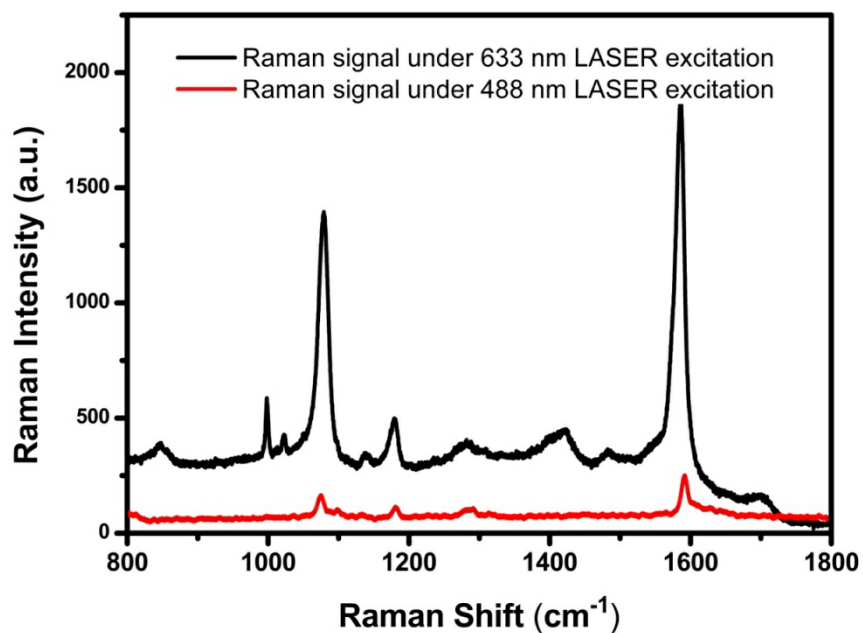
The substrate is first soaked in the 5 mM 4-MBA ethanol solution and left it undistributed for 24 hours. Before the SERS measurements, the substrate is dried by nitrogen (N<sub>2</sub>) gas. Then the SERS measurements are performed by using a Raman spectrometer from Princeton Instruments (HORIBA HR800) with an excitation laser wavelength of 633 nm. The laser power (with a beam diameter of 2 μm) was approximately 1 mW and the integration duration was kept constant at 1 s. The SERS measurement details for metal ions/4-MBA complex are similar to that for 4-MBA SERS sample.

## Computational details.

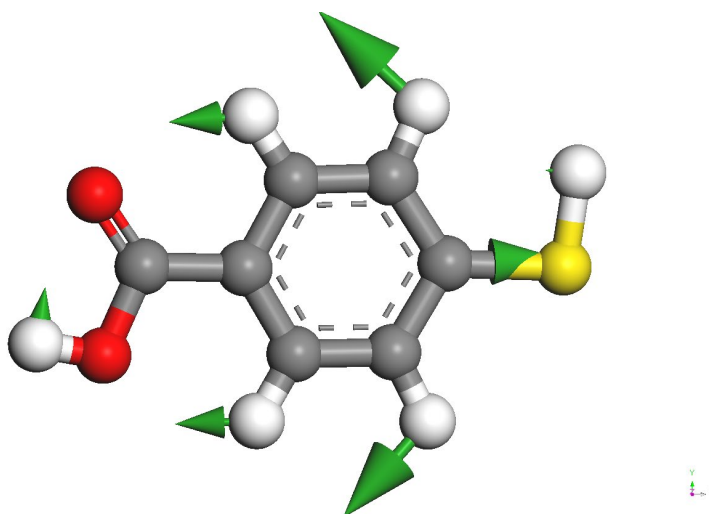
All calculations for the binding processes between 4-MBA and metal ion hydration were performed using the DMol<sup>3</sup> code. The nonlocal GGA functional by Perdew and Wang (PW91) was used for all geometry optimizations. A basis set of numeric atomic functions (DNP) has been used after considering the water solvent effect. The binding energy ( $\Delta E_b$ ) between SERS molecule and metal ion hydration was calculated according to the following equation:

$$\Delta E_b = E(\text{SERS molecule} + \text{M}) - E(\text{SERS molecule}) - E(\text{M})$$

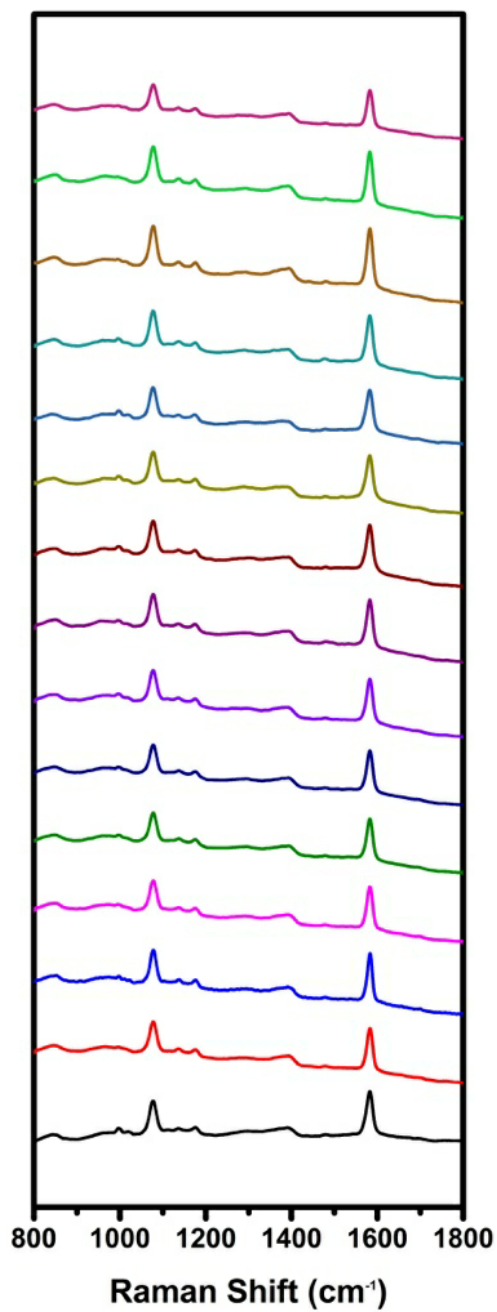
1 where  $E(\text{SERS molecule})$ ,  $E(\text{M})$ , and  $E(\text{SERS molecule}+\text{M})$  represent the total energies of SERS  
2 molecule (4-MBA protonated/deprotonated), metal ion hydration, and their composite formed by  
3 the coordination interaction respectively.



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5 **Figure S1.** The SERS 4-MBA signal obtained from 633 nm laser excitations (black curve) and 488 nm (red curve).  
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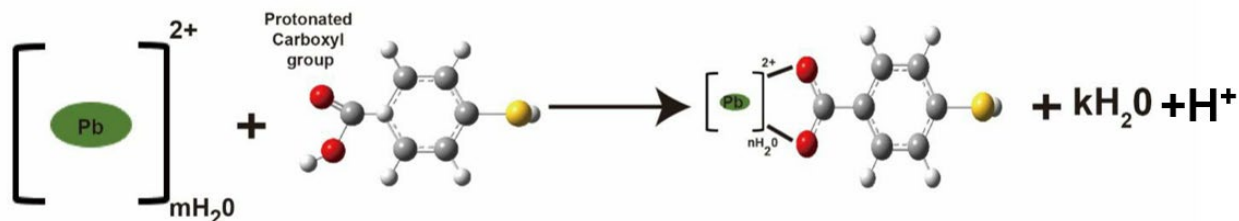
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11 **Figure S2.** The calculated vibration mode at 1097 cm<sup>-1</sup>, showing the breathing vibration of aromatic ring  
12 and  $\nu(\text{C-S})$ . Green arrows indicate the atomic vibration direction.  
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**Figure S3.** 15 independent SERS spectra for the Pb<sup>2+</sup>/4-MBA system collected under resonant excitation at 633 nm.

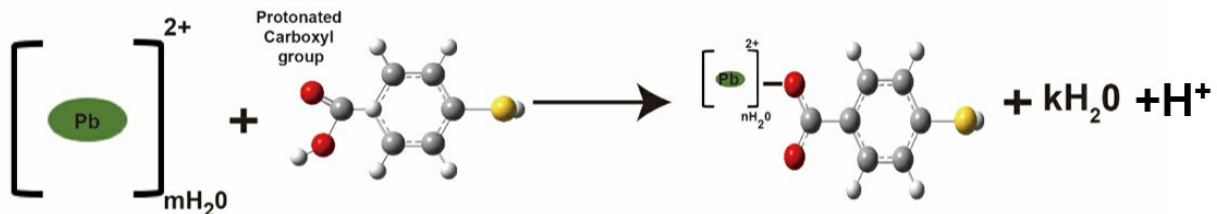
1 **Figure S4 DFT calculations on carboxylate-Pb<sup>2+</sup> coordination complex**  
 2 The most stable configuration is determined by the change of Gibbs free energy though the whole  
 3 complexation which consider the free energy of each species. The most stable configuration of  
 4 each case is highlighted in red color.

5  
 6 (a): Bidentate case



Free energy of Pb <sup>2+</sup> - bidentate carboxylate complex (Ha)	Free energy of H <sub>2</sub> O (Ha)	Free energy of Protonated carboxyl group (Ha)	Free energy of the most stable Pb <sup>2+</sup> aqua complex 6H <sub>2</sub> O-Pb <sup>2+</sup> (Ha)	ΔG (Ha)
(n=6)	(k=0)			
-20803.43124	0	-817.819	-19985.5332	-0.0790
(n=5)	(k=1)			
-20727.04397	-6.37596957	-817.819	-19985.5332	-0.0677
(n=4)	(k=2)			
-20650.65143	-152.752	-817.819	-19985.5332	-0.0512
(n=3)	(k=3)			
-20574.26304	-229.1279087	-817.819	-19985.5332	-0.0387
(n=2)	(k=4)			
-20497.86919	-305.5038783	-817.819	-19985.5332	-0.0208
(n=1)	(k=5)		6H <sub>2</sub> O-Pd <sup>2+</sup>	
-20421.46441	-381.8798479	-817.819	-19985.5332	0.0080

8  
 9 (b): Unidentate case



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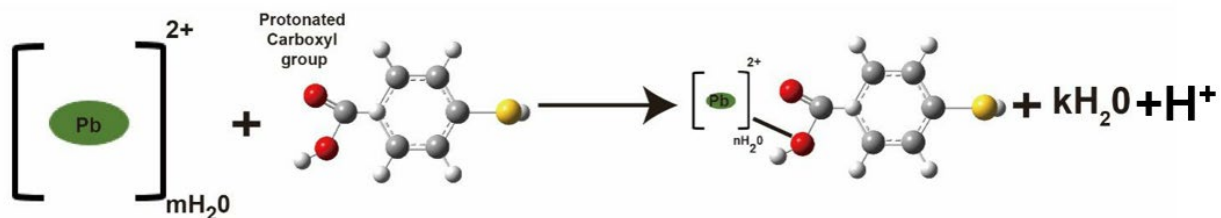
Free energy of Pb <sup>2+</sup> -unidentate carboxylate complex (Ha)	Free energy of H <sub>2</sub> O (Ha)	Free energy of Protonated carboxyl group (Ha)	Free energy of the most stable Pb <sup>2+</sup> aqua complex 6H <sub>2</sub> O-Pb <sup>2+</sup> (Ha)	ΔG (Ha)
(n=6)	(k=0)			
-20803.4	0	-817.819	-19985.5332	-0.0478
(n=5)	(k=1)			
-20727	-76.37596957	-817.819	-19985.5332	-0.0238
(n=4)	(k=2)			
-20650.7	-152.752	-817.819	-19985.5332	-0.0998
(n=3)	(k=3)			
-20574.3	-229.1279087	-817.819	-19985.5332	-0.0757
(n=2)	(k=4)			
-20497.9	-305.5038783	-817.819	-19985.5332	-0.0517
(n=1)	(k=5)		6H <sub>2</sub> O-Pb <sup>2+</sup>	
-20421.5	-381.8798479	-817.819	-19985.5332	-0.0277

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1 (c): Unidentate-protonated carboxyl case

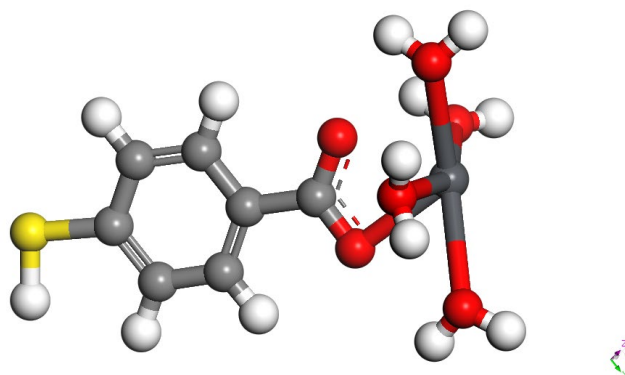


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Free energy of Pb <sup>2+</sup> -unidentate carboxylate complex (Ha)	Free energy of H <sub>2</sub> O (Ha)	Free energy of Protonated carboxyl group (Ha)	Free energy of the most stable Pb <sup>2+</sup> aqua complex 6H <sub>2</sub> O-Pb <sup>2+</sup> (Ha)	ΔG (Ha)
(n=6)	(k=0)			
-20804.04262	0	-818.4660303	-19985.5332	-0.0434
(n=5)	(k=1)			
-20727	-76.37596957	-818.4660303	-19985.5332	-0.0342
(n=4)	(k=2)			
-20651.3	-152.752	-818.4660303	-19985.5332	-0.0140
(n=3)	(k=3)			
-20574.9	-229.1279087	-818.4660303	-19985.5332	0.0059
(n=2)	(k=4)			
-20498.5	-305.5038783	-818.4660303	-19985.5332	0.0280
(n=1)	(k=5)			
-20422.1	-381.8798479	-818.4660303	-19985.5332	0.0569

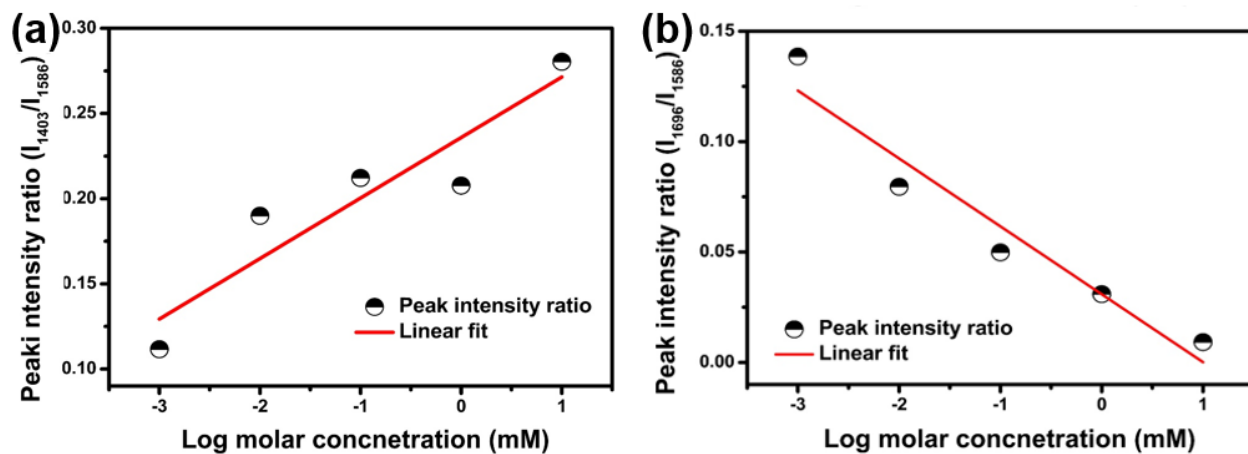
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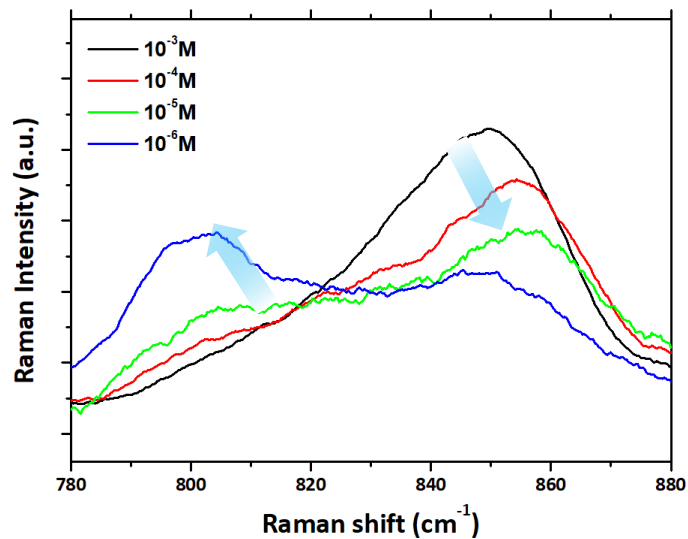


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Having the change of Gibbs free energy of each case, the Unidentate-carboxylate case has found to be the most stable configuration ( $\Delta G = -0.0998$  Ha).

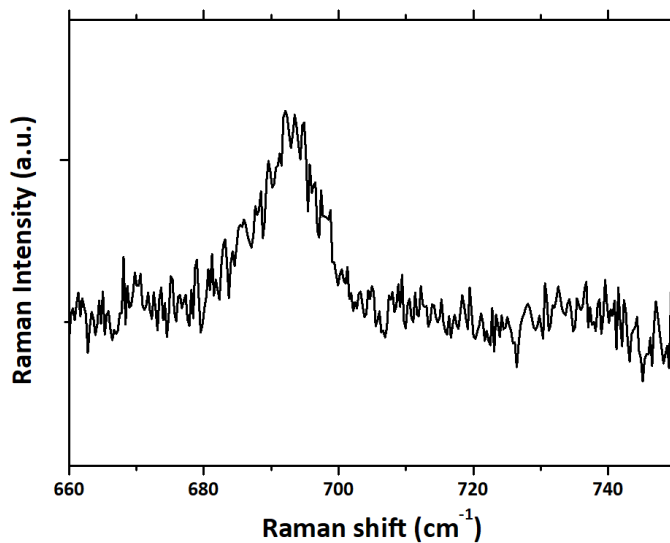


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9 **Figure S5.** The relative peak intensity of peaks 1402  $\text{cm}^{-1}$  (a) and 1696  $\text{cm}^{-1}$  (b) vary with the Log molar  $\text{Pb}^{2+}$   
10 concentration. The intensity is normalized to the maximum peak intensity at 1585  $\text{cm}^{-1}$ .



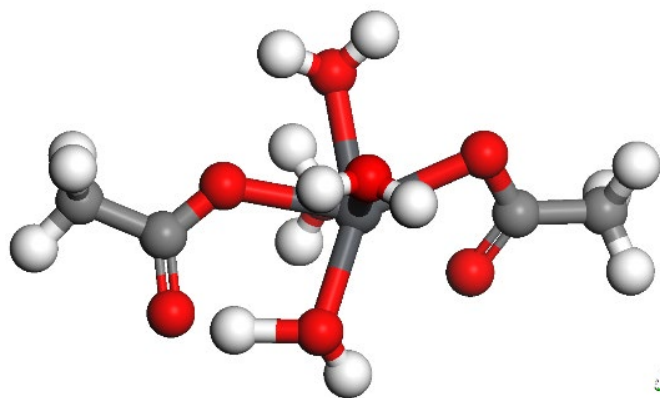
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**Figure S6.** The 4-MBA SERS spectra with different  $\text{Pb}^{2+}$  concentration ( $10^{-3}\text{M}$ -  $10^{-6}\text{M}$ ). Note that,  $\nu(\text{C-COOH})$  is located at  $800\text{ cm}^{-1}$

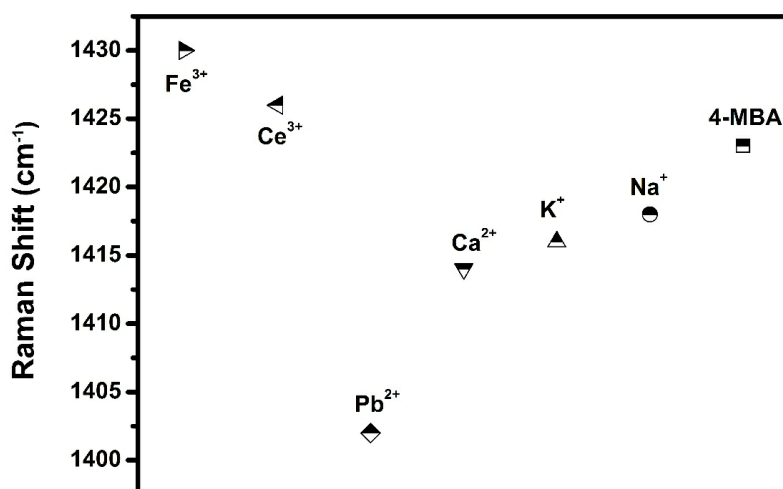


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**Figure S7.** The 4-MBA SERS spectra of D.I. water/4-MBA system and no peak is found in  $718\text{ cm}^{-1}$ .

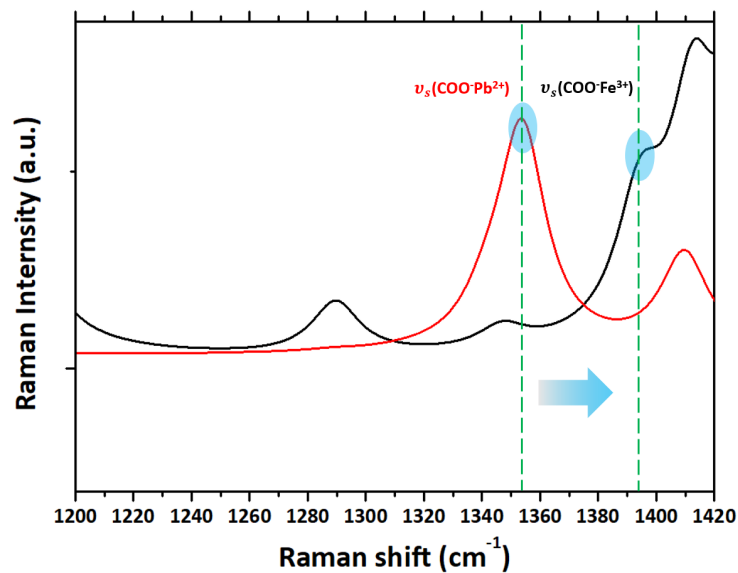


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 2 **Figure S8.** The calculated binding energy of lead (II)-acetate is 1.722 eV. The central  $\text{Pb}^{2+}$  ion coordinate to four  $\text{H}_2\text{O}$   
 3 and two acetates molecules.



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 7 **Figure S9.** The peak position of  $\nu_s$  (COO-metal ions) coordinated with different metal ions/4-MBA complex.

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2 **Figure S10.** DFT calculated peak position of  $\nu_{8a}$  and  $\nu_s(\text{COO-M}^+)$ . The calculation is obtained by the optimized  
3 geometry of  $\text{Pb}^{2+}/4\text{-MBA}$ (Unidentate) and  $\text{Fe}^{3+}/4\text{-MBA}$ (Bidentate).

4

	$\nu_{8a}$	$\nu_s(\text{COO-M}^+)$
$\text{Pb}^{2+}/4\text{-MBA}$	1554 $\text{cm}^{-1}$ (1586 $\text{cm}^{-1}$ )	1353 $\text{cm}^{-1}$ (1402 $\text{cm}^{-1}$ )
$\text{Fe}^{3+}/4\text{-MBA}$	1552 $\text{cm}^{-1}$ (1586 $\text{cm}^{-1}$ )	1395 $\text{cm}^{-1}$ (1430 $\text{cm}^{-1}$ )

5  
6 **Table S1.** DFT calculated Raman spectra of  $\text{Pb}^{2+}/4\text{MBA}$  and  $\text{Fe}^{3+}/4\text{-MBA}$  systems. The highlighted areas in blue  
7 indicate the peak position of  $\nu_s(\text{COO-ion})$ .

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9