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1 **Microfluidic immobilized enzyme reactors for continuous** 2 **biocatalysis**

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4 *

5 **Abstract**

6 Biocatalysis has attracted significant attention owing to their environmental-friendly nature, high
7 efficiency and remarkable selectivity during reactions. However, enzymes, one powerful catalyst
8 used in biocatalysis, suffer from low stability for long time operation in solution and gradual
9 decrease of activity in storage. Microfluidic reactors are devices known for small dimension, large
10 surface to volume ratio and well-defined reaction time. Enzymes immobilized in the microfluidic
11 reactors can bring in clear benefits such as fast reaction rate, high storage stability, suppressed
12 autolysis and ease of use. The use of microfluidic immobilized enzyme reactors (μ -IMERs) offers
13 several advantages over traditional technologies in performing biocatalytic reactions, such as low

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1 energy consumption, rapid heat exchange, fast mass transfer, high efficiency and superior
2 repeatability. In this review, the strategies of employing μ -IMERs for continuous biocatalysis will
3 be investigated by a top-down approach. First, from the macroscopic perspective, the fabrication
4 techniques of the microfluidic reactors are presented in the aspects of the materials, the
5 configurations and the technologies. Then, from the microscopic point of view, several strategies
6 are discussed for the internal structural designs of microfluidic reactors. Moreover, when we move
7 to a nanoscopic level, attention is paid to the choice of enzyme immobilization techniques for the
8 performance enhancement. Finally, the scalability of microfluidics which transfers the biocatalysis
9 from laboratory try to industrial production is investigated. This review is intended to provide a
10 guide to the biocatalysis in microreactors and to expediting the progress of this important research
11 area.

12 **Keywords:** *in vitro* biocatalysis; microfluidic reactor; enzyme immobilization; multi-enzyme
13 systems; scalability

14

1. Introduction

Biocatalysis is regarded as the most important green research area for sustainable manufacturing in the pharmaceutical and fine chemicals industries due to its low operating costs and high efficiency.¹ Enzyme is one important kind of natural catalysts for biocatalysis which owns many excellent characteristics that artificial catalysts are lack of, such as high efficiency, great selectivity, environment friendly and the ability to catalyze the reaction under mild conditions.² The applications of enzyme for green and sustainable chemical synthesis in industry have also infiltrated into our daily life. Despite this, enzyme still need to be improved on some certain aspects prior to being applied to the mass production of industrialized products, such as reusability and activity recovery for economic effects, long-term operation and storage stability, inhibition of certain reaction products, and selectivity to non-native substrates.³ Moreover, the separation of enzyme from products after the completion of reaction, though costs time and effort, is always an indispensable part of work. Possible contamination of the products should be avoided and the overall operational costs could be reduced.⁴ Fortunately, the technique of enzyme immobilization provides an impressive way to overcome these drawbacks. It greatly simplifies the separation and recovery of enzyme. The activity, stability and selectivity of enzyme can also be improved after immobilization.⁵ Researchers have devoted lots efforts to studying various enzyme immobilization techniques so far, including physical adsorption, affinity bonding, covalent binding, and encapsulation.⁶⁻⁹ Nevertheless, inappropriate immobilization would also cause conformational change, block of active sites and diffusion resistance to the enzyme, which in turn results in the activity loss. Considering the structural diversity, complexity and variability of enzymes and their sensitivity to environmental conditions, the selection of immobilization technique should be very careful with specific analysis. The exploration of simple, efficient and widely adaptable enzyme

1 immobilization methods should also get more attention.

2 In laboratory research of enzyme immobilization, a higher yield can be obtained if the
3 products are separated from the enzymes in time and the substrates are continuously
4 supplemented.¹⁰ Therefore, the application of enzyme immobilization in continuous microfluidic
5 reactors attracts a lot of interests in industrial production like the synthesis of petrochemicals,
6 active pharmaceutical ingredients or value-added materials.¹¹⁻¹³ Continuous microfluidic system
7 outperforms batch system with many advantages, such as small dimension, low cost and energy
8 consumption, high efficiency, rapid heat exchange and fast mass transfer.¹⁴⁻¹⁶ Specifically, the
9 large surface area to volume (SAV) ratio in microfluidic reactors is advantageous for the enzyme
10 loading. Different microchannel types (e.g., wall-coated type, packed-bed type, and monolithic
11 type) also provide various possibilities for the integration of immobilization carriers into micro
12 space. When the enzyme is immobilized in microfluidic reactors, there is no need to separate the
13 enzyme loaded carriers from the reaction solution, which facilitates recovery and reusability of
14 enzyme, therefore saving the time and labor. Moreover, different catalytic reaction conditions
15 (such as temperature, pH, residence time, and pressure) are easier to control in microfluidic
16 reactors as compared to the operation in batch systems.¹⁷ Higher temperature with low-boiling
17 solvents, higher pressure, more uniform heat/pressure distribution, safer and easier reaction control
18 and less unwanted products can be achieved.¹⁸ Furthermore, the stop of reactions can be easily
19 achieved by pumping the substrate out of the reactors without the need for the addition of acid or
20 base that may affect the detection accuracy or products. Then the microfluidic reactors can be
21 directly incorporated into many instruments for real-time analysis and monitoring. Besides, many
22 natural biocatalytic reactions are cascaded multi-enzymatic reactions.¹⁹ Immobilizing enzymes in
23 the microfluidic reactors make it easy to control their sequential order and relative position, thus

1 reverting the natural cascaded reactions to a maximum extent. In addition, microfluidic reactors
2 are easily to be scaled up or scaled out once careful design factors are taken into account.²⁰ In
3 general, these great features of continuous microfluidic immobilized enzyme reactors (μ -IMERs)
4 hold the key for them to be applied in green, sustainable, economical and large-scale industrial
5 production.²¹⁻²³

6 This review gives a comprehensive discussion on the factors that affect the performance of
7 continuous biocatalysis in the μ -IMERs using a top-down strategy. From the macroscopic point of
8 view, the first thing to consider is the fabrication of microfluidic reactors as well as the materials
9 and the configuration designs. Various materials have been developed in microfluidics, such as
10 silicon, glass, polymers and paper. The characteristics of fabrication materials are of vital
11 importance to the performance of catalytic reactions. Special biocatalysis can also be achieved
12 with careful design of the configuration of the device. From the microscopic point of view, the
13 capacity of inner structures of microreactors for enzyme loading also plays a significant role in the
14 overall biocatalytic efficiency. In addition, the specific substrate diffusion path induced by
15 different types of microreactors should also be taken into account. Moreover, from the nanoscopic
16 point of view, different immobilization techniques, which dominate the performance of enzymes
17 such as their activity, stability and reusability in the nano-environment of biocatalytic reactions,
18 are also thoroughly studied. Finally, the scalability of microfluidics which transfers the
19 biocatalysis in laboratory to that in industry for large amount production is briefly reviewed. We
20 hope the discussion in this review can help understand the main characteristics of the rapidly
21 developing μ -IMERs for continuous biocatalysis and can provide a clear guide to the future
22 research.

2. Engineering of microfluidic reactors

2.1 Materials for microfluidic reactors

There are many materials that have been used for the fabrication of microfluidic reactors. The basic characteristics are stable and inert.²⁴ In early days, silicon²⁵ and glass were mostly used, which directly inherited from the semiconductor industry and the microelectromechanical systems (MEMS).²⁶ They usually require the surface salinization and the introduction of some functional groups such as carboxyl groups or amino groups for further immobilization.²⁷ While the high cost and complicated fabrication procedures of them usually limit their applications in microfluidics. Therefore, many polymers with easy fabrication and high compatibility for the biocatalysis have gained significant interest in microfluidics.

As one typical silicon-based organic polymer, polydimethylsiloxane (PDMS) is very popular in the bio-microfluidics application. It is advantageous in excellent biocompatibility, easy fabrication, low cost and optical transparency which is beneficial for the monitor and optical detection of the biocatalytic reaction.²⁸ The flexible feature also makes PDMS an excellent material to fabricate valves and pumps in microfluidic devices. Nevertheless, some problems of PDMS are not negligible: the swelling in some organic solvents, the change of solution concentration due to the water evaporation and the hydrophobic surface which leads to the non-specific adsorption of biomolecules. Thus, oxygen plasma or surface modification are usually required to make it hydrophilic and to introduce functional groups for enzyme immobilization.

Some other polymer materials, such as polymethylmethacrylate (PMMA)²⁹⁻³¹, polystyrene (PS)³², polycarbonate (PC)³³, poly (ethylene terephthalate) (PET)³⁴ and polytetrafluoroethylene (PTFE)^{35,36}, are also widely used for microfluidics fabrication. Though possessing excellent

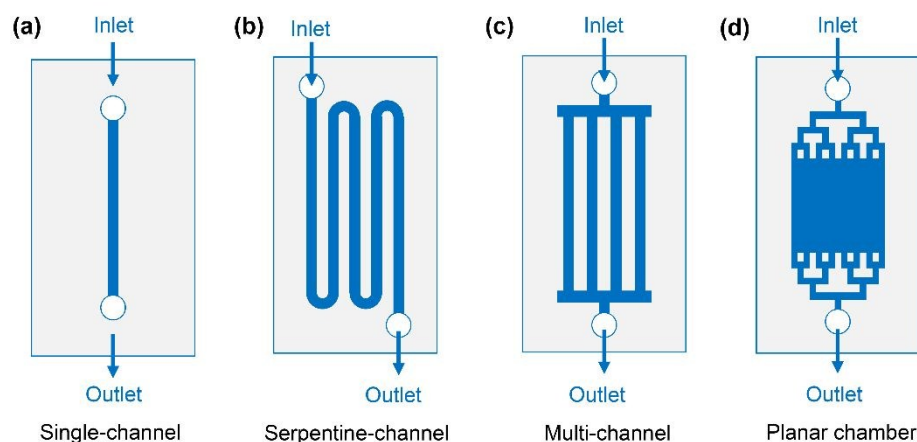
1 chemical, electrical, mechanical, optical and thermal properties,³⁷⁻⁴⁰ they usually also require
2 additional surface modification due to the lack of functional groups on the surfaces. Sometimes
3 stainless steel and ceramic are used for the reactor fabrication if the reaction is operated under high
4 temperature and high pressure.⁴¹ But their high fabrication cost largely restricts their broad
5 applications. Ogończyk *et al.* firstly used PC microchannels for enzyme immobilization in 2012.³³
6 The PC microfluidic chip was able to immobilize different kinds of enzymes like alkaline
7 phosphatase (ALP) and urease by the physical-chemical method. And the enzymatic microfluidic
8 chips also present attractive operation reproducibility, storage stability and high conversion rates.

9 Paper is another promising material for microfluidic reactors fabrication. Paper-based
10 microfluidics generally have porous and open channels, which provide larger surface areas for
11 enzyme immobilization as compared to the conventional microfluidics which have only hollow
12 channels. However, the paper-based microfluidics are mainly used for biochemical analysis,
13 medical diagnostics and forensic diagnostics,^{42,43} which are not in the scope of this review.

14 **2.2 Configuration design of microfluidic reactors**

15 The configuration of microfluidic reactors is varied in different situations. Four representative
16 configuration designs are illustrated in Fig. 1. The single-channel microfluidic chip is the simplest
17 type. It has only one straight channel for the immobilization of enzyme and the transport of
18 substrate (Fig. 1a). The open-tubular or capillaries can also be classified into this category.
19 Nevertheless, the volume of the single-channel microfluidic chip is generally limited for the
20 enzyme loading. The serpentine channel (or curved channel) is accordingly designed by folding
21 up the single channel into the serpentine (curved) shape to increase the effective volume for
22 immobilization (Fig. 1b). The multi-channel microfluidic chip is a more advanced design that
23 multiplies the effective immobilization volume.⁴⁴ As shown in Fig.1c, the microchannels are

1 divided into an array on the input side and a similar unit on the output side. For further volume
2 increase, the planar microfluidic chip is presented by simply enlarging the channel into a planar
3 chamber in the lateral direction (Fig. 1d).

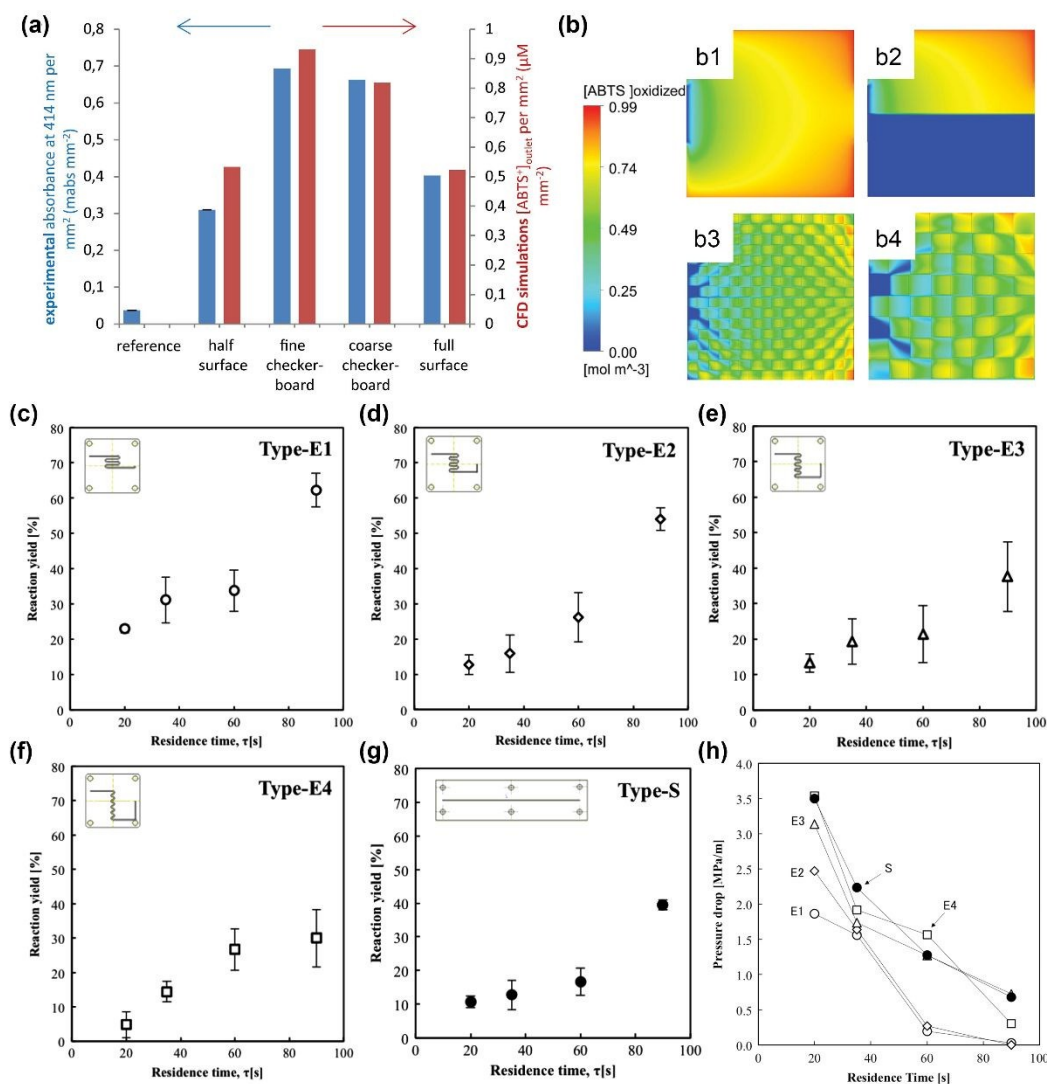


6 Fig. 1 Representative configuration designs of microfluidic chips.

7 The configuration of microfluidic reactors should be well designed when applied to
8 continuous biocatalysis. In the bulk system, the substrate solutions react with the enzymes by
9 mixing and diffusion. The reaction performance is unlikely influenced by the container shape.
10 While in microfluidic chip, the substrate solutions are driven by external forces to react with the
11 enzymes immobilized in the chip. If the immobilization amount of enzyme and the residence time
12 of the biocatalysis are fixed, the configuration design would have a great impact on the
13 accessibility of the substrate to the immobilized enzyme and then affects the overall biocatalytic
14 reaction performance.⁴⁵ Hoffmann *et al.* designed four HRP-immobilized microreactors with
15 different configurations: full surface, half surface, fine checker-board and coarse checker-board.⁴⁶
16 Fig. 2a shows the product absorbance at the reactor outlet for each pattern. The fine and the coarse
17 checkerboard structures exhibited an increased efficiency with 81% and 56% higher absorbance
per active area than the fully modified surface. Different configurations would result in different

1 velocities across the reactors, therefore influencing the mass transport and mixing of the fluid.
2 Consequently, the accessibility of substrate for the enzyme near the surface is affected, leading to
3 the difference in the reaction performance.

4 It has also been proved by Nakagawa et al. that channel shape has a great impact on the
5 backpressure which further affects the enzyme activity.⁴⁷ Five microreactors with different
6 numbers and lengths of elbow and straight sections were prepared. Protease was immobilized in a
7 freeze-dried poly(vinyl alcohol) (PVA) micro monolith prepared in the microreactors. The
8 proteolytic reaction yields of the five reactors obtained in the same resident time were significantly
9 different due to the changes in the elbow and straight sections, as shown in Fig. 2 c-g. The
10 microreactor with the least number of elbow sections had the highest reaction yield but the smallest
11 pressure drop (Fig. 2h). The pressure drop is related to the resultant fluid resistance, which is
12 determined by the microchannel patterning. Therefore, the accessibility of substrate to the
13 immobilized enzyme via diffusion is largely affected, resulting in the differences in the reaction
14 yields. Then the microreactor with the smallest pressure drop would have the highest enzyme
15 activity.



1
2 Fig. 2 (a) Comparison of experimentally determined ABTS⁺⁺ absorbance at 414 nm per mm²
3 modified area at the reactor outlet in steady state condition (blue) and ABTS⁺⁺ outlet concentration
4 obtained from CFD simulations in mM·mm⁻² (red) of various surface patterns: reference (HRP
5 adsorption on non-modified surface, empty squares), half modified surface, coarse checkerboard,
6 fine checkerboard, fully modified surface; (b) Illustration of product concentration on the top
7 surface in the microreactor (in steady state simulations) dimensions using fully modified surface
8 (b1), half modified surface (b2), fine checkerboard structure (b3), coarse checkerboard structure
9 (b4), where red surfaces illustrate a high and blue surfaces a low product concentration. These two

1 figures were reproduced from Ref. 46 with permission from Elsevier Ltd. (c)-(g) Reaction
2 performance of the prepared five reactors using 0.1% β -lactoglobulin solution as the substrate. (h)
3 Pressure drops of the prepared five reactors. These six figures were reproduced from Ref. 47 with
4 permission from Elsevier Ltd.

5 **2.3 Fabrication technologies of microfluidic reactors**

6 The fabrication process of microfluidic reactors includes the microchannel fabrication and the
7 microfluidic chip bonding. The techniques for both steps should be carefully selected by
8 considering the reactors material, the reactors configuration, the fabrication cost and time. For the
9 microchannel fabrication, most techniques are adopted and improved from the MEMS, like
10 photolithography, etching, soft lithography, thermoforming and so on. By contrast, the techniques
11 for the bonding process are generally different from that in MEMS. They may be divided into
12 indirect and direct bonding.

13 **2.3.1 Microchannel fabrication**

14 The most widely used technique to fabricate silicon/glass microfluidic channels is
15 photolithography, by which the pattern of a photomask is transferred to the substrate with the
16 assistant of a photosensitive resist. It consists of six steps as shown in Fig. 3. Step 1, the photoresist
17 is spin-coated on a thoroughly cleaned wafer to form a thin layer. Step 2, the wafer is soft baked
18 to remove the solvents in photoresist and improve the adhesion of resist to the wafer. Step 3, the
19 photoresist layer is exposed to the UV light with a photomask. Step 4, the photoresist layer is then
20 immersed in a developer solution to generate a mask for etching after the post exposure baking.
21 Step 5a, the micro-channels are formed on the substrate by etching to remove the unprotected areas
22 of the photoresist mask. Step 6a, the microchannels are ready for use after the residual photoresist

1 is removed.

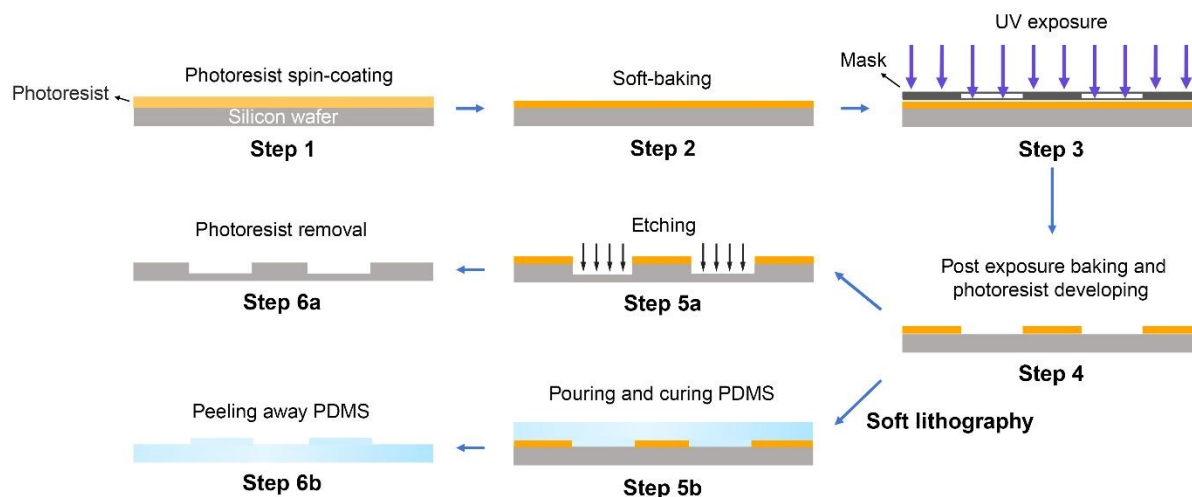


Fig. 3 Process flow of photolithography and soft lithography with PDMS.

As an extension of photolithography, soft lithography is a collection of techniques to fabricate microstructures in a wide range of soft elastomer materials, such as polymers, gels and organic monolayers for the microfluidic applications.⁴⁸ Basically, it uses a patterned elastomeric polymer layer as a mask, mold or stamp to emboss, mold or print the pattern to another soft substrate.⁴⁹ The patterned elastomeric polymer is usually a layer of PDMS that is fabricated from a solid master produced by photolithography (as shown by Step 5b and 6b in Fig. 3). The basis of soft lithography includes microcontact printing, replica molding, microtransfer molding, micromolding in capillaries and a large number of patterning techniques.⁵⁰

Thermoforming techniques are usually employed to pattern the semi-finished thermoplastic foils by stretching or stamping with pressure and heat.^{51,52} The injection molding and hot embossing can also be classified into them. They have the advantages in cost-effective high-volume fabrication and high frequency manufacturing.⁴⁴ However, the thermoforming techniques are less precise to control the aspect ratio than the lithography techniques.⁵³

1 **2.3.2 Bonding process**

2 The bonding process is a very critical step following the microchannel fabrication, by which the
3 microfluidic reactors are sealed to form the enclosed fluid paths.^{54,55} The indirect bonding use an
4 adhesive layer to bring the two substrates together, Epoxy, adhesive tape, or chemical reagents are
5 generally the candidates. The additional layer may affect the chemical, optical and mechanical
6 properties of the substrate materials. In contrast, the direct bonding method, such as oxygen plasma
7 bonding, allows the sealing without any additional materials to the interface.⁵⁶ As a result, the
8 sidewalls of microchannels would be homogeneous with the substrates. The effect of bonding
9 process to the properties of the substrates should be carefully considered when selecting the
10 bonding method. Moreover, some other key parameters, such as the bond strength, surface
11 chemistry, materials properties and fabrication costs, should also be taken into account.

12 **2.3.3 Three-dimensional printing for microfluidics**

13 PDMS and soft lithography make the fabrication of microfluidics easy and cost-effective.⁵⁷
14 However, the limitations of these traditional techniques for microfluidics in large scale, mass-
15 production and three-dimensional (3D) structures fabrication are still inescapable. Recently, the
16 application of 3D printing in microscale fabrication has attracted great interest in microfluidics
17 due to the rapid development of commercial 3D printers.⁵⁸ 3D printing has the obvious advantages
18 in rapidly fabricating complex 3D microfluidic devices in a single step from a computer model.⁵⁹
19 The most widely used 3D printing techniques include selective laser sintering (SLS), fused
20 deposition modeling (FDM), photopolymer inkjet printing, laminated object manufacturing
21 (LOM), and stereolithography (SL).⁵⁷ Nevertheless, fabricating microfluidic devices using 3D
22 printing technology still needs attentions in some aspects, like the precise control at small scale,
23 cost reduction and the adaptability of the 3D printers to different materials.^{60,61}

3. Internal structure designs of microfluidic channel

The amount and the activity of the immobilized enzyme in the microfluidic chip greatly impact the biocatalytic reaction rate. And the internal structure of microfluidic channels would strongly affect these two factors by adjusting the surface-area-to-volume (SAV) ratio of the microchannel and the diffusion pressure of the solution passing through it. Generally, the internal structures of the μ -IMERs are classified into three types: wall-coated type, monolithic type and packed-bed type (Fig. 4). The comparison of the properties of the three types of the microchannels is presented in Table 1.

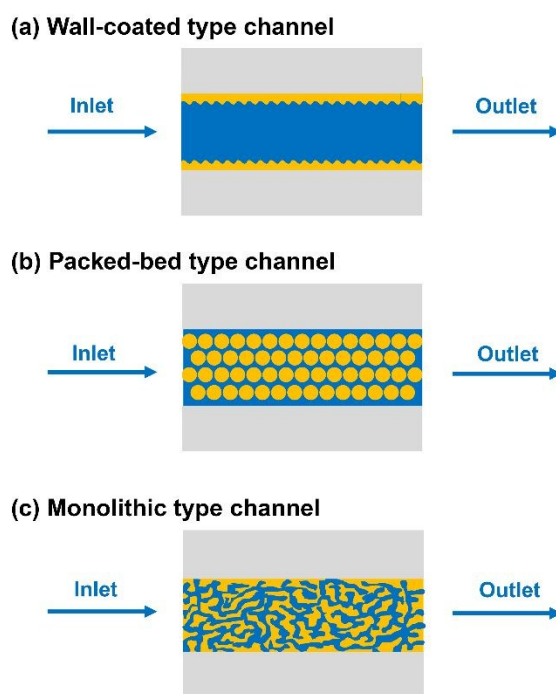


Fig. 4 Typical designs for the internal structure of microfluidic channels.

1 Table 1 Comparison of the properties of different microchannel types

<i>Microchannel type</i>	<i>Wall-coated</i>	<i>Packed-bed</i>	<i>Monolithic</i>
<i>SAV ratio</i>	Small	Large	Large
<i>Pressure drops</i>	Low	High	Low
<i>Diffusion length</i>	Large	Small	Small
<i>Heat transfer</i>	Large	Small	Moderate
<i>Mechanical stability</i>	High	Low	Moderate

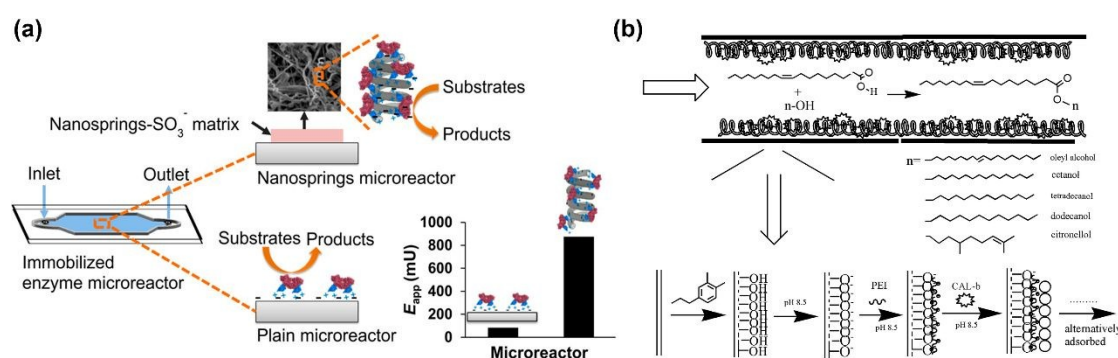
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3 **3.1 Wall-coated type channel**

4 For the wall-coated type μ -IMERs (Fig. 4a), the enzyme is directly immobilized onto the inner
5 wall surface of the microchannel.⁶²⁻⁶⁴ Notably, the available surface areas of the microfluidic walls
6 are quite limited, resulting in low enzyme-loading capacity. In addition, the substrate diffusion
7 path is relatively large in this case and then leading to low biocatalytic conversion rate. Researchers
8 are dedicated to increase the SAV ratio of microchannel, therefore the enzyme loading capacity
9 would be enlarged. One efficient method is to modify the inner wall with some biocompatible
10 nanostructured materials like dopamine,⁶⁵ gold nanoparticles,⁶⁶ graphene,^{67,68} graphene oxide,^{69,70}
11 nanosprings,⁷¹ or MXene.⁷²

12 Recently, Valikhani *et al.* designed a borosilicate microchannel with silica nanosprings
13 attached on the surface for the immobilization of sucrose phosphorylase (Fig. 5a).⁷¹ It was
14 demonstrated that the enzyme-loading amount was increased by an order of magnitude or more as
15 compared to that of the enzyme loaded on the uncoated microchannel walls. Moreover, the
16 nanosprings microreactor also showed an enhanced conversion efficiency on the synthesis of α -
17 glucose 1-phosphate and an improved reusability and stability compared with the plain

1 microreactor. Another feasible solution to increase the active enzyme-loading amount is to
 2 multiple the immobilization layers, which is also defined as the layer-by-layer (LBL) assembly
 3 approach.^{34,35,73-75} A representative work was conducted by Bi *et al.* who alternatively absorbed
 4 polyethyleneimine (PEI) and *Candida antarctica* lipase B (CAL-b) on the microreactor surface
 5 (Fig. 5b).³⁵ The lipase loading was enlarged as the number of layers was increased. It reached
 6 saturation at the 8th layer. The microreactor was also demonstrated to have a high conversion
 7 efficiency and an excellent stability to produce wax ester.

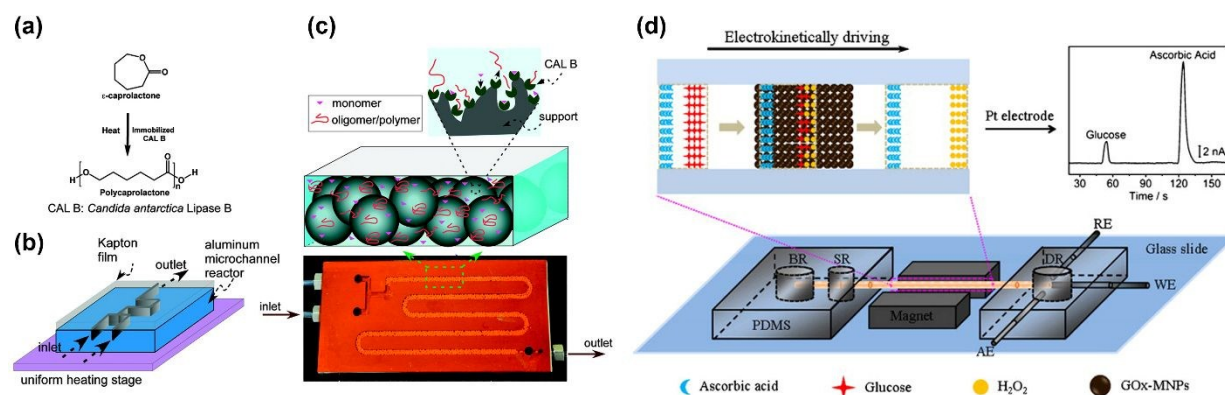


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 9 Fig. 5 Examples of wall-coated microchannels for enzyme immobilization. (a) Schematic of the
 10 sucrose phosphorylase immobilized on the nanosprings microreactor with enhanced enzyme
 11 activity. Reprinted with permission from Ref. 71. Copyright 2017 American Chemistry Society.
 12 (b) Process of immobilization of CAL-b based on self-oxidation of dopamine and LBL method.
 13 Long chains with positive charges represent PEI, and the circles represents the lipase. Reproduced
 14 from Ref. 35 with permission from The Royal Society of Chemistry.

15 3.2 Packed-bed type channel

16 Even though many methods have been developed to increase the SAV ratio of the wall-coated type
 17 μ -IMERs, the enhancement room is very small. In order to maximize the space utilization of the
 18 size-limited channels and therefore maximizing the enzyme-loading amount, enzymes are

1 designed to be immobilized on polymeric or inorganic particles. The enzyme-immobilized
 2 particles are then packed into the microchannel of the μ -IMERs, which is regarded as the packed-
 3 bed type channel (Fig. 4b). The higher SAV ratios of the packed-bed channel also ensures
 4 relatively shorter diffusion distance for substrate to enzyme compared with the wall-coated
 5 channel.^{76,77} Many polymeric particles are already commercially available for the packing.⁷⁷⁻⁸⁰
 6 Some inorganic materials like glass,^{81,82} silica,⁸³ and Fe_2O_3 microparticles⁸⁴⁻⁸⁶ have also been
 7 explored. These packed-bed type μ -IMERs can be fabricated easily and present an extremely high
 8 enzyme-loading capacity.



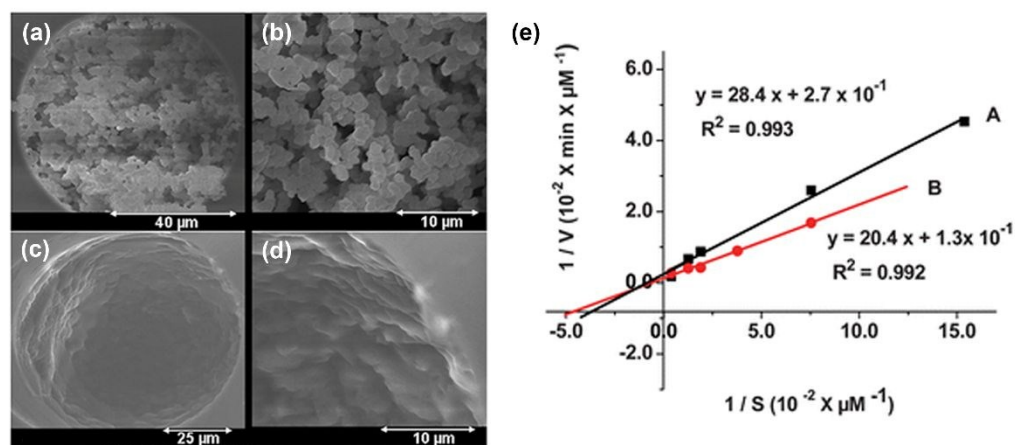
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 10 Fig. 6 Examples of packed-bed microchannels for enzyme immobilization. (a) Reaction scheme
 11 for ring-opening polymerization of ϵ -caprolactone to polycaprolactone. (b) Schematic of the
 12 microreactor setup. (c) Image shows the photograph of a typical microreactor used in this study.
 13 CAL-b immobilized solid beads (macroporous polymethyl methacrylate) were filled in the channel.
 14 These three figures were reprinted with permission from Ref. 78. Copyright 2011 American
 15 Chemistry Society. (d) Scheme representation of the construction and analytical procedure of
 16 glucose oxidase (GOx)-magnetic nanoparticles (MNPs)-microfluidic device (MD). BR, buffer
 17 reservoir; SR, sample reservoir; DR, detection reservoir; WE, working electrode; RE, reference
 18 electrode; AE, auxiliary electrode. Reproduced from Ref. 86 with permission from Elsevier Ltd.

1 Kundu *et al.* designed a microreactor packed with commercially available mesoporous
2 PMMA beads where CAL-b was physically immobilized to study the polymerization of
3 polycaprolactone from ϵ -caprolactone in the continuous mode (Fig. 6a-c).⁷⁸ It was demonstrated
4 that faster polymerization and higher molecular mass were obtained in the microreactors as
5 compared to that in the batch reactors. Another example is the packing of GOx modified MNPs in
6 microfluidic channels for the electrochemical detection of glucose (Fig. 6d).⁸⁶ The performance of
7 the MD could be optimized by changing the packing length of the MNPs, which was hard to
8 achieve in other types of devices. The device also showed good reproducibility, favorable stability
9 and great potential in glucose detection without the need for the pretreatment of serum samples.
10 However, due to the densely packed particles, the fluid passing through the channel is hard to
11 control and the heat transfer inside the channel is very limited.⁸⁷ Moreover, there may be huge
12 pressure drops when the substrate solution flows along the channel. These may have negative
13 impact on the enzyme activity.

14 **3.3 Monolithic type channel**

15 To overcome the drawbacks of the packed-bed type channel, such as high pressure drop, limited
16 heat transfer and the possible leakage at high flow rates, the monolithic type channel (Fig. 4c) is
17 developed. In such a case, the channel is filled by the monolithic material with interconnected
18 meso- or micro- porous structure. This structure exhibits high void fractions, making it easy for
19 the fluid flowing. Consequently, relatively higher flow rates, lower pressure drop, and higher
20 productivities as compared to the packed-bed type channel are obtained in monoliths.^{1,88-90} Higher
21 backpressure can facilitate the rapid diffusion of substrate to immobilized enzyme. As a result, the
22 enzyme activity is increased, which is reflected from the increase in turnover number (k_{cat}) and
23 decrease in Michaelis constant (K_M).⁹¹ It also possesses the advantages of high mechanical

1 durability and reduced diffusion path length over the wall-coated type channel. Qiao *et al.*
 2 immobilized L-asparaginase (L-Asnase) in both the monolithic microreactor and the coating
 3 reactor (i. e., the wall-coated type) by the same immobilization method.⁹² Fig. 7a-d show the SEM
 4 of the monolithic and coating microreactors. The monolithic microreactor was demonstrated to
 5 have a lower K_M than the coating microreactor, showing that a better affinity between the substrate
 6 and the enzyme can be obtained in the monolithic structures as a result of the lower diffusion path
 7 length in monoliths (Fig. 7e). However, a higher maximal velocity was observed in the coating
 8 microreactor due to its relatively lower flow pressure.



9
 10 Fig. 7 SEM of monolithic and coating in capillary: (a, b) monolithic, (c, d) coating. (e) Lineweaver-
 11 Burk plots for L-Asnase immobilized in the monolithic (A, ■) and coating (B, ●) enzymatic
 12 microreactors. Reproduced from Ref. 92 with permission from The Royal Society of Chemistry.

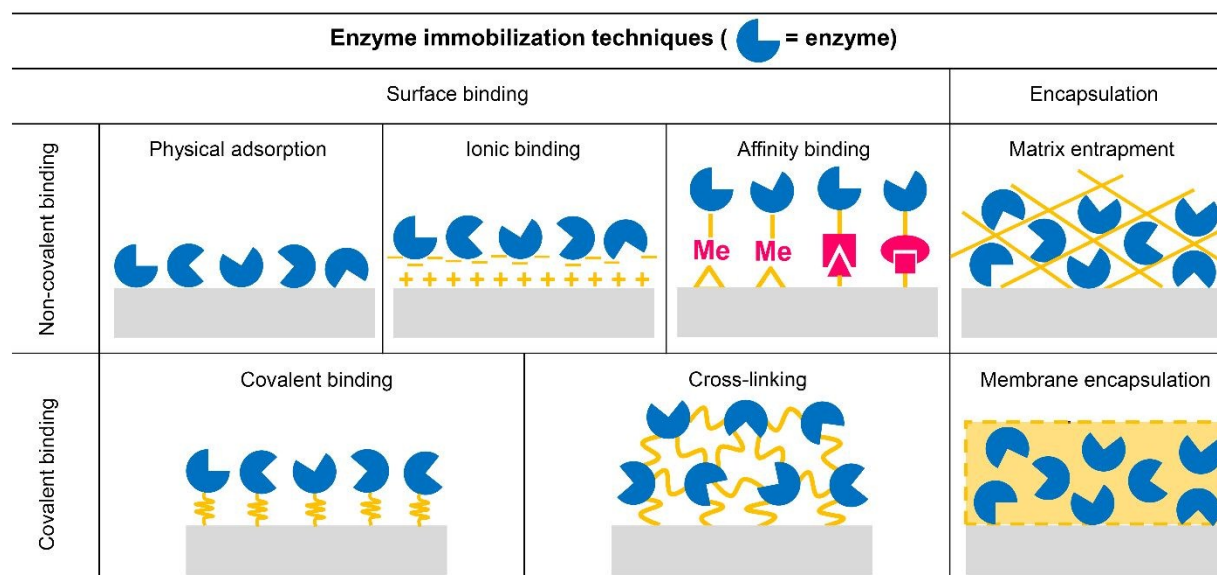
13 The monolithic materials can be organic,⁹³⁻⁹⁷ inorganic⁹⁸⁻¹⁰¹ or hybrid.^{66,102-104} The selection
 14 should be careful for different enzyme immobilizations and different reaction environments.
 15 Generally, the organic monoliths are copolymerized from many monomers and sometimes one of
 16 the monomers is the enzyme. They usually have good biocompatibility and pH resistance but may
 17 be damaged by some organic solvents. An example is the immobilization of amylase in the PVA

1 foams by mixing the amylase solution with the PVA solution before they were put in a cylindrical
2 sample case for freeze drying.⁹⁴ The amylase-immobilized microreactor was demonstrated to
3 successfully conduct continuous starch hydrolysis reactions over 8 days. For the inorganic
4 monoliths, silica-based monoliths are most widely used due to their high binding capacity, great
5 biocompatibility, chemical and thermal stability, and easily functionalization.¹⁰⁵ But compared
6 with the organic polymer monoliths, the preparation of inorganic monoliths is relatively
7 difficult.¹⁰⁶ Therefore, the monoliths used for enzyme immobilization are hybrids of organic and
8 inorganic materials in most of the time. For example, Ma *et al.* developed an organic-inorganic
9 hybrid silica monolith with immobilized trypsin and demonstrated its excellent enzymatic activity
10 and long-term stability in proteome analysis.¹⁰² However, there is still the possibility that the pores
11 are blocked, leading to the non-uniform permeability along the channel. And the fabrication of
12 monolithic materials is usually time consuming and poorly reproducible. Each the internal
13 structure has its own advantages and disadvantages. All the aspects should be taken into account
14 as much as possible when designing. Attentions should especially be paid to the economy,
15 sustainability and green chemistry for industrial application.

16 **4. Enzyme immobilization techniques**

17 Since Nilsson and Griffin firstly reported that invertase remained its activity after physical
18 adsorption to charcoal in 1916,¹⁰⁷ various enzyme immobilization techniques have been
19 developed and studied. Most of these techniques can be directly used for enzyme
20 immobilizations in microfluidic chips for biocatalysis. The combination of enzyme
21 immobilization and microfluidic chips provides the advantages of high stability and reusability,
22 high enzyme to substrate ratio, and rapid catalytic reactions.¹⁰⁸ Basically, the techniques of

1 enzyme immobilization in microfluidic chips can be classified into two types: surface binding
 2 and encapsulation, as shown in Fig. 8. The inner surfaces of microfluidic reactors can offer the
 3 support for enzyme immobilization when surface binding technique are used. Besides, the
 4 microchannels with special microstructures can entrap relatively larger structures, then the
 5 encapsulation of enzyme can be applied. For the surface binding method, it is usually subdivided
 6 into non-covalent binding and covalent binding. Non-covalent binding includes non-specific
 7 physical adsorption, ionic bonding, his-tag/metal binding, and affinity binding.^{3,109} While the
 8 covalent binding is to immobilize enzymes on the surface via covalent forces between certain
 9 functional groups, like amino, carboxyl, hydroxyl or sulfhydryl groups.¹¹⁰ As for the
 10 encapsulation immobilization, enzymes are confined in small spaces built by polymeric
 11 networks, membranes, or nanochannels. Different immobilization methods have different
 12 advantages as well as disadvantages (see
 13 Table 2). Therefore, careful consideration should be given before one immobilization strategy is
 14 adopted. Some representative examples of μ -IMERs for biocatalysis are summarized in Table 3.



15

Fig. 8 Different enzyme immobilization techniques.

Table 2 Characteristics comparison of different enzyme immobilization techniques.

<i>Characteristics</i>	<i>Physical adsorption</i>	<i>Ionic binding</i>	<i>Affinity binding</i>	<i>Covalent binding</i>	<i>Cross-linking</i>	<i>Entrapment and encapsulation</i>
<i>Preparation</i>	Simple	Simple	Moderate	Difficult	Moderate	Difficult
<i>Cost</i>	Low	Low	Moderate	High	Moderate	Moderate
<i>Applicability</i>	Wide	Wide	Wide	Selective	Selective	Wide
<i>Binding force</i>	Weak	Moderate	Moderate	Strong	Strong	Strong
<i>Stability</i>	Low	Moderate	Moderate	High	High	High
<i>Enzyme leakage</i>	Yes	Possible	Possible	No	No	Possible
<i>Enzyme activity</i>	Moderate	High	High	Low	Low	Moderate
<i>Protection from microbial</i>	No	No	No	No	Possible	Yes
<i>Diffusional limitation</i>	Low	Low	Low	Low	Moderate	High

Table 3 Summary of recent μ -IMERs studies.

Immobilization techniques	Enzyme	Platform	Biocatalysis performance	Refs.
Physical immobilization	CAL-b	Macroporous PMMA microbeads packed aluminum microreactor	Faster polymerization and higher molecular mass	78
Ionic binding	Angiotensin-converting enzyme	Fused silica capillary column	High activity, stability, reusability, renewability and reduced costs.	111

	Sucrose phosphorylase	Nanosprings-coated Borosilicate glass	10-fold activity enhancement, 11-fold operational stability increase, 85% conversion rate retaining after 840 reactor cycles	71
Layer-by-layer ionic binding	Trypsin	Wall-coated PET microfluidic chip	Short digestion time and small volume of protein samples, a potential solution for low-level protein analysis	34
	CAL-b	PTFE open-tubular microreactor	Production efficiency reached to 95% within 35 mins, 83% initial activity retained after 144 hours usage	35
His-tag/Ni-NTA binding	PikC hydroxylase	Agarose beads packed PDMS microfluidic reactor	High enzyme loading and conversion rate	112
	Transketolase	Wall-coated PMMA microfluidic chip	The 1-step-immobilization method without the pre-amination of PMMA surface showed higher specific activity.	113
Streptavidin/biotin	ALP, GOx and HRP	Phospholipid bilayer-coated PDMS microchannels and borosilicate microcapillary tubes	The feasibility of using the microchannels to obtain kinetic data and the potential application for multistep chemical synthesis were demonstrated. Similar kinetic analysis results were obtained in the microfluidic-based assays as that obtained in solution, reduced cost, reagent economy and increased throughput were	114
	HRP and β -galactosidase	PDMS microchip reactor packed with commercial microbeads		80

	ALP, Gox and HRP	Protein coated PDMS/glass microchannel	observed. Photoimmobilization of multiple, well-defined enzymes were developed for both single-enzyme and multi-enzyme systems.	115
DNA directed immobilization	CAL-b and HRP	Fused silica capillaries with polymer coated	High reusability and renewability, the reaction time available for glucose oxidase could be independently and modularly varied by the distance between two enzymes	116
Covalent binding	Trypsin	Porous polymer monolithic microfluidic capillaries and chips	Very short digestion time compared to the traditional approach and great potential for broader application in various protein mapping	97
	GOx	Magnetic nanoparticles packed microreactor	Low detection limit of glucose, high reproducibility and storage stability, availability of direct detection of serum samples	86
	β -Gal and GOx	Au coated PDMS microfluidic chip	5 times of the reaction yield could be obtained if the gap distance decreased from 100 to 50 μm	117
Encapsulation	Trypsin	PMMA microchip filled with sol-gel	Analytic time was shortened and operation stability was increased, digestion of protein with multiple cleavage sites and separation of digest	118

			fragments are applicable.	
	Trypsin	Titania and alumina sol-gel based PDMS microfluidic reactors	Short digestion time and increased operation stability	119
	Lipase	Mesoporous silica coated PDMS/glass microreactor	Higher activity compared to that in batch system	120
Cross-linking	Aminoacylase	Wall-coated PTFE microtubes	Higher stability against heat and organic solvents, applicable to various enzymes with low isoelectric points.	36
Cross-linking/encapsulation	ALP and urease	PDMS microfluidic device with PEG-based hydrogel structures	enzyme-catalyzed reactions were able to reach 90% conversion within 10min.	121

1 4.1 Surface binding

2 4.1.1 Non-specific physical adsorption

3 The non-specific physical adsorption is the simplest and most convenient approach. The
 4 interactions between enzyme and support are non-specific forces such as van der Waals forces,
 5 hydrogen bonds and hydrophobic interactions.²⁷ Compared with other immobilization methods,
 6 the conditions for physical adsorption are more mild and no chemical modification is needed
 7 during the procedures. Therefore, the chances of conformational change caused by the
 8 immobilization are very little. In addition, the physical adsorption is usually reversible, makes it
 9 possible for the same device to be reused by washing and reloading new enzymes. This indicates
 10 a relatively low fabrication cost for large-scale production.³

11 However, non-specific forces are generally very weak and highly dependent on
 12 environmental and surface conditions. As a result, the enzymes are easy to fall off from the surface

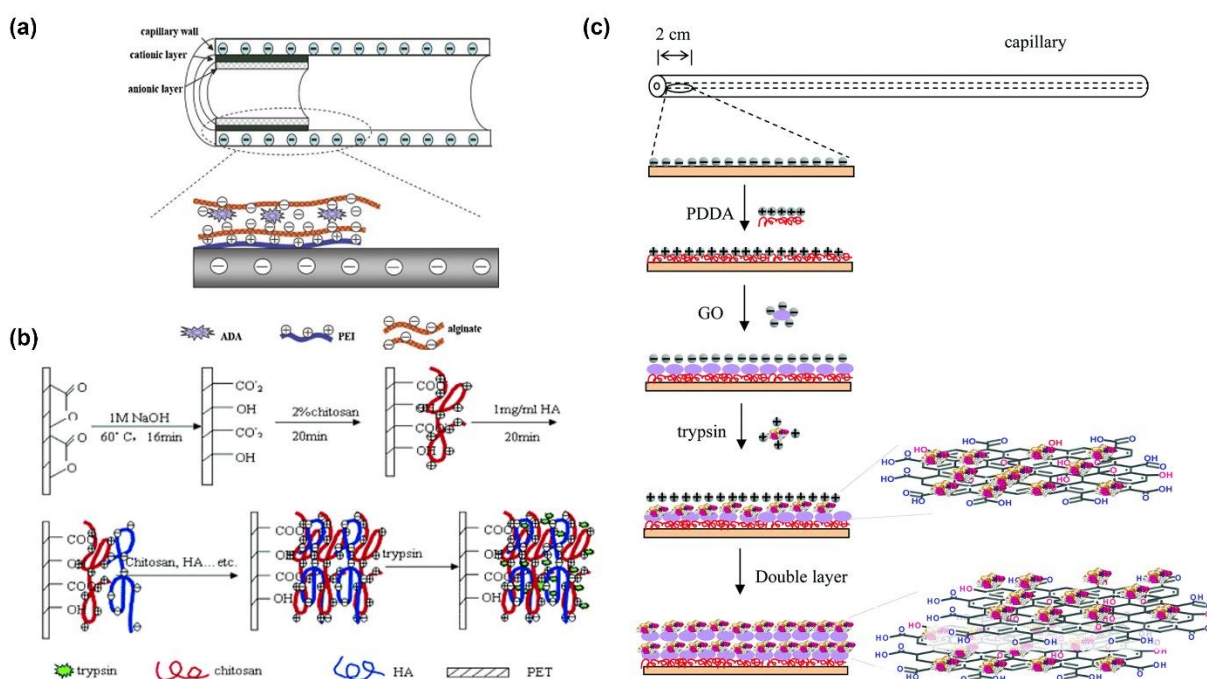
1 especially in fluidic systems or high ionic and pH solutions. This would cause to the contamination
2 of reaction systems and the reduction of enzyme activity. In addition, since the enzymes adsorbed
3 on the support are randomly oriented, the activity of enzyme can also be affected by the hindering
4 of active sites to the support due to the randomly orientation after adsorption. Moreover, some
5 other problems, like diffusion resistance, denaturation of enzyme, and overloading, could also
6 cause the enzyme activity loss when physical adsorption is adopted.¹²² Therefore, the combination
7 of other immobilization methods and the adsorption method is usually applied to overcome these
8 shortcomings and to enhance the enzyme activity, stability and the overall efficiency.

9 **4.1.1 Ionic binding**

10 The ionic binding is achieved by the electrostatic interactions between the positively and
11 negatively charged functional groups of the enzymes and the supports. The amount of immobilized
12 enzyme can be well manipulated if the pH of solution is controlled below the isoelectric point of
13 the enzyme and above that of the support material.¹⁰⁵

14 Generally, the ionic binding is stronger than non-specific physical adsorption, which would
15 subsequently guarantee a higher enzyme stability and reusability. But the ionic binding is highly
16 dependent on the environmental pH and ionic strength. This may affect the enzyme loading amount
17 and the pH stability of enzymes. Therefore, the selection of suitable chemicals with appropriate
18 isoelectric point is the focus of this method. In biochemistry, the typical positively charged
19 functional groups are protonated amine (NH_3^+) and quaternary ammonium cations (NR_4^+). The
20 negatively charged functional groups are usually carboxylic acid ($-\text{COO}^-$) and sulfonic acid ($-\text{RSO}_3^-$).²⁷ PEI is one popular polycation which has multiple cation groups with strong anion
21 exchange capacity for enzyme immobilization by ionic binding.^{35,123-126} Some other polycations
22 have also been used for the ionic binding, such as chitosan,^{34,73} hexadimethrine bromide
23

1 (HDB)^{111,127} and poly (diallyl dimethylammonium chloride) (PDDA).^{128,129} Sometimes,
 2 polyanions like alginate,^{123,124} hyaluronic acid (HA),^{34,73} poly(Lys),³⁶ and functionalized graphene
 3 oxide⁷⁰ are also employed to form multi-layers to stabilize the immobilization and to increase the
 4 enzyme-loading amount.



5
 6 Fig. 9 Schematic representations of enzyme immobilization by ionic binding via different
 7 polycations and polyanions. (a) Adenosine deaminase (ADA) immobilization by PEI and
 8 alginate. Reproduced from Ref. 123 with permission of Elsevier Ltd. (b) Trypsin immobilization
 9 by HA and chitosan. Reprinted with permission from Ref. 34. Copyright 2006 American
 10 Chemistry Society. (c) Trypsin immobilization by PDDA and negatively charged graphene oxide.
 11 Reproduced from Ref. 70 with permission from The Royal Society of Chemistry.

12 Some schematic representations of enzyme immobilization by ionic binding via different
 13 polycations and polyanions are shown in Fig. 9. The reversibility of the enzyme immobilization
 14 by ionic binding is also an important advantage over other immobilization methods. The support

1 surface can be washed without damages by simply changing the ionic strength of the environment.
2 Then new enzymes can be immobilized to the same support. In this way, reuse of the microfluidic
3 chip is available, thereby saving the labor and the cost.

4 **4.1.2 Affinity binding**

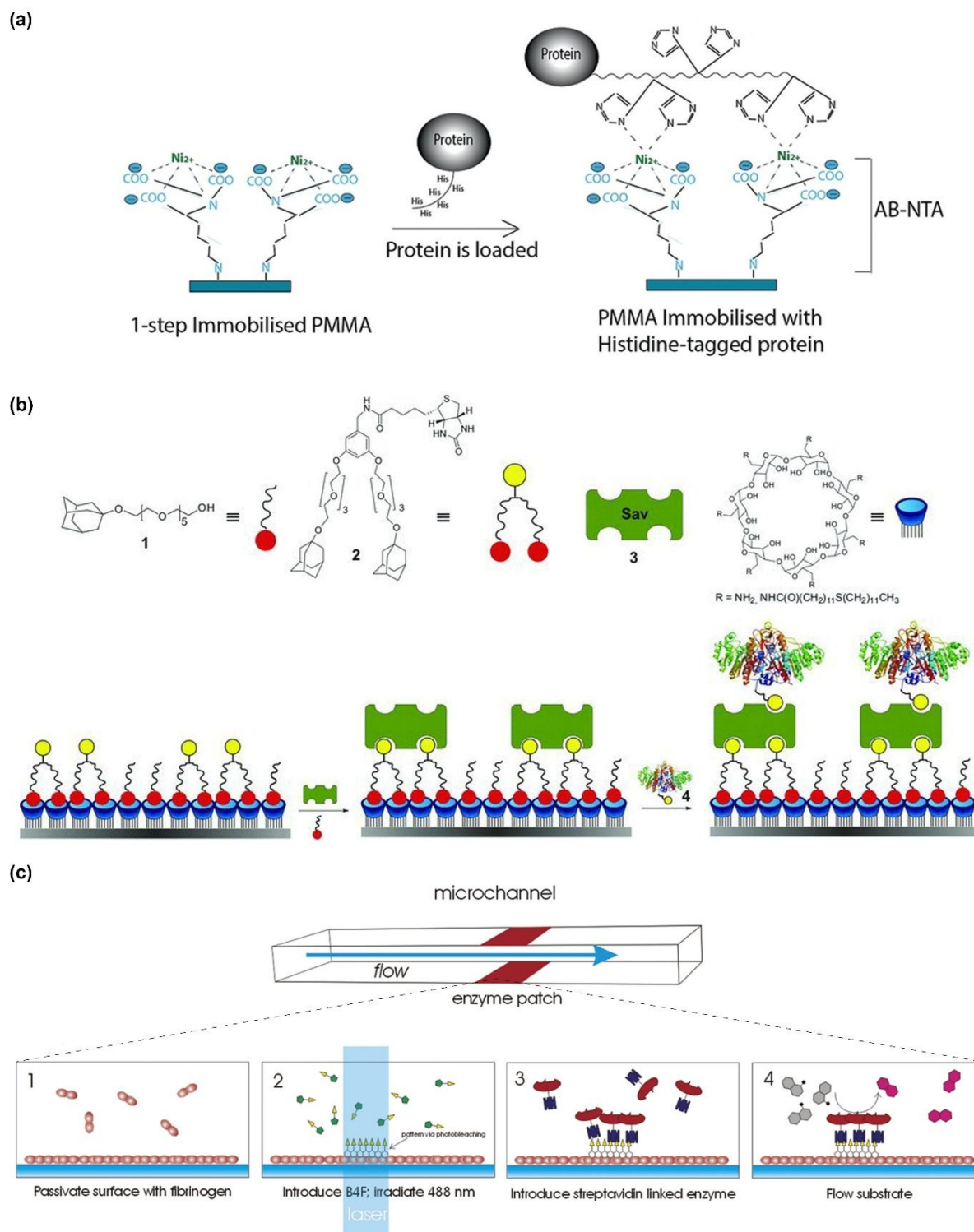
5 The affinity binding enables to immobilize enzyme on the support via some specific ligands, such
6 as his-tag/metal binding, avidin/biotin binding, DNA-directed immobilization and
7 antigen/antibody binding. Therefore, it can ensure the high loading amount and enzyme stability.
8 Sometimes, more than one affinity binding methods are adopted into one device to improve the
9 binding efficiency and the loading amount. In non-specific enzyme immobilization methods like
10 physical adsorption or covalent binding, the orientation of enzymes is hard to control. Then the
11 activities of enzyme are likely to lose due to the block of active sites and the conformation change.
12 While in the affinity binding, the orientation of enzymes is controlled well to expose the active
13 sites to the substrate, which helps to maintain the activities. The affinity binding can also be
14 reversed by pH or temperature change or some special chemical treatments to favor the reusability
15 of the microreactors. However, the enzymes often need to be decorated with some tags genetically
16 or chemically to prepare for the immobilization. This step makes it more complicated and costly
17 for affinity binding as compared to the other methods.

18 **A) His-tag/metal binding**

19 Metal binding needs enzymes and supports to be bonded together by the coordination with metals
20 in between of them. Generally, highly active, stable and specific immobilized enzymes can be
21 obtained by this method.¹³⁰⁻¹³² The enzyme loading amount by this method is also usually higher
22 than that by other methods.^{112,131} In such case, polyhistidine linkers are genetically tagged to the

1 enzyme and then connected to the nitrilotriacetic acid (NTA) attached on the support for the
2 enzyme immobilization. Recently, Kulsharova *et al.* immobilized transketolase (TK) in PMMA
3 microfluidic devices by two methods of the his-tag/Nickel-NTA interactions: the 1-step-
4 immobilization method (see Fig. 10a) and the 3-step-immobilization method.¹¹³ The device
5 fabricated by the 1-step-immobilization method presented higher specific activity and reusability
6 than the 3-step method. The 1-step method also required fewer chemicals and less time. Moreover,
7 it was also demonstrated that the his-tag/Ni binding had high reversibility, facilitating the reuse of
8 the microreactor.¹³³

9 However, this method also suffers many intrinsic drawbacks. Sometimes this method is not
10 easily reproducible due to the nonuniform adsorption sites and the metal ion leakage.¹³⁴ Therefore,
11 it is usually combined with covalent bonding or cross-linking to get a more stable formation of
12 adsorption sites and chelation.



- 1
- 2 Fig. 10 Schematic representations of enzyme immobilization by His-tag/metal binding (a)
- 3 Diagram of enzyme immobilized by the His-tag/Ni-NTA binding; Reproduced from Ref. 113 with

1 permission from Elsevier Ltd. (b) Chemical structures of the building blocks and scheme for the
2 step-wise assembly process. Ethylene glycol-based mono-adamantyl linker (b1) for minimizing
3 the non-specific protein adsorption, biotinylated bisadamantyl linker (b2) for the first assembly
4 step and streptavidin (b3) as the second assembly step. Biotinylated ALP (bt-ALP, 4) is
5 immobilized onto these streptavidin-biotin surfaces. Reproduced with permission from Ref. 137.
6 Copyright 2004 American Chemistry Society. (c) Schematic diagram of the photo-immobilization
7 process. (Top) Enzyme patches are formed on the top and bottom of a microchannel using the
8 following procedure: (c1) Passivation of the surface with a fibrinogen monolayer is followed by
9 (c2) biotin-4-fluorescein surface attachment. This is accomplished by photobleaching with a 488-
10 nm laser line. (c3) Next, the binding of streptavidin-linked enzymes that can be exploited to
11 immobilize catalysts and (c4) to monitor reaction processes on-chip. Reproduced from Ref. 115
12 with permission from John Wiley & Sons, Inc.

13 **B) Avidin/biotin binding**

14 The avidin/biotin binding is one of the most popular affinity binding with high affinity and
15 specificity. The interaction is regarded as the strongest non-covalent interaction¹³⁵ having the
16 advantages of rapid fabrication and insensitivity to pH, temperature, proteolysis and denaturing
17 agents.^{84,114,136} Moreover, the avidin or biotin can be easily modified by other chemicals, enabling
18 more effective enzyme immobilization or some interesting functions.^{115,137}

19 González-Campo *et al.* developed a supramolecular platform with the combination of
20 orthogonal supramolecular interactions of host (β -cyclodextrin)–guest (adamantane) and biotin–
21 Streptavidin interactions for site-selectively immobilization of enzyme in microchannel (Fig.
22 10b).¹³⁷ The microfluidic chip with supramolecular platform was demonstrated to present great

1 reproducibility and reusability in enzymatic reactions when calf intestine alkaline phosphatase
2 (ALP) was used as the model enzyme. The site-selectively immobilized ALP was also able to
3 maintain the comparable activity in other environments (free in solution or immobilization by other
4 methods). Holden *et al.* also presented a work for the photo immobilization of multiple enzymes
5 in PDMS/glass microfluidic channels by site-specific immobilization (Fig. 10c).¹¹⁵ Biotin-linked
6 dye solution was used to immobilize the streptavidin linked enzyme on the selected
7 photopatterning positions. The patterning of enzymes in sequence inside the microfluidic channels
8 were achieved by photobleaching instead of valves.

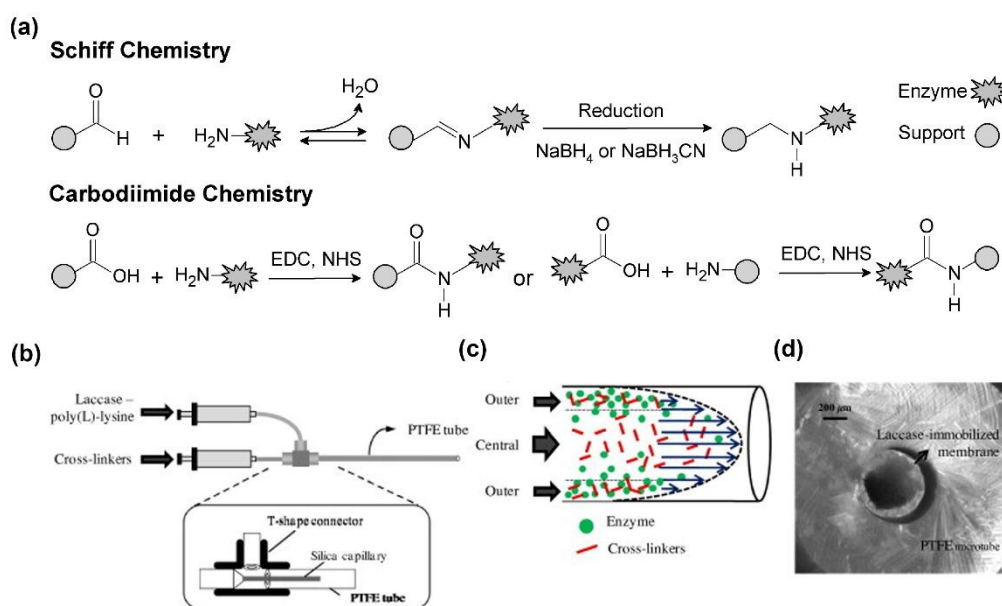
9 **C) DNA-directed immobilization**

10 The DNA-directed immobilization (DDI) is based on the Watson-Crick pairing mechanism
11 between the single-strand DNA (ssDNA) attached on enzymes and the complementary DNA
12 (cDNA) attached on the supports. The attachment of ssDNA to enzyme is usually accomplished
13 by covalent binding or avidin/biotin binding.^{116,138,139} Generally, the binding by DDI is more stable
14 and robust than other methods, therefore presenting high immobilization efficiency and site-
15 specificity. The DDI method is superior to others mainly lies in the ability of precisely control the
16 relative positions of different enzymes,¹⁹ which is critical to the cascaded enzymatic reactions.

17 **4.1.3 Covalent binding**

18 The covalent binding is formed by the chemical reaction between functional groups on the surface
19 of support and the amino acid residues of the enzyme. The most commonly used covalent bonds
20 are based on the Schiff or carbodiimide chemistries as shown in Fig. 11a.¹⁰ Since the covalent
21 binding always offers the strongest bond between the enzyme and the support, the enzyme usually
22 behaves high stability, great reusability and strong resistance to extreme environment. However,

1 conformation change and activity reduction of enzyme may sometimes occur after immobilization.
 2 Moreover, the orientation of enzyme is harder to control as compared to the specific
 3 immobilization, resulting in the decrease of reaction rate. Nevertheless, blocking the active sites
 4 of enzyme with a competitive inhibitor or substrate before immobilization may alleviate this
 5 problem.



6
 7 Fig. 11 Covalent binding for enzyme immobilization. (a) Commonly used covalent bonds:
 8 mechanisms of the Schiff chemistry and the Carbodiimide chemistry; Reproduced from Ref. 10
 9 with permission from Elsevier Ltd. (b) Preparation of laccase-immobilized membrane on the inner
 10 wall of a PTFE microtube; (c) Parabolic velocity profile characteristic of the laminar flow inside
 11 the microtube, and (d) confocal acquisition of the sectional view of the laccase-immobilized
 12 microreactor (dry state). These three figures were reproduced from Ref. 140 with permission from
 13 Elsevier Ltd.

14 Typically, only one layer of the covalently immobilized enzyme can be formed on the surface
 15 of support. Then the loading amount would be very limited. Therefore, a cross-linker may be

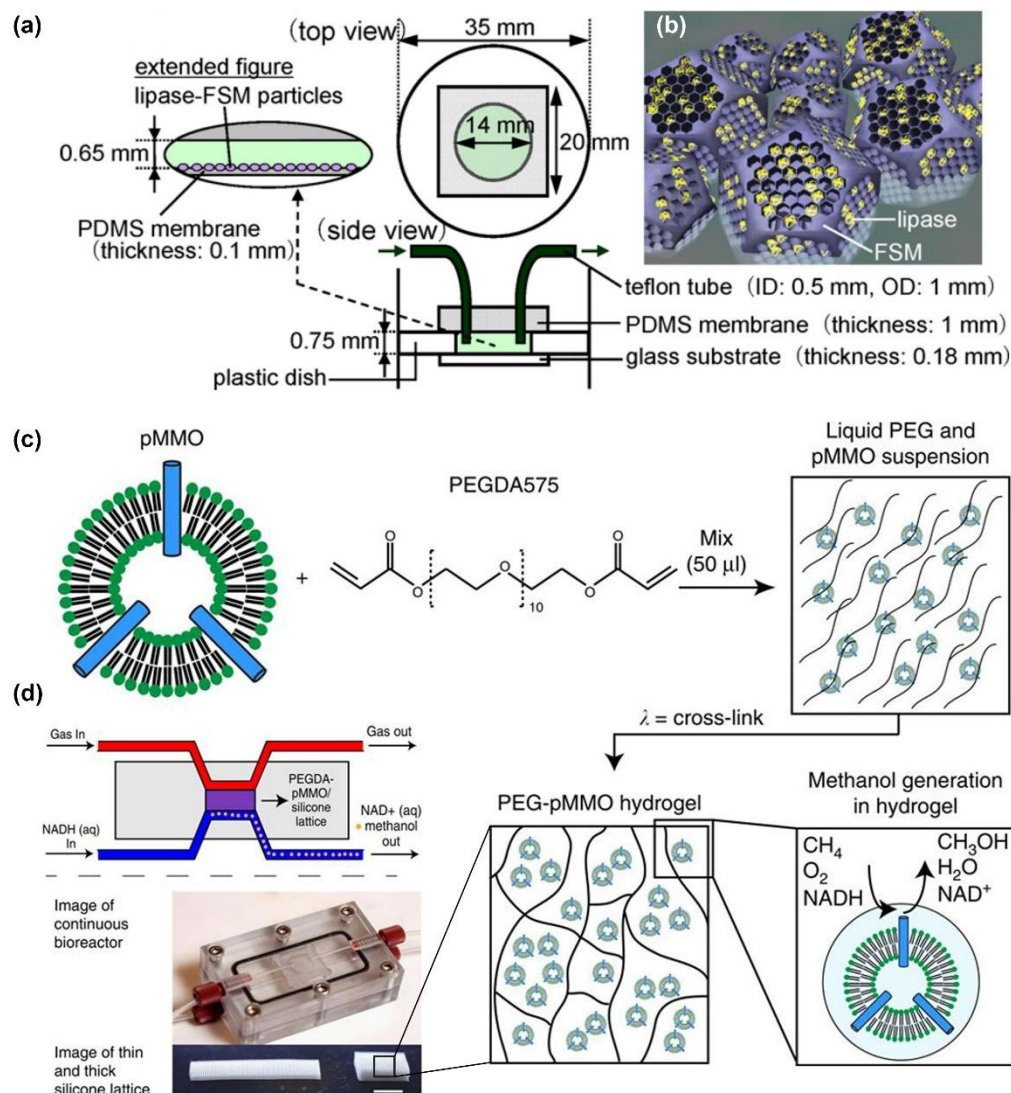
1 utilized to form an enzyme polymerization for its increase. Lloret *et al.* prepared a laccase-
2 immobilized microreactor by the formation of an enzyme-polymeric membrane on the inner wall
3 of microtubes (Fig. 11b-d).¹⁴⁰ The membrane was formed by the cross-linking polymerization
4 reaction between laccase and the cross-linkers (paraformaldehyde and glutaraldehyde). The
5 microreactor with cross-linked laccase not only presented important biotransformation efficiency
6 compared with conventional bioreactors, but also exhibited excellent pH, temperature, inactivating
7 agent, storage and long-term stabilities.

8 **4.2 Encapsulation**

9 The encapsulation of enzyme is defined as enzyme being entrapped inside a small space that allows
10 the substrates and products to pass through but retains the enzyme. It mainly includes matrix
11 entrapment and membrane encapsulation, as shown in Fig. 8. For the matrix entrapment, enzymes
12 are entrapped into a matrix, which is usually formed by polymers (like alginate^{123,124} and PEG
13 hydrogels^{121,141,142}) or some inorganic materials (like Titania¹¹⁹ and silica sol-gels^{98,118,143}). When
14 a semipermeable membrane, like the hollow fiber¹⁴⁴ or microencapsulate¹⁴⁵, is used to encapsulate
15 the enzymes, it is classified as membrane encapsulation. Compared with the physical adsorption,
16 the entrapment method is more stable and can immobilize a larger amount of enzyme. In addition,
17 the entrapment does not need the chemical modification of enzyme, which not only saves time but
18 also avoids the conformation changes of enzyme. But the slow diffusion of substrate to the enzyme
19 in this case may severely restrict the biocatalytic production. There are also the possibilities of
20 enzyme leakage and enzyme contamination by the matrix. Besides, the microenvironments of the
21 matrix are hard to control, which may lead to the reduction of enzyme activity and stability.
22 However, there is a great opportunity to reduce the impact of these problems by carefully choosing
23 the polymer materials with proper modification and by adjusting the pore size or capsule size.

1 Moreover, the capsules can imitate the multicompartments structures of cellular architectures to
2 encapsulate enzymes in controllable number, type and spatial arrangement, thus maintaining or
3 even enhancing the overall catalytic activity.^{146,147}

4 Mizukami developed a microreactor containing lipase encapsulated in folded-sheet
5 mesoporous (FSM) silicas with two different pore diameters (Fig. 12a and b).¹²⁰ The FSM with
6 larger diameter (~7 nm) (FSM7) indicated a higher enzyme loading amount than the FSM with a
7 smaller diameter (~4 nm) (FSM4). The two types of lipase-FSMs were loaded in the microreactors
8 for the hydrolysis of a triglyceride, both presented a higher enzyme activity than the batch reaction.
9 The results also revealed that the enzymatic activity in the lipase-FSM7 was slightly higher than
10 that in lipase-FSM4. This may be attributed to the larger pore size of FSM7 that facilitates the
11 access of the substrate to the encapsulated enzyme. Blanchette *et al.* entrapped active particulate
12 methane monooxygenase (pMMO) and associated lipids in a PEG-based hydrogel (Fig. 12c).¹⁴¹
13 The hybrid materials were then suspended between gas and liquid reservoirs in a flow-through
14 reactor (Fig. 12d). With this configuration, methane/air gas mixture and NADH could be
15 introduced into the reactor constantly while continuously removing and collecting methanol in
16 buffer. The native conformation and physiological activity of pMMO are 100% retained by this
17 encapsulation method. In addition, the strategy enables the reuse and continuous use of pMMO.
18 Besides, this method allows the facile fabrication of the immobilization structures in 3D structures
19 from micro to millimeter scales, which guarantees a higher loading of pMMO compared with the
20 surface immobilization.



1
2 Fig. 12 Examples of enzyme immobilization by encapsulation. (a) Schematic illustrations of the
3 microreactor with immobilized lipase–nanoporous material (FSM) composite particles; (b) Lipase
4 molecules encapsulated in the FSM pores; These two figures were reproduced from Ref. 120 with
5 permission from Elsevier Ltd. (c) Schematic of PEG-pMMO hydrogel fabrication. Membrane-
6 bound pMMO is mixed with PEGDA 575 and photoinitiator and exposed to ultraviolet light to
7 crosslink the material. (d) Schematic and image of the flow-through bioreactor and the two (thin
8 and thick) silicone lattice structures used to support the PEG-pMMO hydrogel membrane (scale
9 bar, 1 cm); These two figures were reproduced from Ref. 141 with permission from Springer

1 Nature.

2 **5. Multi-enzymes systems**

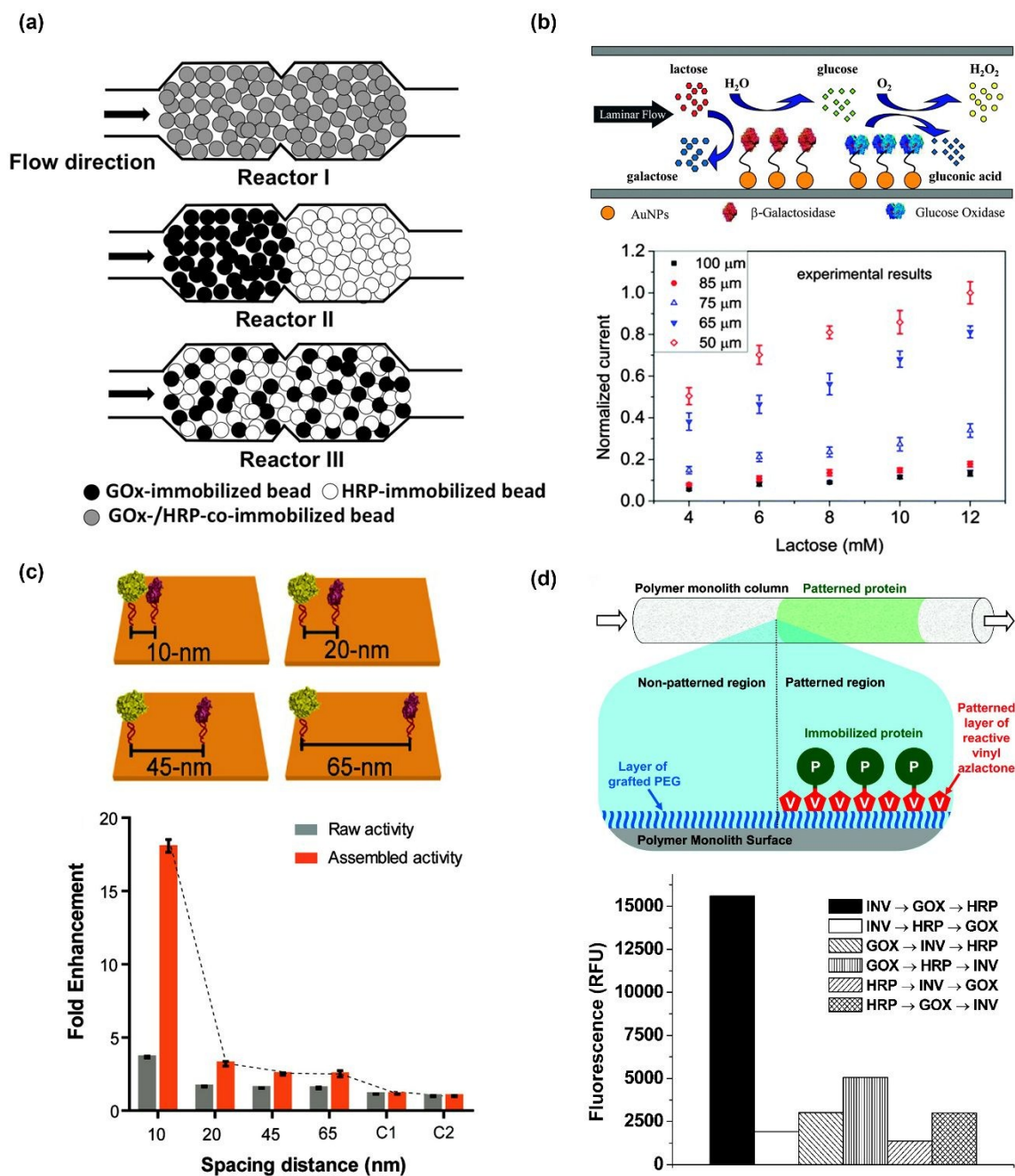
3 Most natural biocatalytic reactions are catalyzed by more than one enzyme. Tremendous efforts
4 have been devoted to immobilizing multiple enzymes in one microfluidic reactor to artificially
5 construct the various biocatalytic reactions in nature.^{77,96,117,138,142,148-150} The methods for multi-
6 enzymes immobilization are based on those for single enzyme immobilization. But careful
7 considerations should be taken to maintain the activities and catalytic efficiencies of all enzymes
8 according to the structures and optimal environments of different enzymes. One major issue for
9 multi-enzymes immobilization is the control of their relative spatial positions in one reactor,
10 though it is much easier for microfluidic reactors as compared to that for the batch reactors. The
11 well controlled spatial positions would probably promote the increase in reaction rate and catalytic
12 efficiency. The unwanted side reactions and the accumulation of inhibitors or reactive
13 intermediates may also be avoided.¹⁵¹⁻¹⁵⁵

14 Multiple enzymes can be immobilized in one pot,^{142,149} sequentially^{116,117,138} or layer by
15 layer.¹⁵⁶ Heo *et al.* packed glucose oxidase (GOx) and horseradish peroxidase (HRP) bearing
16 microbeads into microfluidic reactors with different spatial distances as shown in Fig. 13a.¹⁵⁷
17 Reactor I packed the microbeads co-immobilizing both GOx and HRP. Reactor II had the GOx-
18 immobilized microbeads packed in front of the HRP-immobilized microbeads. In Reactor III, the
19 GOx and HRP immobilized microbeads are mixed and packed. It was demonstrated that a better
20 overall reaction efficiency was obtained in Reactor I than the other two reactors. The increased
21 efficiency due to the decreased enzyme distance can be attributed to the reduced diffusional loss
22 of intermediate product (H₂O₂) and the prevention of the accumulation of inhibitor. Another work

1 presented by Wu *et al.* investigated the distance for multi-enzyme immobilization in micrometers
2 and arrived at a similar result.¹¹⁷ β -galactosidase and glucose oxidase were immobilized on two
3 separated gold films patterned in one microfluidic channel, as shown in Fig. 13b. The highest
4 conversion efficiency of the cascaded reaction was obtained when the two enzymes were in
5 minimum distance (50 μm). Even though the spatial positions of enzymes are easy to control by
6 separating microchannels into multiple areas, they are still not very close to each other like the
7 case in the natural cascaded systems.¹⁵⁸ Researcher then pay their attentions to the combination of
8 microfluidic reactors and DDI, by which more precise spatial control can be obtained for the
9 reaction efficiency enhancement. It has been demonstrated that when the enzymes GOx and HRP
10 were immobilized via DNA origami tiles at 10 nm from each other, an increase of more than 15-
11 fold in the overall cascade activity was observed as compared to the free enzymes as shown in Fig.
12 13c.¹⁵⁹ The reason of the activity increase was suggested to be the efficient transport of the reaction
13 intermediate (H_2O_2) between the two enzymes.

14 The sequential order is also very important for the overall reaction efficiency in multi-enzyme
15 systems. As shown in Fig. 13d, three enzymes (INV, GOx and HRP) were spatially immobilized
16 by a photopatterning method on porous polymer monoliths within microfluidic devices.⁹⁶ The
17 three-enzyme system was used to perform a sequential reaction with sucrose hydrolyzed to glucose
18 and fructose by INV in the first step. Then GOx would oxidize glucose to gluconolactone and
19 hydrogen peroxide, which was following oxidized by HRP to Amplex Red. Among all the six
20 possible arrangements of the three enzymes, the correct sequential order of catalyst (INV-GOx-
21 HRP) resulted in the largest resorufin fluorescence by more than 3-fold. This demonstrates that
22 the correct enzyme order is critical for the reaction efficiency in the multi-enzyme immobilized
23 microfluidic system.

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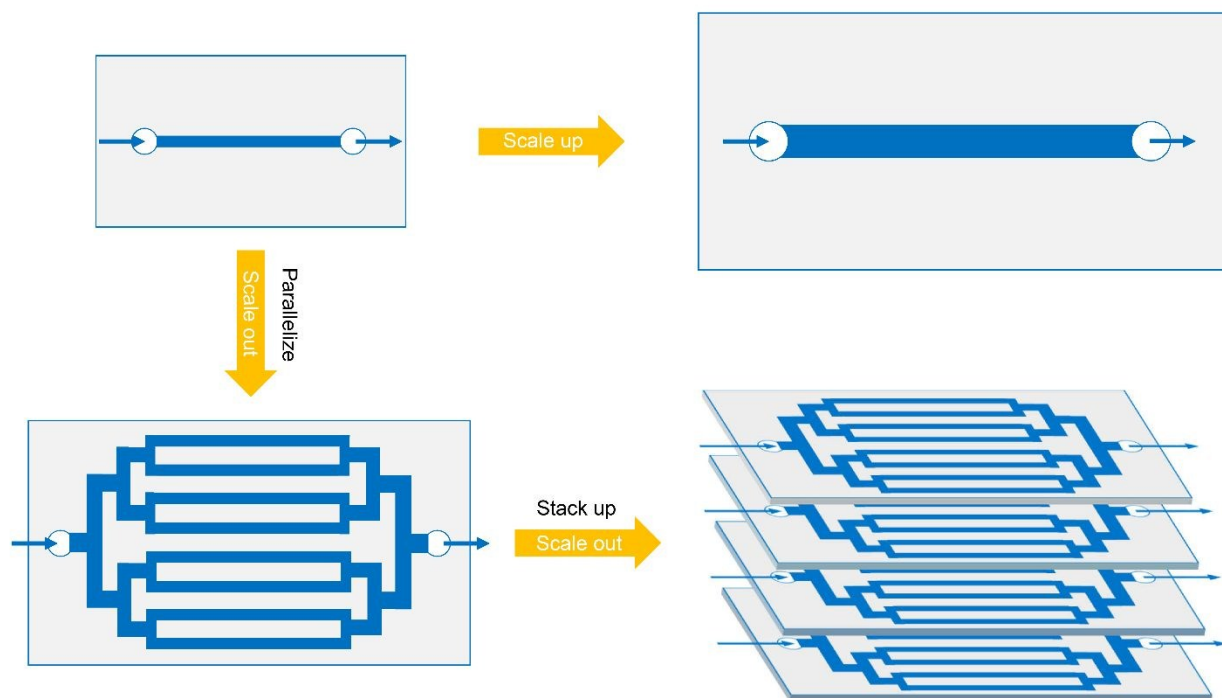
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3 Fig. 13 Examples of enzyme immobilization in multi-enzyme systems. (a) Diagrams of three
 4 different configurations of microfluidic reactors. In reactor I, GOx and HRP were co-immobilized
 5 on a single set of beads. In reactor II, GOx-immobilized beads and HRP immobilized beads were
 6 loading sequentially. In reactor III, the two beads were mixed in the reactor. Reprinted with

1 permission from Ref. 157. Copyright 2014 Japan Society for Analytical Chemistry. (b) Schematic
2 of enzyme cascade reaction confined in a microchannel. β -galactosidase and glucose oxidase were
3 assembled on the Au-films with controllable distances (up). Graph of the normalized response
4 currents of H_2O_2 as a function of the concentration of lactose with different gap distances in the
5 experiment (down). Reproduced from Ref. 117 with permission from the PCCP Owner Societies.
6 (c) Rectangular DNA origami tiles with assembled GOx (yellow) and HRP (purple) pairs spacing
7 from 10 to 65 nm (up). Enhancement of the activity of the enzyme pairs on DNA nanostructures
8 compared to free enzyme in solution (down). The largest enhancement factor was observed when
9 the inter-enzyme distance was decreased to 10 nm, as analyzed with d-glucose, $\text{ABTS}_2-(2,2'$ -
10 $\text{azinobis}(3\text{-ethylbenzothiazoline-6-sulfonate}))$ and O_2 as substrates at pH 7.2. C_1 and C_2 refer to
11 the tiles without nucleic acid and free enzymes, respectively. Reprinted with permission from Ref.
12 159. Copyright 2012 American Chemistry Society. (d) Schematic representation of the
13 microfluidic set-up used for performing the sequential synthesis with a three-enzyme system:
14 invertase (INV), GOX and HRP. Enzymes are immobilized to the surface of a polymer monolith
15 in patterned regions within a microfluidic channel. Poly(ethylene glycol) (PEG) is grafted to the
16 surface of the polymer monolith to prevent nonspecific protein adsorption. Vinyl azlactone is
17 photopatterned onto the PEG surface and activates the surface for protein immobilization (up).
18 Product fluorescence measured with each possible arrangement of the three enzymes are indicated
19 in the figure legend (down). The substrate solution consisted of 10 mg/mL sucrose, 100 $\mu\text{mol/L}$
20 Amplex Red (10-acetyl-3,7-dihydroxyphenoxazine), and 1.0% (v/v) dimethyl sulfoxide (DMSO)
21 in 50 mmol/L phosphate buffer, pH 7.50; pure oxygen was bubbled through this solution for 15
22 min prior to use. The flow rate was 0.10 $\mu\text{L/min}$. Reprinted with permission from Ref. 96.
23 Copyright 2007 American Chemistry Society.

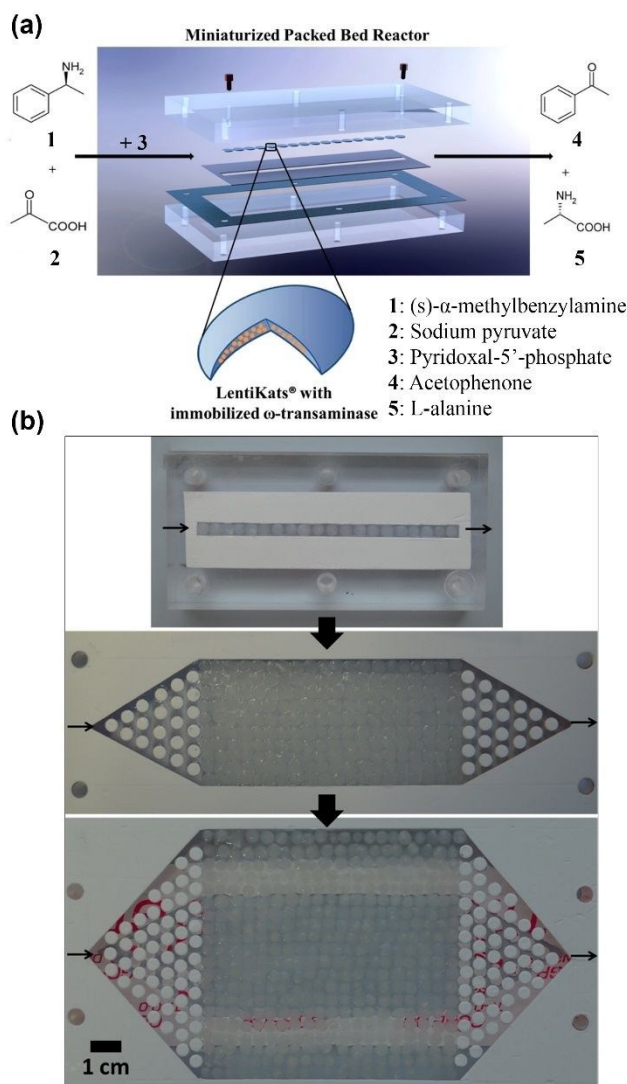
1 6. Scalability of microfluidic reactor

2 The application of microfluidic reactors to biocatalysis owns many inherent benefits, such as rapid
3 heat transfer, precise reaction control, and the capability for continuous and integrated operation.
4 Microfluidic reactors are also advantageous in the robust structures, the capacity and the ease of
5 scalability for mass production.^{17,160} These are particularly attractive to the synthesis of
6 petrochemicals, active pharmaceutical ingredients or value-added materials in industry. Generally,
7 two methods of scaling the microfluidic reactors have been widely discussed: scaling up
8 (increasing the characteristic dimensions of the channel) and scaling out (using parallel reactor
9 systems or stacking up multiple microreactors), as shown in Fig. 14.



10
11 Fig. 14 Illustration of the concept of scaling the microreactors up and out. Scaling up means
12 increasing the characteristic dimensions of the channel. For scaling out, the parallel microchannels
13 are used or multiple microreactors are stacked up.

1 Many reviews have comprehensively discussed the microreactor design and scale-up
2 concept.^{20,161-163} The principle for scaling up a single-channel reactor is presented as the
3 relationship between its characteristic dimension and the mixing and heat transfer characteristics.
4 Some studies also use mathematical models comprising the reaction kinetics and the flow
5 dynamics to optimize the reaction conditions and reactor designs for the scaling up.¹⁶⁴⁻¹⁶⁷ As for
6 the scaling out, it has the advantages over scaling up in remaining the reactions performed in each
7 reactors the same at any level.^{168,169} Generally, the scaling out is practicable by designing a
8 multiple-channel reactor with only one pump and heating apparatus.¹⁷⁰ Uniform flow distribution
9 should be ensured as well as the same residence time in all microchannels when designing the
10 manifold structures. Amador studied an analytical model based on the electrical resistance
11 networks for two manifold structures to describe the flow in each microchannel.¹⁷¹ Based on this
12 model, the design and fabrication of scale-out microreactors applied to different operation
13 conditions is feasible.



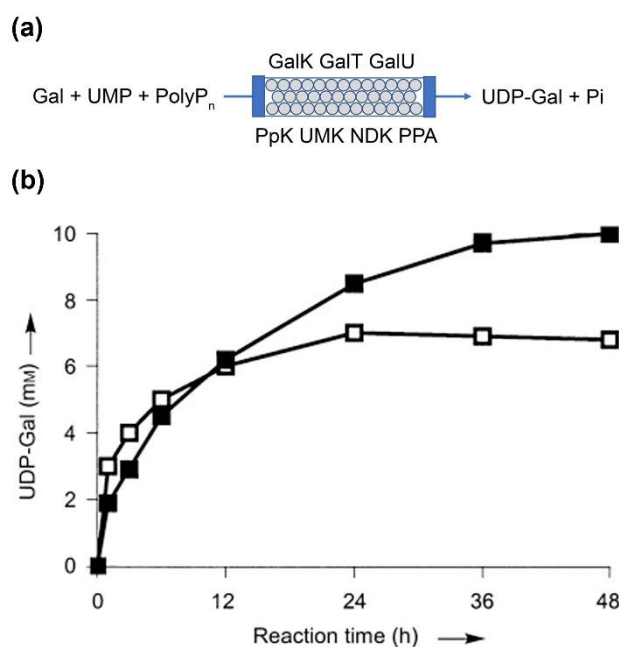
1
2 Fig. 15 (a) Diagram of the miniaturized packed bed reactor (MPBR) packing LentiKats® with
3 immobilized ω -transaminase for continuous enzymatic process. (b) MPBRs presenting the scale-
4 up in channel width. The MPBRs from up to down is cca. 4 mm wide rectangular channel,
5 hexagonal channel (rectangular part is cca. 40 mm wide) with triangular inlet and outlet parts
6 containing pillars and hexagonal channel (rectangular part is cca. 80 mm wide) with triangular
7 inlet and outlet parts containing pillars. Reproduce from Ref. 145 with the permission of Elsevier
8 Ltd.

9 Recently, Bajić et al. conducted a scale-up study on a two-plate miniaturized packed-bed

1 reactor (MPBR) in which LentiKats[®] (lens-shaped PVA particles) encapsulated with ω -
2 transaminase (ω -TA) were uniformly or randomly packed (as shown in Fig. 15a).¹⁴⁵ The MPBR
3 was used for the synthesis of acetophenone (ACP) and L-alanine (L-ALA) from (*S*)- α -
4 methylbenzylamine ((*S*)- α -MBA) and sodium pyruvate (PYR). Productivity was evaluated by
5 increasing the capacity of the reactor from microliter to milliliter by adjusting the length, width
6 and depth of the channel. Fig. 15b was three representative reactors by scaling-up in width. The
7 results revealed that increasing the length and width would increase the productivity. While
8 increasing the depth would reduce it. Here, the flow distribution that encourages the accessibility
9 of the substrates to the immobilized enzyme is the key to increase the productivity when increasing
10 the reactor size. This study provided a simple but efficient guide for scaling-up. But for
11 commercial-scale production, a combination of scaling up and scaling out may be the best
12 option.¹⁷⁰ In addition, for a continuous reaction, the production amount can be increased by simply
13 increasing the operation time without changing the reaction conditions. Therefore, the large-scale
14 production can be achieved in a simple, green and cost-effective way.

15 As one of the earliest application of immobilized enzyme for biocatalysis in continuous flow,
16 Liu *et al.* reported the continuous production of uridine diphosphate galactose (UDP-Gal) by
17 circulating galactose (Gal), uracil monophosphate (UMP) and polyphosphate (polyP) through a
18 column packed with seven enzymes-immobilized agarose beads, as shown in Fig. 16a.¹⁷² The
19 enzymes were immobilized by histidine tags on the nickel agarose beads. Small-scale reactions on
20 mini Pasteur pipette columns were carried out first to optimize the reaction conditions. Then the
21 packed-bed column was scaled up to gram-scale to meet the practical biosynthesis. Compared with
22 the solution reaction, the on-column reaction results in higher product yields in long-time reaction
23 (50% of the UMP converted into UDP-Gal in 48 h) compared with the solution reactions, which

1 thanks to the reusability and stability of the immobilized enzyme (Fig. 16b). This continuous
 2 synthesis of UDP-Gal can help to alleviate the difficulties in the production of sugar nucleotides,
 3 which is important for the synthesis of pharmaceutically valuable oligosaccharides. Orsat *et al.*
 4 reported a continuous acylation process to produce monoacylated Vitamin A precursors from 1,6-
 5 diol by immobilized lipase Chirazyme L2-C2 (lipase B from *Candida antarctica*).¹⁷³ A laboratory-
 6 scale fixed-bed reactor was firstly utilized to investigate the optimal reaction conditions with >99%
 7 yield and >97% selectivity with the yield of 49 g day⁻¹. Then kilogram-scale reactor was
 8 accordingly prepared with a throughput of 1.6 kg day⁻¹ over one hundred days. The production of
 9 Vitamin A precursors is environment-friendly, robust and sustainable as a result of the recyclable
 10 chemicals. Some recent studies have also demonstrated the successful scale-up of the μ -IMERS
 11 for biocatalytic synthesis.¹⁷⁴⁻¹⁷⁶



12
 13 Fig. 16 (a) Biosynthesis of UDP-Gal in the continuous packed-bed column with seven immobilized
 14 enzymes. The starting materials are Gal, UMP and polyP. Seven enzymes are used for the catalysis:
 15 galactokinase (GalK, EC 2.7.1.6), galactose 1-phosphate uridylyltransferase (GalT, EC 2.7.7.10),

1 UDP-glucose pyrophosphorylase (GalU, EC 2.7.7.9), polyphosphate kinase (PpK, EC 2.7.4.1),
2 uridine monophosphate kinase (UMK, EC 2.7.4.14), nucleotide diphosphate kinase (NDK, EC
3 2.7.4.6), and pyrophosphatase (PPA, EC 3.6.1.1). UDP-Gal and two phosphate (pi) were formed
4 in the end. (b) Time course of UDP-Gal production. The reaction on the super-bead column (200
5 mL, filled squares) was performed with UMP and Gal (20 mM each), polyP (2 % (w/v)), ATP and
6 glucose-1-phosphate (2 mM each). About 50 % UMP was converted into UDP-Gal in 48 h.
7 Reaction in the solution with the purified enzymes (50 mL, open squares) used the same reaction
8 composition. About 35 % UMP was converted in 24 h. Reproduced from Ref. 172 with the
9 permission of John Wiley and Sons.

10 **7. Summary and outlooks**

11 Biocatalytic reaction plays an important role in biochemistry thanks to its environmental-
12 friendliness, high efficiency and strong selectivity. However, the most popular biocatalyst,
13 enzymes, often fail to retain the activity and stability in practical applications. The μ -IMERs for
14 the continuous biocatalysis will draw on the benefits of both the microfluidic reactors and the
15 enzyme immobilization techniques for highly efficient, stable, reproducible and continuous
16 biocatalytic reactions in both laboratory and industry. In this review, different factors that affect
17 the production efficiency, stability and reusability in the μ -IMERs are summarized following a
18 top-down strategy.

19 From the macroscopic aspect, the materials used for microfluidic reactors should be
20 temperature and chemical stable, biocompatible with enzymes, and easy to fabricate. Among all
21 the organic and inorganic materials (glass, silicon, PDMS, PMMA, PC, paper, etc.), PDMS is most
22 popular. It not only meets all the requirements mentioned above, but also has the advantages of

1 optical transparency, great flexibility, and easy surface modification. Once the fabrication material
2 is chosen, the configuration of microfluidic reactors should also be well designed to make full use
3 of the space for the enzyme loading and the substrate accessing. Fabrication technologies of the
4 microfluidic reactors should also be well chosen according to the materials and the configurations.

5 From the microscopic aspect, the internal structures of microfluidic reactors should provide
6 a large specific area for the enzyme loading and a short diffusion path to facilitate the affinity of
7 substrate to enzyme. There are three main types of microfluidic channels: wall-coated, packed-bed
8 and monolithic. Generally, the wall-coated channels have the least effects of diffusion resistance
9 on the enzyme activity. However, they usually possess a low specific area and a long diffusion
10 path. Nevertheless, the packed-bed channels have the shortcomings of huge pressure drops. It is
11 also hard to control the fluids and the heat transfer inside the packed-bed channels. For the
12 monolithic channels, some problems, such as non-uniform permeability, poor reproducibility and
13 time-consuming fabrication, may also limit their applications. Overall, each of them has its own
14 strengths and weaknesses, and it is hard to say which one is the best. The design of internal
15 structures should balance every aspect and take into account the used enzyme immobilization
16 technique.

17 From the nanoscopic aspect, the choice of enzyme immobilization technique is the major
18 factor that affects the overall biocatalytic efficiency of μ -IMERs. For most non-covalent binding
19 methods, they have the advantages of simple fabrication, mild immobilization condition, low
20 chance of conformational change and great reversibility. However, the bonds are generally weak
21 and dependent on pH or ionic strength. For performance improvement, covalent binding is usually
22 employed to provide stronger and more stable interactions for the enzyme and the support. But the
23 enzyme conformation would be changed by using covalent binding, which to some extent may

1 reduce the activity. Additionally, these non-specific methods can't control the orientation of
2 enzymes, which may cause the block of active sites by the support. This problem may be solved
3 by the site-specific affinity binding which can precisely control the orientation of enzymes and
4 make their active center exposed to the substrate. The site-specific binding also enables a fine
5 positioning of different enzymes in confined spaces, which is noted to play a key role in the multi-
6 enzyme systems. As for the encapsulation, it offers a three-dimensional matrix for enzyme
7 immobilization. Then the enzyme loading amount would be relatively larger than that by those
8 surface binding methods. Nevertheless, there are also some drawbacks like the slow diffusion of
9 substrate to enzyme, the enzyme leakage or the enzyme contamination by the encapsulation
10 materials. On account of these, there is also no perfect immobilization method. More than one
11 strategy is often combined to optimize the activity, stability and reusability of enzymes.

12 μ -IMERs for continuous biocatalysis can be expanded from laboratory to industry for large
13 amount production by scaling-up and scaling-out if the reaction kinetics and flow dynamics are
14 carefully considered. But there are still difficulties in the wide application due to the numerous
15 and complex issues involved. The challenges also include simplifying the fabrication, increasing
16 the activity and reducing the cost. Some new nanomaterials or nanostructures with high SAV ratios
17 have already been developed as the enzyme immobilization carriers, like molybdenum
18 disulfide,^{177,178} halloysite nanotube,¹⁷⁹⁻¹⁸¹ metal-organic frameworks,¹⁸²⁻¹⁸⁴ and so on. But there is
19 still a lack of research in the integration of enzyme-loaded new nanomaterials with the microfluidic
20 reactors for biocatalysis. It is also necessary to increase the type of enzyme used for the μ -IMERs
21 and not limited to the common model enzymes like trypsin, lipase, GOx or HRP. In the future, μ -
22 IMERs with new configuration design and new enzyme immobilization method could be applied
23 to a variety of biocatalyses in both experimental research and industrial production.

Conflicts of interest

2 There are no conflicts of interest to declare.

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8

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This review investigates the strategies of employing μ -IMERs for continuous biocatalysis by a top-down approach.

