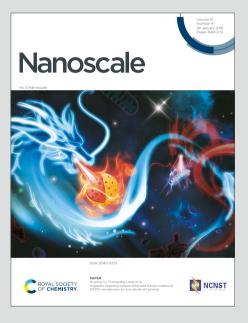




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# Anomalous variable-temperature photoluminescence of CsPbBr<sub>3</sub> perovskite quantum dots embedded into an organic solid

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Keywords: Perovskites, metal lead halide, quantum dots, photoluminescence

Abstract: All-inorganic lead halide perovskite quantum dots (PQDs) of CsPbBr<sub>3</sub> were synthesized at room temperature via a facile solution-based procedure. Cubic phase structure of the synthesized PQDs was judiciously identified through examining high-resolution transmission electron microscope (TEM) images, selected area electronic diffraction (SAED) patterns and scanning TEM images of the PQDs. Variable-temperature photoluminescence (PL) spectra of the CsPbBr<sub>3</sub> PQDs randomly embedded into a frozen solid of methylbenzene were measured in a temperature range of 5-180 K. It is found that both linewidth and peak position of the measured PL spectra are abnormally almost temperature independent in the interested temperature range. Some competing mechanisms, such as a competition between bandgap blue shift induced by thermal lattice expansion and red shift by thermal escaping of localized excitons, and a competition between lineshape broadening by phonon scattering and narrowing by thermal escaping of localized excitons, are proposed to interpret the phenomena. Good agreement between the theoretical fitting and the experimental data leads to a state-of-the-art understanding of the temperature-dependent luminescence of the CsPbBr<sub>3</sub> PQDs in solid matrix.

# 1. INTRODUCTION

In recent years, perovskite quantum dots (PQDs) have emerged as promising candidates for light harvesting and emitting materials mainly because of their extraordinary optical properties. 1-5 Among various PQDs, inorganic halide PQDs of CsPbX<sub>3</sub> are of particular interest due to their high luminescence quantum yield (QY) and large emission color tunability via controlling its composition and feature size. 6-10 Moreover, such all inorganic PQDs show much better chemical stability in comparison with their organicinorganic hybrid counterparts. Currently, CsPbBr<sub>3</sub> PQDs are being extensively investigated as an outstanding example of all inorganic PQDs. 10-14 More interestingly, a recent theoretical study by Kang and Wang<sup>15</sup> reveals that pure inorganic CsPbBr<sub>3</sub> is highly defect tolerant because that most of the intrinsic defects induce shallow transition levels. Therefore, CsPbBr<sub>3</sub> perovskite can maintain its good electronic quality despite the presence of defects.<sup>15</sup> In fact, more-thanexpected rich physics and chemistry as well as

application potential have been recently discussed by Huang et al. for CsPbBr<sub>3</sub> perovskite, especially for nanostructures of CsPbBr<sub>3</sub> perovskite. <sup>16</sup> Among them are the crystalline structures and luminescence properties. Cottingham and Brutchey utilized X-ray diffraction and pair distribution function (PDF) analysis of X-ray total scattering data to indicate that the crystal structure of CsPbBr<sub>3</sub> PQDs is unequivocally orthorhombic (Pnma).17 However, a more recent study by Brennan, Kuno, and Rouvimov on the atomic structure of CsPbBr<sub>3</sub> nanocubes shows some evidence for a size dependency to the crystal symmetry of CsPbBr<sub>3</sub> nanostructures. <sup>18</sup> For example, they found that the coexistence of both cubic (Pm3m) and orthorhombic lattices may exist in CsPbBr<sub>3</sub> nanocubes with average edge length of ~10 nm, whereas solely cubic character is observed in CsPbBr3 nanocubes with ~5 nm edge length. In fact, Yu and his co-workers obtained an earlier observation of the co-existence of cubic and orthorhombic phases in ultrathin twodimensional CsPbBr<sub>3</sub> halide perovskites by combining aberration-corrected high-resolution transmission

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electron microscopy (HRTEM) with exit-wave reconstruction simulation. <sup>19</sup> Such atomic resolution imaging measurements not only offer unprecedented opportunities to better understand halide perovskites at the atomic level, but also may gain critical insight into the structure-property relationship of CsPbBr<sub>3</sub> and other materials nanostructures.

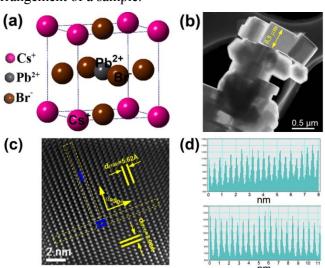
On the other hand, surrounding environments may have significant impact on microstructure and properties of CsPbBr<sub>3</sub> nanostructures. For instance, He et al. recently demonstrated that inorganic CsPbBr<sub>3</sub> perovskite nanorods in a polymer matrix can have good dimensional control, outstanding optical properties and ultrahigh environmental stability. 20 Zhang et al. showed that silica coating can enhance stability and even luminescence quantum efficiency of CsPbBr<sub>3</sub> PQDs.<sup>21</sup> Obviously, an in-depth investigation of crystalline structure and variable-temperature photoluminescence (PL) of CsPbBr<sub>3</sub> PODs is of scientific interest. In particular, a variable-temperature PL study on CsPbBr<sub>3</sub> PQDs embedded into an organic solid has not yet been reported and thus highly desirable for enhancing the existing understanding of luminescence mechanism of composite PQDs + surrounding solid. By using combined techniques of HRTEM + selected area electronic diffraction (SAED) + high angle annular dark-field scanning TEM (STEM), we obtain strong evidence for the cubic (Pm3m) lattice phase in the synthesized CsPbBr<sub>3</sub> PQDs. More interestingly, it is found that both PL peak position and full-width at half maximum (FWHM) exhibit unusual behaviors, i.e., nearly independent on temperature in the interested temperature range when the PQDs are randomly embedded into a frozen methylbenzene solid. A compensating mechanism is proposed to quantitatively interpret the anomalies of two major spectral parameters in their temperature dependence for the CsPbBr<sub>3</sub> PQDs. Such a study thus may gain a deeper insight into the challenging thermal behaviors of luminescence of the PQDs embedded into a solid matrix.

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### 2. RESULTS AND DISCUSSION

Figure 1(a) illustrates a schematic diagram of cubic crystalline structure of perovskite ABC<sub>3</sub> unit cell, where Cs<sup>+</sup> ions occupy the corner A-site and Pb<sup>2+</sup> ions located at the body-center B-site. Br ions located at the face center C-sites serve as ligands surrounding the Pb<sup>2+</sup> center ions. Figure 1(b) presents a low-resolution TEM image of the synthesized PQDs. In this low-magnification image, the PQDs assemble together and look like a polycrystalline collective body with irregular shapes and feature size of sub-micro meters.

This phenomenon could be interpreted in terms of serious aggregation effect in the CsPbBr<sub>3</sub> PQDs under the conditions of high density. But, HRTEM image of individual PQDs shows a nearly perfect crystalline lattice structure, as seen in Figure 1(c). Regular crystalline planes are so clearly resolved that some interplanar spacings can be directly read out, i.e., 5.62 and 3.98 Å as marked in the HRTEM image. Two selected mutual rectangular regions denoted as I and II, respectively, shall correspond to the two different families of crystallographic planes. By measuring their interplanar spacings as shown in Figure 1(d), we may ascribe them to the (011) and (100) crystallographic planes in a cubic structure with space group of  $Pm\overline{3}m$ . To further support such assignment, we measured SAED patterns and high angle annular dark-field STEM image along the <011> zone-axis, as shown in Figure 2(a) and (c), respectively. By simulating the SAED patterns and examining the STEM image with atomic resolution, we may determine local atomic arrangement of a sample. 22,23

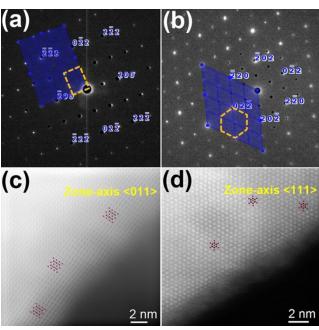


**Figure 1**. (a) Atomic structure model of the cubic CsPbBr<sub>3</sub> perovskite unit cell. (b) Low-resolution TEM image of the synthesized CsPbBr<sub>3</sub> PQDs. (c) HRTEM image of the synthesized CsPbBr<sub>3</sub> PQDs. (d) Contour profiles of region I (upper) and II (bottom) in (c). Interplanar spacings can be directly determined.

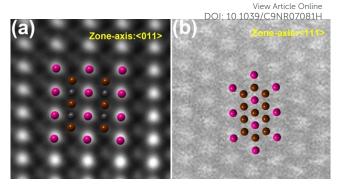
Figure 2(b) and (d) show the measured SAED patterns and STEM image along the zone-axis of <111>, respectively. Regularly distributed diffraction spots can be clearly observed in the SAED images (e.g. reciprocal space), indicating at least the local excellent lattice array in real space. In Figure 2(a), the entire diffraction patterns may be reconstructed with a unit structure of rectangle plane (yellow dashed), while the SAED patterns in Figure 2(b) can be rebuilt with a unit

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structure of standard hexagon shape outlined by yellow dashed line. With a model of cubic atomic structure and single crystal simulation software, 24 the Miller index of each plane (e.g. each spot in the SAED image) can be determined, as marked in Figure 2(a) and (b). For the STEM images, we adopted the CrystalMaker Software<sup>25</sup> to simulate them. Figure 3(a) and (b) show the atomic structures (color balls) derived from the simulations to the SAED and STEM images overlaid on the amplified local HRTEM and STEM images, respectively. It is clearly seen that the derived atomic structures with a cubic phase match well with the experimental results. The excellent agreement between simulation and experiment provides strong evidence for the crystal structure identification that the synthesized PQDs possess a cubic structure rather than an orthorhombic phase. Compared to the latter, cubic phase may enable PQDs more stable and more efficient in luminescence due to high symmetric crystalline structure.



**Figure 2**. Experimental and simulated SAED patterns along the zone-axis of (a) <011> and (b) <111>. (c), (d) Measured STEM images along these two zone-axis directions. Derived two atomic structures (color balls) were overlaid on the STEM images, respectively.



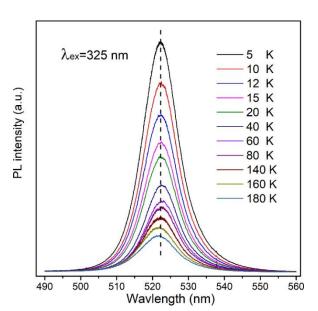
**Figure 3**. (a) Derived atomic structure (color balls) along the <0**1**1> zone-axis overlaid on a local HRTEM image (white and dark spots). (b) Derived atomic structure (color balls) along the <1**1**1> zone-axis. The background image was a local STEM image.

careful crystalline After doing a structure characterization and identification, we turn to discuss variable-temperature PL properties of the CsPbBr<sub>3</sub> PODs embedded into a frozen methylbenzene solid. Figure 4 shows measured variable-temperature PL spectra of the CsPbBr<sub>3</sub> PQDs in the frozen methylbenzene solid under the excitation of a 325 nm He-Cd laser. Like in cases of other semiconductors, usual thermal quenching behavior was also observed in a temperature range of 5-180 K for the luminescence of CsPbBr<sub>3</sub> PQDs in the frozen solid. As seen in Figure 5(a), however, PL peak positions (solid circles) show abnormal almost independence on temperature in the interested temperature range. Note that the variabletemperature PL spectra shown in Figure 4 can be fitted very well with a standard Gaussian lineshape function.<sup>26,27</sup> By doing such lineshape fitting, we obtained PL FWHM data (solid triangles) at various temperatures, as shown in Figure 5(b). Also, FWHM data shows an anomalous nearly independence on temperature. When PL thermal quenching of the CsPbBr<sub>3</sub> PQDs may be understood in terms of thermal activation of non-radiative recombination centers, 28-30 the weak temperature dependence of both PL peak position and FWHM is a challenging abnormal behavior. Swarnkar et al. also observed that the PL peak positions of colloidal CsPbBr<sub>3</sub> nanocrystals do not alter with measurement temperature in the range of 25 to 100 °C.3 As argued below, we propose competing thermal activation mechanisms to interpret the abnormal almost temperature independence of the two major PL parameters.

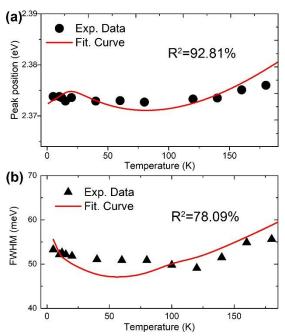
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**Figure 4**. Variable-temperature PL spectra of CsPbBr<sub>3</sub> PQDs in frozen solid of methylbenzene at temperatures ≤ 180 K under the excitation of a 325 nm He-Cd laser. The vertical dashed line was drawn to guide eye.



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**Figure 5**. (a) Peak positions (solid circles) of the variable-temperature PL spectra of CsPbBr<sub>3</sub> QDs in frozen methylbenzene solid vs. temperature. The solid line represents a fitting curve with Eqs. (1) and (2) described in text. (b) FWHM (solid up triangles) of the variable-temperature PL spectra of CsPbBr<sub>3</sub> QDs in a frozen methylbenzene solid vs. temperature. The solid line represents a fitting curve with Eq. (3) described in text.

To elucidate the dependence of PL peak position on temperature, two major effects shall be taken into consideration. They are blue shift induced by thermal lattice expansion and red shift induced by thermal escaping of localized excitons from localization sites (e.g. CsPbBr<sub>3</sub> PQDs). For the former effect in CsPbBr<sub>3</sub> perovskite, it has been well argued by Yu and his coworkers,<sup>30</sup> concluding that the bandgap of perovskite semiconductors follows a positive linear dependence on temperature in a certain temperature range mainly due to thermal expansion of lattice. For the latter effect, the localized-states ensemble (LSE) luminescence model may be utilized to describe it.<sup>31,32</sup> By considering both effects, a quantitative description on temperature dependence of PL peak position may be formulated as

$$E(T) = E_{g}(0) + (A - x) \cdot k_{B}T, \qquad (1)$$

where  $E_g(0)$  is the bandgap of perovskite semiconductor at 0 K, A is an adjustable parameter accounting for the thermal expansion induced blue shift, and x is a dimensionless coefficient which is usually a function of temperature and can be obtained by numerically solving the following nonlinear equation:<sup>31,32</sup>

$$xe^{x} = \left[ \left( \frac{\sigma}{k_{B}T} \right)^{2} - x \right] \left( \frac{\tau_{r}}{\tau_{tr}} \right) e^{(E_{0} - E_{a})/k_{B}T}. \tag{2}$$

Definitions and physical meanings of the various parameters in Eq. (2) can be referred to the LSE luminescence model.<sup>31,32</sup> In Eq. (1)  $k_B$  is the Boltzmann constant, and T is absolute temperature.

By using Eqs. (1) and (2), we did a fitting to the temperature dependence of PL peak position, and the fitting result is illustrated by a solid line, as shown in Figure 5(a). Reasonably good agreement between experiment and fitting is achieved. Adopted parameters for the fitting are tabulated in Table 1. Note that  $\,E_0\,$  in Eq. (2) is taken as  $E_g(0) = 2.372$  eV in the fitting. As for temperature dependence of PL FWHM, spectral broadening effect induced by phonon scattering shall be taken into account. According to Rudin-Reinecke-Segall model,<sup>33</sup> phonon scattering shall include two terms, namely acoustic phonon scattering and LO phonon scattering in polar semiconductors. However, observed weak temperature dependence and even slight narrowing in PL FWHM in the temperature range of 5-150 K are challenging the consideration of only phonon broadening effect. We have to consider other competing mechanisms to compensate the phonon broadening mechanism. Thanks to the LSE model, the efficient thermal escaping of localized excitons,

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especially those occupying at higher energetic states, can lead to an unique narrowing in PL linewidth as the temperature is increased.<sup>32</sup> Therefore, an overall temperature dependence of PL FWHM may be explicitly represented by

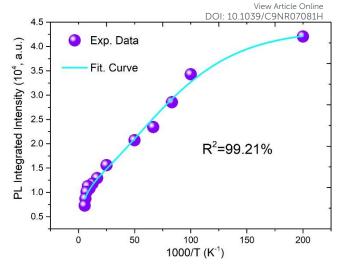
$$\Gamma = \Gamma_0 + \Gamma_c (T) + \sigma_A T + \gamma_{LO} [e^{\hbar \omega_{LO}/k_B T} - 1]^{-1}, \qquad (3)$$

where  $\Gamma_0$  is a constant accounting for the inhomogeneous broadening by imperfections,  $\Gamma_c(T)$  is the narrowing term due to the thermal escaping of localized excitons,  $\sigma_A$  is an coefficient for the broadening by acoustic phonons, and  $\gamma_{LO}$  accounts for the broadening by LO phonons. h $\omega_{LO}$  represents the characteristic energy of LO phonons. A fitting curve with Eq. (3) is shown by solid line in Figure 5(b). Reasonably good agreement between experiment and fitting is achieved, as seen in Figure 6, when  $\Gamma_0 = 9.02$  meV,  $\sigma_A = 0.01 \, meV \, / \, K \, , \gamma_{LO} = 11.61 \, meV \, ,$  h $\omega_{LO} = 20.01 \, meV \, ,$  and the same other parameters as adopted in the fitting calculation of temperature dependence of peak positions are taken. Obtained LO phonon energy is well consistent with

Herein, let us give a brief discussion on  $E_a - E_0$ . In the LSE model, it is argued to give a measure of so-called average thermal activation energy or barrier.<sup>32</sup> Depending on materials and details of carrier localization, it can take positive or negative sign.<sup>30,34</sup> In the case of CsPbBr<sub>3</sub> PQDs in frozen methylbenzene solid, a thermal activation energy of 15.0 meV is obtained. This value is in good agreement with the activation energy (10-15 meV) of trapped excitons in CsPbCl<sub>3</sub> single perovskite crystal<sup>35</sup> and CsPbBr<sub>3</sub> nanocrystals.<sup>36</sup>

**Table 1**. Adopted parameters for the fitting calculation of Eq. (2).

Paramet er	$E_a - E_0$	$ au_r/ au_{tr}$	σ	A
Value	15.0 meV	10	25.2meV	1.78



**Figure 6.** Arrhenius plot of the PL integrated intensity (solid balls) of CsPbBr<sub>3</sub> QDs in frozen methylbenzene solid. The solid line represents a fitting curve with Eq. (4) described in the text. R-squared value is also given in the figure.

Finally, we turn to discuss temperature dependence of the PL integrated intensity. Figure 6 depicts an Arrhenius plot of the integrated PL intensity (solid balls). The solid line is a fitting curve with a well-known two-step thermal quenching formula<sup>24</sup>

$$I = \frac{I_0}{1 + A_1 e^{-\Delta E_1/k_B T} + A_2 e^{-\Delta E_2/k_B T}},$$
 (4)

in which  $I_0$  is the PL integrated intensity at T=0 K,  $\Delta E_1$ and  $\Delta E$ , denote two thermal activation energies. Solid line in Fig. 6 represents a fitting curve with Eq. (4), and the adopted parameters are shown in Table 2. The first thermal activation energy of  $\Delta E_1$ =2.03 meV means that the PL quenches slow in the low temperature range, which may be attributed to the good confinement of excitons in the PQDs. The second larger thermal activation energy of  $\Delta E_2$ =20.01 meV indicates faster quenching of the PL intensity in the higher temperature range. Note that the second larger thermal activation energy is well consistent with the value of  $E_a - E_0 =$ 15 meV obtained previously. Both values are also in excellent agreement with the Raman value and the thermal activation energies obtained by us and other groups.<sup>37,38</sup> This might suggest that emission of LO phonons is a dominate process in the luminescence thermal quenching of the CsPbBr<sub>3</sub> PQDs embedded in frozen methylbenzene solid.<sup>39</sup>

**Table 2**. Adopted parameters for the fitting calculation using Eq. (4).

Paramete  $I_0$   $\Delta E_1$   $\Delta E_2$   $A_1$   $A_2$ 

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Value	4.348×10	2.03 meV	3.46	3.544

### 3. CONCLUSIONS

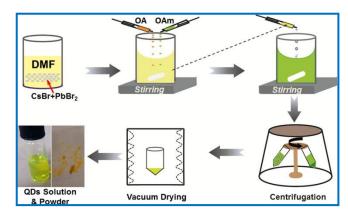
Crystalline structure characterization and variabletemperature PL spectra of CsPbBr<sub>3</sub> PQDs synthesized with a facile room-temperature solution-processed approach are presented in this study. For the crystalline structure of PQDs, it is unveiled to take a cubic phase by using multiple techniques of HRTEM + SAED + STEM + simulation. Anomalous weak temperature dependence is observed for both PL peak position and linewidth of the CsPbBr<sub>3</sub> PQDs incorporated into a frozen methylbenzene solid in the interested temperature range of 5-180 K. We propose some competing mechanisms to quantitatively interpret the anomalous thermal behaviors of both key PL spectral parameters for the first time. Good agreement between experiment and model fitting provides unprecedented luminescence understanding of mechanism of CsPbBr<sub>3</sub> PQDs randomly embedded into organic solid matrix.

## 4. EXPERIMENTAL SECTION

### 4.1 Synthesis of CsPbBr<sub>3</sub> QDs

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Figure 7 schematically shows key procedures for the solution-based preparation of CsPbBr<sub>3</sub> perovskite QDs at room temperature. To synthesize the PQDs, commercially available CsBr (99.9 %, J&K Scientific) and PbBr<sub>2</sub> (99.999 %, J&K Scientific) powders were accurately weighted in accordance with stoichiometric ratio of 1:1. We dissolved the mixture of CsBr and PbBr<sub>2</sub> powders into dimethyl formamide (DMF, 99.9%, Aladdin) followed by vigorous stirring operation to form a uniform solution. Then, a certain amount of OA (AR, Aladdin) and OAm (80-90%, Aladdin) liquids was dropped into the above solution under the continuous stirring for 30 min. Herein, OA and OAm serve as surface ligands. Next, a little amount of above obtained solution was added into 2 mL toluene under the stirring operation. Due to the extremely low solubility of source materials in this solvent, oversaturated solution forms subsequently followed by the crystallization and generation of PQDs. The chemical reaction may be written as: CsBr+PbBr<sub>2</sub>→CsPbBr<sub>3</sub>. Nanocrystal size of CsPbBr<sub>3</sub> PQDs was mainly determined by the dropping concentration of source materials. In order to yield PQDs powder, the above-described PQDs solution was firstly centrifuged at a high speed of 1200 rpm with the precipitation of PQDs at the bottom. Then, the upper solvent was removed and the obtained precipitation of PQDs was washed for three times with anhydrous ethanol. Followed by vacuum drying process at 80 °C for 10 hrs, yellowish PQDs powder was obtained. Note that all these solution-based preparation procedures were carried out at room temperature of 25 °C. This facile approach is thus suitable for massive fabrication of CsPbBr<sub>3</sub> PQDs.



**Figure 7.** Schematic illustration of synthesizing allinorganic CsPbBr<sub>3</sub> PQDs at room temperature with a full solution approach.

### 4.2 Characterization methods

Crystalline structural characterization was conducted on JEOL Model JEM-2011 TEM equipment with functions of high-resolution TEM plus SAED, as well as STEM. Single crystal software was used to simulate the obtained experimental results. Variable-temperature PL spectra were measured on a home-assembled PL setup composed of a high-resolution 1200 l/mm grating monochromator (Spex 750M) and a photomultiplier tube (Hamamatsu R928).40 Sample temperature was precisely controlled from 5 K to 300 K via a Lakeshore temperature controller with a closed cycle refrigerator (Janis Shi cryostat). A lock-in amplifier (Stanford Research SR830) together with a standard optical chopper was employed to enhance the signal-to-noise ratio. PL data were recorded by a PC via data acquire module converting analog signal into digital data.

### **Notes**

The authors declare no competing financial interest.

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