

# Recent Progress in Group III-Nitride Nanostructures: from Materials to Applications

Fei Chen,<sup>1,2</sup> Xiaohong Ji,<sup>1,\*</sup> Shu Ping Lau<sup>3,\*</sup>

<sup>1</sup> *School of Materials Science and Engineering, State Key Laboratory of Luminescent Materials and Devices, and Institute of Optical Communication Materials, South China University of Technology, Guangzhou 510641, China*

<sup>2</sup> *College of Materials and Environmental Engineering, Hangzhou Dianzi University, Hangzhou 310018, China*

<sup>3</sup> *Department of Applied Physics, The Hong Kong Polytechnic University, Hong Kong, China*

**ABSTRACT:** Group-III-nitride semiconductors, including AlN, GaN, InN and their ternary, quaternary compounds, are promising electronic and optoelectronic materials for the applications in light emitting diodes, lasers, field emitters, photodetectors, artificial photosynthesis, and solar cells. Owing to their direct bandgaps ranging from near infra-red to deep ultraviolet. In recent years, the growth of group-III nitride nanostructures has been extensively explored. Herein, we provide a comprehensive review on the rational synthesis, fundamental properties and promising applications of group-III nitride nanostructures. Group-III nitride nanostructures with diverse morphologies, their corresponding synthesis methods and formation mechanisms involved are systematically compared and discussed, as well as the detailed factors that influence the optical and electrical properties of the nanostructures. The recent achievements gained in the fields of III-nitride nanostructures are highlighted, including light emitting diodes, laser diodes, photodetectors, solar cells, artificial photocatalysis, nanosensors, and nanogenerators. Finally, some perspectives and outlook on the future developments of III-nitride nanostructures are commented.

**Keywords:** III-nitride semiductors; nanostructures; GaN; InN; AlN; synthesis methods; optoelectronic applications.

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\*) Corresponding authors : [jxhong@scut.edu.cn](mailto:jxhong@scut.edu.cn) (XH Ji); [apsplau@polyu.edu.hk](mailto:apsplau@polyu.edu.hk) (SP Lau)

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## Nomenclature

AlN	aluminium nitride
GaN	gallium nitride
InN	indium nitride
AlGaN	aluminium gallium nitride
InGaN	indium gallium nitride
AlInN	aluminium indium nitride
LED	light emitting diode
LD	laser emitting diode
PD	photodetectors
UV	ultraviolet
DUV	deep ultraviolet
IR	infrared
NIR	near infrared
FE	field emission
1D	one dimensional
2D	two dimensional
3D	three dimensional
NW	nanowire
NR	nanorod
NB	nanobelt
NC	nanocolumn
NT	nanotube
NF	nanoflower
RT	room temperature
WZ	wurtzite
ZB	zincblende
$E_g$	band gap
DFT	density functional theory

NH <sub>3</sub>	ammonia
Ar	argon
N <sub>2</sub>	nitrogen
VT	vaporation temperature
DT	deposition temperature
VLS	vapor-liquid-solid
VSS	vapor-solid-solid
VS	vapor-solid
CNTs	carbon nanotubes
RE	rare earth
CVD	chemical vapor deposition
(PA)MBE	(plasma-assisted) molecular beam epitaxy
MOCVD	metal organic chemical vapor deposition
DC	direct current
RHEED	reflection high-energy electron diffraction
UHV	ultra high vacuum
AAO	anodic aluminum oxides
MWCNT	multi-walled carbon nanotubes
SEM	scanning electron microscope
MQW	multiple quantum wells
TEM	transmission electron microscope
HRTEM	high-resolution transmission electron microscope
PL	photoluminescence
EL	electroluminescence
CL	cathodoluminescence
QE	quantum efficiency
SE	secondary electron
XAFS	X-ray absorption spectroscopy
CCT	correlated color temperature
CRI	color redering index

IQE	internal quantum efficiency
SKPM	scanning Kelvin probe microscopy
PV	photovoltaic
PCE	power conversion efficiency
APCE	absorbed photon conversion efficiency
AQE	apparent quantum efficiency
C-AFM	conductive atomic force micrograph

# 1. Introduction

After the first demonstration of high-efficient blue light emitting diodes (LEDs) in 1994 and laser diodes (LDs) in 1996, GaN-based III-nitrides have become prominent materials for optoelectronic devices and high-power electronics. Commercialized III-nitrides based blue and green LEDs are basic components for full color displays since 1995, and the GaN blue LD (405 nm) has become a new standard for data storage (Blue-ray technology). Consequently, the combination of the blue LED with phosphors has revolutionized the solid-state lighting technology, offering an efficient and environmental-friendly alternative to the general lighting market. The Nobel Prize in Physics 2014 was awarded jointly to I. Akasaki, H. Amano and S. Nakamura, for the invention of efficient blue LEDs based on III-nitrides, which have enabled bright and energy-saving white light sources. Group III-nitride semiconductors have been viewed as one of the most important families of semiconductor materials for modern electronics and optoelectronics (Figure 1).

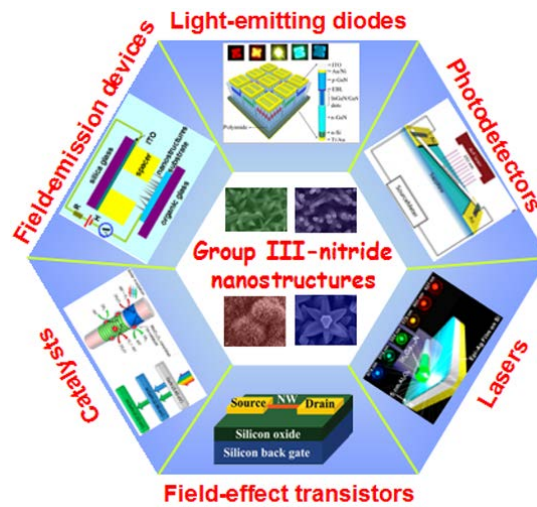


Figure 1 Versatile applications of group III-nitride nanostructures.

The binary group III-nitrides (AlN, GaN, and InN) can be either wurtzite (WZ) or zincblende (ZB). WZ is a hexagonal and stable structure under normal ambient conditions. [1] ZB is a cubic and meta-stable structure, which can only be obtained under certain conditions. For an example, stabilization of cubic GaN films was achievable in molecular beam epitaxy (MBE) within a narrow process window.[2-3] Alternatively, ZB binary group III-nitrides

consists of tetrahedrally coordinated M (M=Al, Ga, and In) and N atoms stacked in the ABCABC pattern, while the same building blocks are stacked in the ABABAB pattern in WZ, as depicted in Figure 2. In addition, AlN, GaN and InN also suffer phase transitions directly from the WZ phase to the rocksalt phase at sufficiently high pressures.[4] The discussions in this review generally only concern the WZ phase.

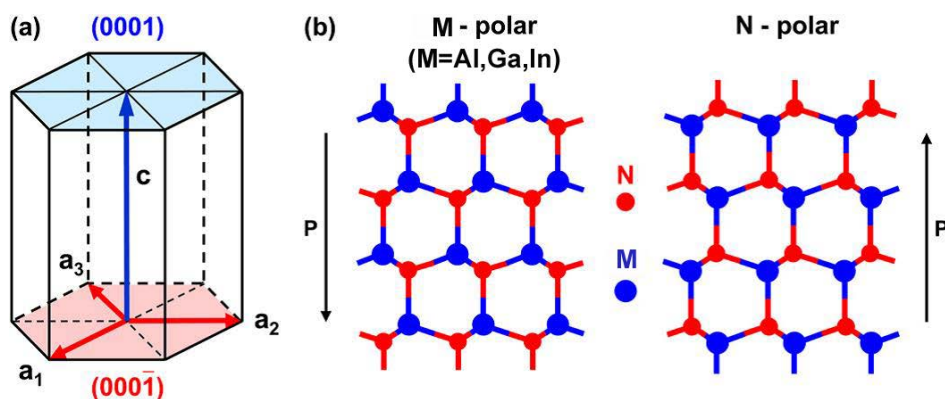


Figure 2 (a) Hexagonal unit cell and (b) atomic structure of M (M=Al, Ga, In)- and N-polar binary nitrides.

Table 1 Basic material parameters of wurzite bulk AlN, GaN, and InN.[5]

Parameter	AlN	GaN	InN
Bandgap $E_g$ ( $T=0$ ) (eV)	6.25	3.51	0.69
Bandgap $E_g$ ( $T=300$ K) (eV)	6.14	3.43	0.64
Lattice constant $a$ ( $T=300$ K) (nm)	0.3112	0.3189	0.3533
Lattice constant $c$ ( $T=300$ K) (nm)	0.4982	0.5185	0.5693
Coefficient of thermal expansion $\Delta a/a$ ( $10^{-6}/K$ )	4.2	5.6	3.8
Coefficient of thermal expansion $\Delta c/c$ ( $10^{-6}/K$ )	5.3	3.2	2.9
Melting point ( $^{\circ}C$ )	3487	2791	2146
Static dielectric constant ( $\epsilon_s/\epsilon_0$ )	8.5	8.9	10.5
High-frequency dielectric constant ( $\epsilon_{\infty}/\epsilon_0$ )	4.6	5.4	6.7
Exciton binding energy (meV)	60	34	9
Exciton Bohr radius (nm)	1.4	2.4	8
Electron Effective mass at band edge $m_e^*/m_0$	0.32	0.20	0.07
Electron mobility ( $T=300$ K) ( $cm^2/Vs$ )	300	900	4400
$A_1(TO)$ phonon ( $cm^{-1}$ )	611	532	447
$A_1(LO)$ phonon ( $cm^{-1}$ )	890	734	586
$E_1(LO)$ phonon ( $cm^{-1}$ )	912	741	593
$E_1(TO)$ phonon ( $cm^{-1}$ )	671	559	476
$E_2(high)$ phonon ( $cm^{-1}$ )	657	568	488
$E_2(low)$ phonon ( $cm^{-1}$ )	249	144	87



The band structure of a semiconductor material describes ranges of energy that an electron is “forbidden” or “allowed” to have and it determines important electronic and optical properties of the semiconductor. Figure 3 depicts the representative band structures of WZ AlN, GaN, and InN simulated using density functional theory (DFT) calculations.[6] Theoretically, the bandgap of group III-nitride WZ phases are direct. The optical properties are related to the band structure, its dispersion and probabilities of inter-band optical transitions, which are experimentally distinct.[7-9] Some basic parameters of WZ AlN, GaN and InN are summarized in Table 1

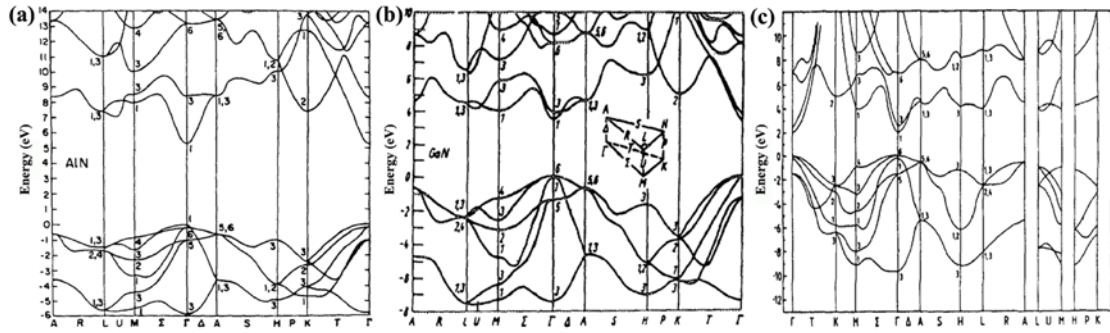


Figure 3 Calculated band structure of wurtzite binary nitrides: (a) AlN; (b) GaN; (c) InN.[6]

According to Vegard’s Law, the bandgap of ternary group III-nitride alloys, including AlGa<sub>x</sub>N, InGa<sub>x</sub>N, and AlIn<sub>1-x</sub>N, can be tuned by adjusting the compositions.[10] Based on the relationship between the bandgap and compositions, the bandgap of Al<sub>x</sub>Ga<sub>1-x</sub>N, In<sub>x</sub>Ga<sub>1-x</sub>N, and Al<sub>x</sub>In<sub>1-x</sub>N alloys over the entire composition range can be well fitted by Eq. (1):

$$E_g(x) = E_g(0) \cdot x + E_g(1) \cdot (1-x) - b \cdot x \cdot (1-x) \quad (1)$$

where  $E_g(0)$  and  $E_g(1)$  are the optical bandgaps of ternary nitride alloys with  $x = 0$  and 1,  $b$  is the bowing parameter, which reflects the effects of strain, doping and composition fluctuation. Therefore, it is critical to measure  $E_g$  over a large range of composition to obtain an accurate assessment of  $b$ . [11]

Numbers of theoretical models have been developed to predict optical and electronic properties of group III-nitride ternary alloys.[11-14] Teles et al. calculated the bandgaps of Al<sub>x</sub>Ga<sub>1-x</sub>N, In<sub>x</sub>Ga<sub>1-x</sub>N, and Al<sub>x</sub>In<sub>1-x</sub>N by combining first-principle calculations within the

LDA-1/2 (local-density approximation (LDA) together with the half occupation (transition state)) approach with the generalized quasi-chemical approach (GQCA).[14] The investigated comparison of LDA, LDA-1/2, and experimental results of bandgap of the three ternary alloys shown in Figure 4 demonstrated well matched LDA-1/2 predictions and the experimental results.

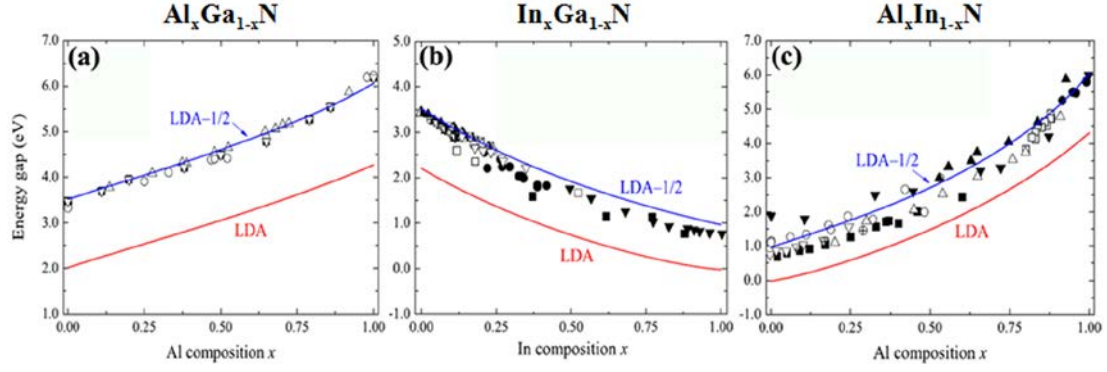


Figure 4 Simulated energy gap of (a)  $\text{Al}_x\text{Ga}_{1-x}\text{N}$ , (b)  $\text{In}_x\text{Ga}_{1-x}\text{N}$ , and (c)  $\text{Al}_x\text{In}_{1-x}\text{N}$ : comparison between LDA (red line) and LDA-1/2 (blue line) calculations.[14]

With the rapid development of nanoscience and nanotechnology, the synthesis and applications of group III-nitride nanostructures have been thoroughly investigated in recent years. In this article, we will present a comprehensive review of the state-of-the-art research activities related to group III-nitride nanostructures, including the synthesis, fundamental properties and potential applications. We will start by reviewing the synthesis methods of abundant group-III nitride nanostructures, after which we will focus on the electrical, chemical and physical properties of the synthesized nanostructures, and the prospects of diverse group III-nitride nanostructures in various functional device applications. We will finish with an outlook on present challenges and perspectives of further investigations of nanostructured group III-nitrides.

## **2. Synthesis and growth mechanisms**

### **2.1 Growth mechanisms**

The growth of the group III-nitride nanostructures is based on the vapor phase techniques, which can be categorized into three types: vapor-liquid-solid (VLS), vapor-solid (VS), and vapor-solid-solid (VSS).

#### **2.1.1 Vapor-liquid-solid**

The VLS growth mechanism is widely employed to fabricate various types of 1D group III-nitride nanostructures by CVD method. To illustrate the growth procedure more clearly, the schematic is shown in [Figure 5\(a\)](#). The growth sequences of the NWs based on VLS mechanism can be divided into three stages: (I) the catalyst particles deposited on the surface of a substrate react firstly with the metal source (Al, Ga or In) to form the eutectic alloy at a certain temperature; (II) nucleation occurs when the liquid eutectic alloy becomes supersaturated with the source metal vapor; (III) grow along one particular crystallographic orientation due to the continuing supplement of reactive vapor, resulting in the formation of 1D NWs. One of the most distinct features of the 1D nanostructures fabricated by the VLS growth mechanism is the presence of a metal nanoparticle at the tip of the nanostructures.

The VLS growth mechanism is very successful in synthesizing large numbers of 1D nanostructures (single and heterostructured NWs) with uniform crystalline structures. The disadvantage of the VLS method may be the contamination caused by the intentional use of metal catalysts, which may result in the destruction of the material performances. However, the influence of the contamination for specific properties of the 1D nanostructures can be minimized by appropriately selecting the catalysts and the post-treatment process.

#### **2.1.2 Vapor-solid**

The anisotropic growth of group III-nitride nanostructures by VS mechanism is generally guided by the following factors, different growth rates of various crystal planes, the preferential accumulation of specific planes, and the presence of crystal defects. In addition, a

decrease in the Gibbs free energy may result in recrystallization, or a decrease in supersaturation accelerates the spontaneous growth of 1D nanostructures. In a typical VS growth process, the vapor precursors are first generated by evaporation, decomposition or chemical reaction, then transport to the substrate. Nucleation of the growth species on substrate occurs due to the supersaturation of vapor. Eventually, the desired 1D semiconductor nanostructures can be obtained by a series of processes, including adsorption, diffusion, desorption, and subsequent deposition of the species. The diameter and shape of the as-synthesized 1D nanostructures are largely dependent on the experimental parameters and controlled by the crystal growth kinetics.

A large number of 1D group III-nitride nanostructures were grown *via* VS growth mechanism,[15-20] the corresponding schematic of the VS growth mechanism during the CVD-growth of III-nitride NWs is illustrated in Figure 5(b). The metal vapor is generated by evaporation of metal precursors or decomposition from the chlorides, then transport to the deposition zone and condense into metal cluster on the substrate. Whereafter, the initially condensed metal clusters (solid phase) form as the nucleation sites when the metal vapor is saturation. Finally, the nucleation sites anisotropically grow along the preferential axis, forming the 1D morphology.

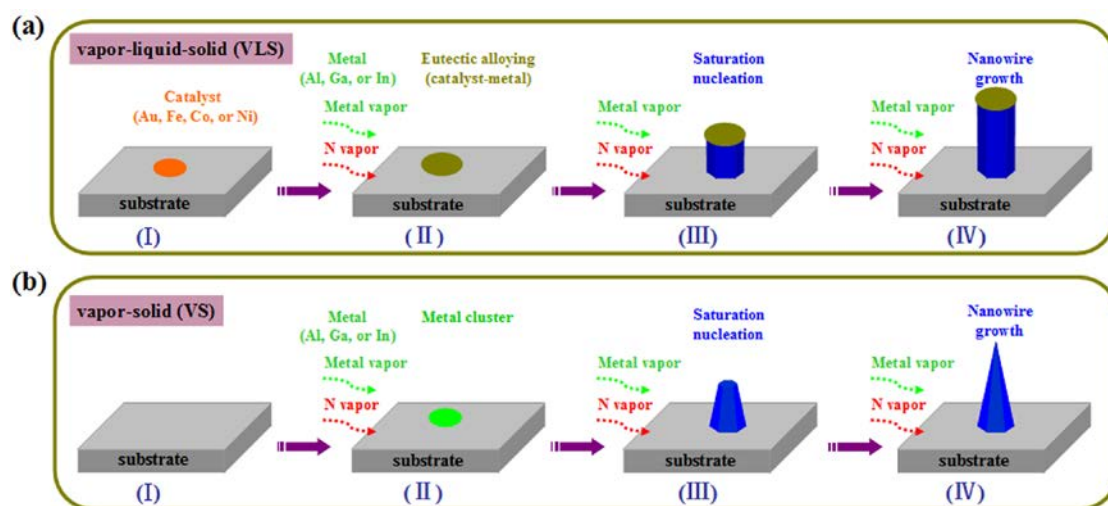


Figure 5 Schematic diagrams of the 1D group III-nitride nanostructures based on  
(a) VLS and (b) VS growth mechanism.

### 2.1.3 Vapor-solid-solid

VSS is an analogous growth mechanism to VLS with the distinct difference of catalyst states. In VSS, the catalyst is in solid-state, while in VLS the catalyst is in liquid-state. It was first proposed in 2000 by Kamins et al.[21] in Ti-catalyzed silicon NWs. Subsequently, VSS was applicable to explain the growth of group III-nitride nanostructures.[22-23]

## 2.2 Synthesis techniques

A variety of synthesis techniques have been developed to fabricate group III-nitride nanostructures. They can be classified into two broad categories: top-down and bottom-up strategies. Till now, multifarious WZ nitride nanostructures have been demonstrated such as nanowires (NWs), nanorods (NRs), nanobelts (NBs), nanotubes (NTs), nanocones, nanotowers, and so on, as shown in Figure 6. In this section, we will briefly summarize the most well-developed physical and chemical vapor techniques that emerged during the past few years with a focus on “bottom-up” strategy for the growth of 1D group III-nitride nanostructures.

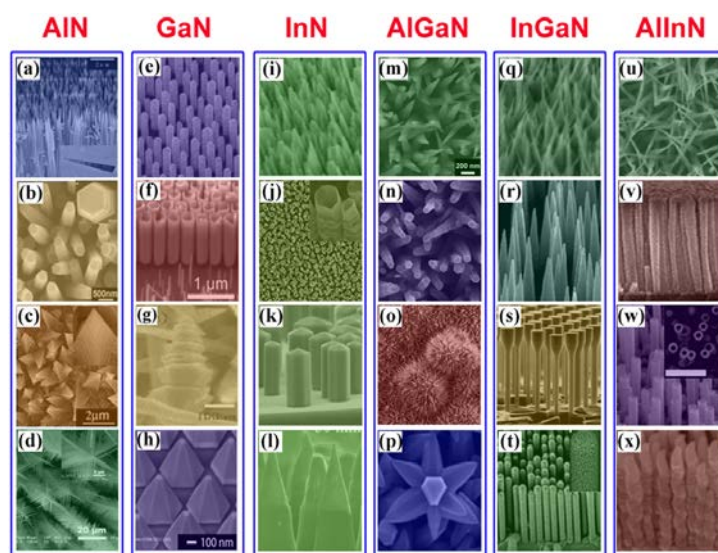


Figure 6 Diverse morphologies of group III-nitride nanostructures: (a)-(d) AlN nanocones[24](a), nanocolumns[25] (b), nanopyramids[26] (c), sixfold-symmetrical hierarchical nanostructures[27](d); (e)-(h) GaN NWs[28] (e), nanotubes[29] (f), nanotowers[30] (g), nanocones[31] (h); (i)-(l) InN NWs[32](i), NTs[33](j), NCs[34] (k),

nanotowers[35] (l); (m)-(p)  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  nanocones[36] (m), nanotowers[19](n), NFs[19](o), six-fold symmetry heptapods[19](p); (q)-(t)  $\text{In}_x\text{Ga}_{1-x}\text{N}$  NWs[37] (q), nanotips[38] (r), nanonails[39] (s), nanotrees[40] (t); (u)-(x)  $\text{Al}_x\text{In}_{1-x}\text{N}$  nanocones[41] (u), NCs[42] (v), NTs[43](w), nanospirals[44].

### 2.2.1 Chemical vapor deposition

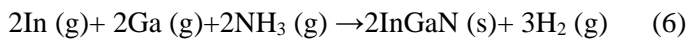
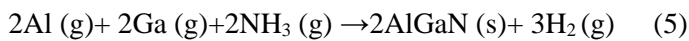
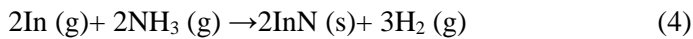
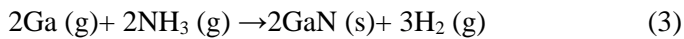
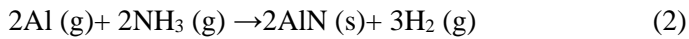
Chemical vapor deposition (CVD) is a vapor-phase growth method, which essentially involves the volatile precursors at different pressures with specific flow rates, dissociations of reactive gases, and chemical reactions so as to fabricate the target compounds on the substrates. For CVD processes, a series of parameters can be adjusted to control the growth, including (1) the type of the precursor materials, which may determine the phase and stoichiometry of the products; (2) the evaporation temperature of the source materials, mainly considering the volatility and saturated vapor pressure of the precursors; (3) the pressure of the growth chamber, to control the evaporation rate and vapor pressure of the precursors; (4) the species, size and amount of catalyst, which will influence the size and morphology of the products; (5) the substrate temperature, which will strongly determine the type of product obtained; (6) the carrier gas and its flow rate, to allow certain chemical reaction to occur during vapor transportation and to adjust the growth rate; (7) the type of substrate, which can tune the electrical performance and flexibility of the products; (8) the growth time, which will affect the yield and size of the products. In principle, it is possible to construct any solid material into 1D nanostructures by precisely controlling the synthesis conditions. Various CVD techniques have been developed to synthesize III-nitride nanostructures.

#### 2.2.1.1 Simple compound involved CVD

It is usually available for the III-nitride nanostructures grown from the metal (Al, Ga, or In) vapors in the presence of  $\text{NH}_3$  using atmospheric CVD method.[15] Various AlN nanostructures,[45-48] including NBs, NRs, nanorings, nanotips, branched nanostructures, and oriented nanostructures[25] were reported. In these works, the growth temperature and  $\text{NH}_3$  flow rate played a crucial role in the structural and morphological evolution. A

surface-diffusion controlled mechanism based on the VS process was proposed as the underlying principle of AlN nanostructures' growth. Simultaneously, this strategy was also applied to fabricate GaN NWs and NRs,[49-51] and InN NWs and NTs,[52], and other irregular nanostructures.[53]

In addition, the direct reaction of metal vapor under the flowing gas of NH<sub>3</sub> was also applied to synthesize the 1D ternary III-nitride nanostructures. For an example, WZ alloyed AlGaIn NWs over the entire compositional range had been grown on catalyst-free Si(100) substrates by using NH<sub>3</sub> vapor and a mixture of Al powder and molten Ga droplets.[54] During the growth process, the composition of ternary alloyed NWs could be controlled through adjusting the mass ratios of Ga to Al in the mixture. Similarly, the InGaIn NCs on amorphous SiO<sub>2</sub> substrates were produced through varying In content and substrate temperatures using plasma-enhanced evaporation.[55] The overall reactions of binary and ternary III-nitride nanostructures using metal (Al, Ga, or In) vapors and NH<sub>3</sub> were expressed by Eqs (2)-(6). In general, the diameter of the nanostructures was dependent on the growth temperature and NH<sub>3</sub> flow rate, and the NW length was principally dependent on the growth time.



### 2.2.1.2 Chloride-assisted CVD

Due to the low vaporization and low decomposition temperature of group-III metal chlorides, III-nitride nanostructures can be easily obtained through chloride-assisted CVD. Since 1997, Haber et al. employed AlCl<sub>3</sub> as a growth promoter to synthesize AlN nanowhiskers,[56-57] and found that the formation of AlN nanowhiskers were strongly dependent on the presence of AlCl<sub>3</sub>. The yield of nanowhiskers was significantly enhanced



with the addition of  $\text{AlCl}_3$ . Subsequently,  $\text{AlCl}_3$ ,  $\text{GaCl}_3$ , or  $\text{InCl}_3$  were widely employed as the growth promoters to synthesize III-nitride nanostructures through CVD method. Our group demonstrated large-scale single-crystalline AlN nanotips and NRs on Si, [58-59] flexible graphite sheet,[20] carbon cloth[18] substrates, and the growth of AlN-MoS<sub>2</sub> core-shell NWs[60] via the catalyst-free CVD using  $\text{AlCl}_3$  and  $\text{NH}_3$  as the precursors. Typical scanning electron microscopy (SEM) images of AlN nanostructures are shown in Figure 7. The distance between source material and substrate was 4-5 cm, and the growth temperature was ~1000 °C. We found that the self-catalytic Al, resulted from the decomposition of  $\text{AlCl}_3$  at the very beginning of the reaction, acted as nucleation sites, and then formed AlN with subsequently absorbed N ions from the decomposition of  $\text{NH}_3$ , leading to the growth of AlN nanostructures with various configurations on the substrates.

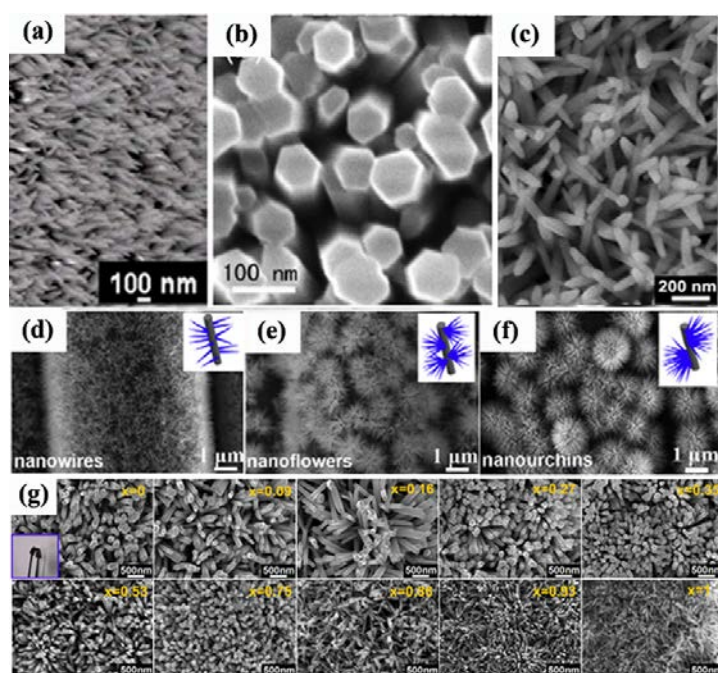


Figure 7 (a)-(c) The typical SEM images of the large-scale AlN nanotip arrays,[58] AlN NR arrays,[59] and AlN NWs on graphite sheet;[20] (d)-(f) the SEM images of the AlN nanostructures with different morphologies on carbon cloth;[18] (g) the SEM images of  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  nanocones across the entire composition range.[36]

Chen et al. reported the fabrication of well-aligned GaN NCs through the chloride-assisted CVD.[61] 1D GaN nanostructures evolved from nanoneedles to NCs with hexagonal top facet with the growth temperature increasing from 750 °C to 900 °C, and the



diameter of GaN NCs was gradually increased.[62] A VS growth process of the aligned GaN NCs includes heterogeneous nuclei formed on Si substrate, followed by the epitaxial growth of NCs occurred on the nuclei along the preferential [001] direction.

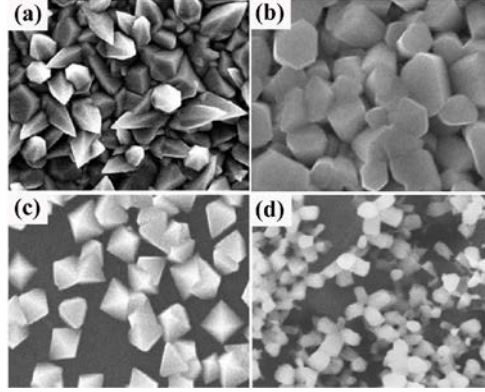


Figure 8 SEM images of the InN nanostructures obtained at different VT and DT: (a) nanocones obtained at VT = 470 °C and DT = 550 °C, (b) hexagonal nanoprisms obtained at VT=470 °C and DT=600 °C, (c) octahedrons obtained at VT = 480 °C and DT = 550 °C, (d) four-fold-symmetrical hierarchical nanostructures obtained at VT = 480 °C and DT = 580 °C.[63]

However, the synthesis of InN nanostructures through the chloride-assisted CVD suffered crucial problems of low dissociation temperature, resulting in their low growth rate below 500 °C and upward dissociation trend at the temperature higher than 600 °C.[64] Zhang et al. reported the InN nanostructures with various morphologies using  $\text{InCl}_3$  and  $\text{NH}_3$  as the sources, as shown in Figure 8.[63] The InN nanostructure was strongly influenced by In flux and the deposition temperature.

Chloride-assisted CVD was fulfilled on the fabrication of  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  nanostructures. Our group has systematically studied  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  nanostructures with various morphologies, including NRs,[65] nanotowers,[17] nanonails, NFs, six-fold symmetry heptapods, and three-fold symmetry tetrapods [19] by tuning the evaporating temperatures of  $\text{AlCl}_3$  and  $\text{GaCl}_3$ , flow rate of  $\text{NH}_3$ , the substrate temperature when  $\text{NH}_3$  was introduced, and the growth temperature. The composition manipulation of  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  NWs ranging from  $x = 0$  to 1 is realized by adjusting the VT of  $\text{AlCl}_3$  and  $\text{GaCl}_3$  in our group,[66] as shown in SEM images in Figure 7(g).  $\text{AlCl}_3$ ,  $\text{GaCl}_3$  and substrates were placed separately in three adjacent temperature zones, and  $\text{NH}_3$  was introduced to the deposition zone through an inner small

quartz tube. The evolution of  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  nanostructures from nanonails to complex configuration were systematically investigated in terms of the saturation vapor pressure of the precursors by tuning the growth parameters. A VS growth mechanism combined with the surface diffusion process was responsible for the formation and evolution of the series of nanostructures.[17]

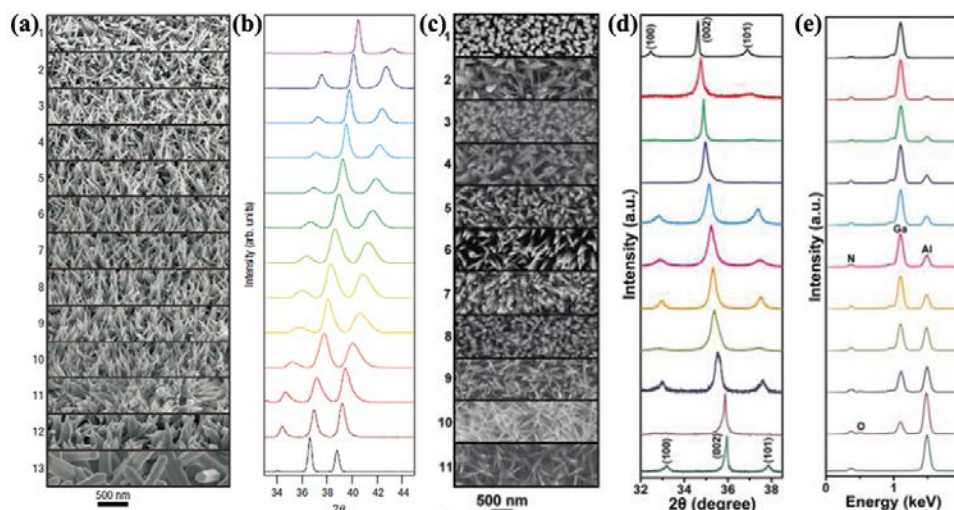


Figure 9 (a)-(b) SEM images and (100), (002) and (101) XRD peaks of the  $\text{In}_x\text{Ga}_{1-x}\text{N}$  NWs with the entire composition range;[37] (c)-(e) the SEM images, XRD and EDS patterns of  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  NWs in the whole composition range.[36]

Composition tunable  $\text{In}_x\text{Ga}_{1-x}\text{N}$  NWs were reported by Yang's group using chloride-assisted CVD in 2007.[37] The synthesis process allowed independent vapor pressure control of both metal sources to balance the chemical potentials of the different chemical species at the growth front, keeping them in the (III) chlorides. Using  $\text{N}_2$  carrier gas to tune the In ratio quite finely as the  $\text{In}_x\text{Ga}_{1-x}\text{N}$  grew on a sapphire substrate. The SEM images and XRD patterns of the  $\text{In}_x\text{Ga}_{1-x}\text{N}$  NWs in the whole compositional range are provided in Figure 9(a) and (b). Figure 9(c)-(e) shows SEM, XRD and EDS results of the  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  nanocones on Si substrate across the whole composition range from He's group.[36] In their work, the  $\text{AlCl}_3$ ,  $\text{GaCl}_3$ , and  $\text{NH}_3$  precursors were separately located at three neighboring temperature zones in order to minimize the influence of each other. To get a single phase  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  nanocone, the matchable VT were optimized to be 70-90 °C for  $\text{GaCl}_3$  and 130 - 150 °C for  $\text{AlCl}_3$ , and the temperature of the deposition zone was set at 700 °C. By adjusting the VT of  $\text{GaCl}_3$  and  $\text{AlCl}_3$  precursors, the partial pressures of  $\text{GaCl}_3$  and  $\text{AlCl}_3$

vapors could be modulated. Thus, the  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  nanocones across the entire composition range were obtained.

Due to the successful growth of  $\text{In}_x\text{Ga}_{1-x}\text{N}$  and  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  nanostructures, chloride-assisted CVD was considered as an important technique for the fabrication of ternary nitrides nanostructures. However, the In content was limited in the range of 0 - 0.5 due to the difficulty in In supplying and the instability of  $\text{InAlN}$  with high In content, suggesting that a low-temperature growth method should be adopted to further increase the In content. Recent work of Du et al. reported different  $\text{In}_x\text{Al}_{1-x}\text{N}$  nanostructures with a composition range of  $0 < x < 0.5$  by chloride-assisted CVD method, as shown in Figure 6(u).<sup>[41]</sup> Typically,  $\text{AlCl}_3$ ,  $\text{InCl}_3$  and a Si (100) substrate were placed separately in the three zones along the gas flow direction, which was very similar to the reports of the growth of  $\text{In}_x\text{Ga}_{1-x}\text{N}$  and  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  nanostructures.<sup>[16-17, 19, 37]</sup> The VT of  $\text{AlCl}_3$  was set at 130 °C, the  $\text{InCl}_3$  source was heated in the range of 300 - 500 °C to adjust the vapor pressure ratio of  $\text{InCl}_3$  to  $\text{AlCl}_3$ , and the growth temperature was fixed at 700 °C. By tuning the vapor pressure ratio of  $\text{InCl}_3$  to  $\text{AlCl}_3$ , the morphology of  $\text{In}_x\text{Al}_{1-x}\text{N}$  nanostructures evolved from nanocones, to NCs, to nanobrushes, and finally back to nanocones along with the composition ( $x$ ) regulation in the range of  $0 < x < 0.5$ .

### 2.2.1.3 Metalorganic chemical vapor deposition (MOCVD)

In 2003, Yang et al. reported the synthesis of high-quality GaN NWs *via* metal-initiated MOCVD.<sup>[67]</sup> Trimethylgallium (TMG) and  $\text{NH}_3$  were used as Ga and N precursors, respectively. The precursors of MOCVD process experienced pyrolytic reactions in a furnace at elevated temperatures, where the metallic atom was deposited on the substrate while the organic compound was removed from the reaction chamber. A silicon substrate and *c-/a-plane* sapphires with thermally evaporated thin films of Ni, Fe, or Au were used as substrates. The NWs growth occurred at substrate temperature of 800 - 1000 °C following VLS growth mechanism. Subsequently, selected area growth of GaN NW and NR arrays by MOCVD was demonstrated.<sup>[8, 68]</sup> Figure 10 (a) and (b) show the SEM images of the as-grown GaN NW arrays using a SiN mask, and the GaN NR arrays with three tapering

portions using a patterned-hole template through pulsed growth mode could be observed. The location, orientation, and diameter of the GaN NW or NR were controlled by using a selective growth mask. The utilization of a pulsed MOCVD process not only kept the diameter of NWs constant under high group V/III ratio (1500), but also obtained NRs with multiple sections of different cross-sectional size under low group V/III ratio (75).

The growth of AlN NWs by MOCVD was reported by Cimalla et al.[69] . At the temperature below 1000 °C, the Kirkendall effect during the alloying of Al and the catalyst resulted in the formation of 3D nanostructures like lamellas and NFs. The growth temperature above 1000 °C was necessary to grow the AlN NWs.

InN NFs grown by MOCVD was reported by Kang et al.[70] The sapphire substrate was nitrided under an NH<sub>3</sub> flow at 1050 °C for 3 min before the growth. Nitrided sapphire substrate was helpful in improving the quality of the InN NFs. Due to the introduction of hydrogen in the MOCVD system, segregated metallic In unintentionally exists. As a result, the metallic In form was enhanced by the hydrogen, which induced VLS growth of InN NFs.

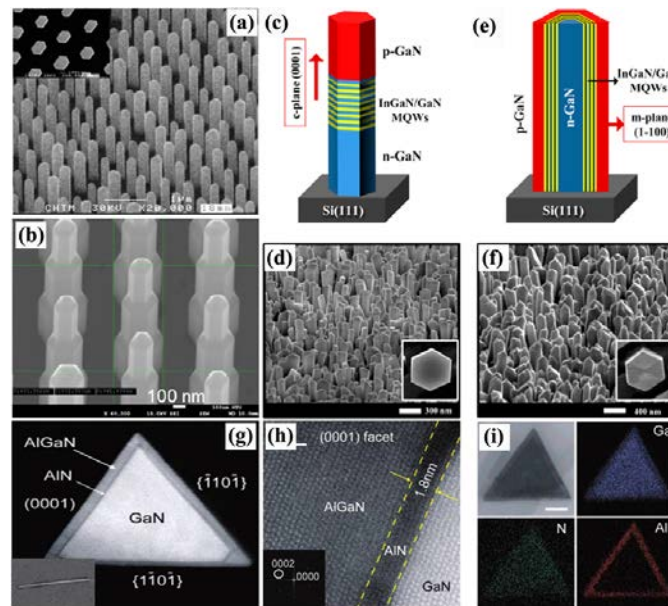


Figure 10 The characterization of group III-nitride nanostructures obtained by MOCVD: (a) SEM image of the GaN NWs using the SAG method;[8] (b) SEM image of the GaN NR array with three tapering portions;[68] (c)-(f) schematic diagrams and SEM images of the *c*-plane (uniaxial) oriented (c)-(d) and *m*-plane (coaxial) oriented InGaN/GaN MQW NWs (e)-(f);[71](g)-(i) HAADF-STEM, lattice-resolved HAADF-STEM, SAED, and EDX elemental mappings of GaN/AlN/AlGaN NWs.[72]

Ternary nitrides nanostructures, such as AlGa<sub>N</sub> NWs,[73] InGa<sub>N</sub> nanotips,[74] InGa<sub>N</sub> nanodots/nanorings/nanoarrows,[ 75 ] InGa<sub>N</sub> nanoneedles,[ 76 ] InGa<sub>N</sub> NRs,[ 77 ] AlInN NTs[43] synthesized by MOCVD were reported. MOCVD is not only a feasible method to fabricate 1D ternary nitrides nanostructures, but also can be integrated to the fabrication of MQWs. For example, Lieber's group reported the rational synthesis of GaN/AlN/AlGa<sub>N</sub> radial NW heterostructures, as shown in Figure 10(g)-(i), by sequential shell growth immediately following NW elongation using MOCVD.[72] In contrast, Ra et al.[ 78 ] fabricated InGa<sub>N</sub> QWs within doped Ga<sub>N</sub> NWs, where pulsed-flow MOCVD was used to create different layers: a core of *n*-doped Ga<sub>N</sub> NW, layered with InGa<sub>N</sub> and Ga<sub>N</sub>, covered with *p*-doped Ga<sub>N</sub>. These NWs showed a high level of emission efficiency. The controlled synthesis of InGa<sub>N</sub>/Ga<sub>N</sub> MQW uniaxial (*c*-plane) and coaxial (*m*-plane) NW heterostructures were also fabricated by MOCVD, as exhibited in Figure 10 (c)-(f).[71] The structural orientation of the heterostructures from *c*-plane to *m*-plane were realized by adjusting the growth temperature and working pressure.

In general, MOCVD can accomplish high volume growth with its high intake of precursor gas and possibility to use a large size substrate to harvest more nanostructures. Yet, limitations exist for the MOCVD process, such as the use of highly toxic gases and complicated chemistry, causing undue increase of the cost.

## 2.2.2 Physical vapor deposition

### 2.2.2.1 DC arc discharge method

The synthesis of nanostructures, particularly CNTs, through arc discharge method was first introduced by Iijima in 1991.[79] Since then, DC arc discharge method was developed for the synthesis of 1D inorganic semiconductor nanostructures, especially the compounds with high-melting point, such as nitrides, carbides, oxides, and silicides. The most outstanding advantages of this technique are the scorching temperature, reaching several thousands degrees, and large temperature gradient,[80] which enable the fabrication of nanostructures with high crystal quality.

Tondare et al.[81] developed the DC arc discharge technique for the growth of AlN NTs.

Subsequently, Lei and co-workers systematically investigated the growth of undoped and RE doped AlN nanostructures using DC arc discharge method. By adjusting the reactant gas pressure, voltage, input current, and growth time, various complex morphologies of AlN and AlN:M (M=Sc,Y) nanostructures were synthesized on Al, W, and Mo substrates.[82-84] The formation of various AlN nanostructures was discussed on the basis of the intrinsic properties of AlN.[85] During the arc discharge process, thermal decomposition of N<sub>2</sub> and evaporation of Al anode resulted in the formation of Al and N vapors, which were then transported by N<sub>2</sub> carrier gas to the substrate at a certain temperature to form AlN crystals. During this step, the heat convection and temperature gradient generated by the arc plasma provided a chemical-vapor transport and a condensation process, which was responsible for the nucleation of AlN. AlN crystal grew along certain direction to form 1D AlN nanostructures with the continued absorption of Al and N atoms. The growth rate along the [0001] growth direction was high because of abundant supply of Al and N during the initial stage in the high N<sub>2</sub> pressure. With the consumption of Al, the lower evaporation of Al and insufficient Al supply to the substrate led to lower growth rate than the initial stage, resulting in the shorter 1D nanostructures grown on the former 1D nanostructure.

The synthesis of GaN NRs through DC arc discharge method was carried out by Han et al.[86] The reaction was achieved by igniting an anode of a mixture of GaN, graphite, nickel powders, with a graphite cathode in a nitrogen atmosphere. The as-grown products were GaN-Carbon composite NTs and GaN NRs. The DC arc discharge method, on the whole, could be employed to obtain AlN and GaN nanostructure with good crystalline structure due to the high growth temperature and the high temperature gradient involved. Nevertheless, with the inherent complexity of the arc discharge process itself, the composition and purity of the as-synthesized products were difficult to be controlled.

#### **2.2.2.2 Molecular beam epitaxy (MBE)**

MBE is an epitaxial growth technique of semiconductor compounds involving the reaction of thermal molecular beams on a substrate under ultra-high vacuum conditions.[87] Specifically, MBE-grown group III-nitride NWs are typically formed under N-rich condition



without any foreign catalyst. This process is also commonly referred as a spontaneous self-organized formation process. The NW formation and nucleation processes can be further promoted with the use of an *in situ* deposited seeding layer, e.g., Ga and In during the growth of GaN and InN, respectively. Many research groups have demonstrated the applicability of the approach for the growth of pure binary and ternary III-nitride nanostructures and the heterostructures.

Yoshizawa et al.[88] grew the GaN NCs by radio-frequency MBE (RF-MBE). The growth rate, density, and diameter of NCs were dependent on the N<sub>2</sub> flow rate and the RF input power. Daudin et al.[89] reported the catalyst-free PAMBE growth of AlN NWs. Taking advantage of Volmer-weber growth mode, there was no amorphous layer between the nanostructures and substrates. However, most studies revealed the existence of a thin amorphous layer between Si substrate and group III-nitride nanostructures under N-rich conditions by MBE. To understand the underlying mechanism, Consonni et al.[90] performed detailed analysis by combining *in situ* RHEED measurement with *ex situ* HRTEM imaging. The result suggested that the NW nucleation started from 2D nuclei, then nuclei transformed into NWs. The transformation process was mainly driven by the surface energy anisotropy as modeled theoretically. These results inferred that the growth of III-nitride NWs by MBE did not form any epitaxial relationship with the underlying substrate essentially. Therefore, III-nitride NWs in principle can be grown on different substrates including graphene layer and amorphous glass by MBE. Following the successful growth of binary III-nitride nanostructures on diverse substrates, ternary In<sub>x</sub>Ga<sub>1-x</sub>N nanostructures were tuned by changing substrate temperature[91] and In flux during the MBE process.[92] More recently, Pierret et al.[93] applied the PAMBE to grow Al<sub>x</sub>Ga<sub>1-x</sub>N NWs with  $x$  in the range of 0.3 - 0.8. The structural and optical properties of Al<sub>x</sub>Ga<sub>1-x</sub>N NWs were governed by the presence of compositional fluctuations associated with strongly localized electronic states. Meanwhile, Tangi et al.[94] reported the PAMBE-growth of dislocation-free In<sub>x</sub>Al<sub>1-x</sub>N NWs in the temperature regime of 490 - 610 °C yielding In composition ranging  $0.17 \leq x \leq 0.50$ .

Zhao et al.[95] obtained highly uniform GaN NWs on thick SiO<sub>x</sub> template by PAMBE, which were free of stacking faults and misfit dislocations. InGaN/GaN dot-in-a-wire LED structures were investigated. The device exhibited stronger emission compared to that grown

directly on Si substrate, originating from the reduced light absorption by the Si substrate. In addition, the patterned substrates were employed to fabricate III-nitride nanostructures.[96-99] During the growth, a mask layer was first deposited on the substrate, and then nanoholes were created on the mask layer, which governed the subsequent nanostructures growth and formation process.

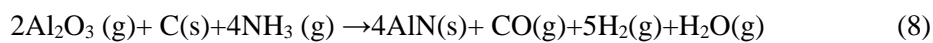
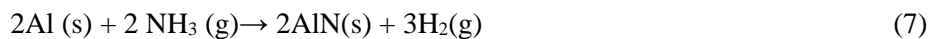
With the successful fabrication of binary/ternary group III-nitride nanostructures, a variety of heterostructures were designed and synthesized, including InGaN/GaN dot-in-a-wire, [ 100 - 103 ] InGaN/GaN core-shell NWs,[ 104 ] AlN/GaN core-shell NWs,[105-107] AlGaIn/GaN NWs,[108] InN/InGaIn core-shell NWs,[109] InGaIn/AlGaIn dot-in-a-wire core-shell NWs.[110]

### 2.2.3 Template-assisted synthesis

Template-confined synthesis is one of the common techniques for the fabrication of 1D nanostructures with uniform and controllable geometry. Numbers of templates, including CNT,[111-113] AAO,[114-115] Mo grid,[116] Ti and SiO<sub>2</sub> mask,[29, 117] patterned SiC and SiO<sub>x</sub>,[28, 96] were employed to synthesize 1D group-III nitride nanostructures. Mesoporous materials, including CNTs, AAO, and Mo grid are usually employed as the hard template to assist and confine the growth of 1D AlN or GaN nanostructures.

#### 2.2.3.1 CNT template

Using CNTs as template, for an example, Zhu et al. reported the fabrication of straight and smooth AlN NWs with controlled diameters at the temperature of 800 - 1500 °C.[111, 113] During the synthesis procedure, the reaction occurred among the CNTs, Al powder of 200 mesh, and Al<sub>2</sub>O<sub>3</sub> powder (the weight ratio of Al/Al<sub>2</sub>O<sub>3</sub> was 1) in a flowing NH<sub>3</sub> atmosphere. The proposed mechanism for the reaction of Al, Al<sub>2</sub>O<sub>3</sub>, and NH<sub>3</sub> is as follow:



The formation of AlN NWs is achieved through two independent reactions (7) and (8). Reaction (8) removes the CNT template and facilitate the reaction (7) going all the way to



form AlN NWs.

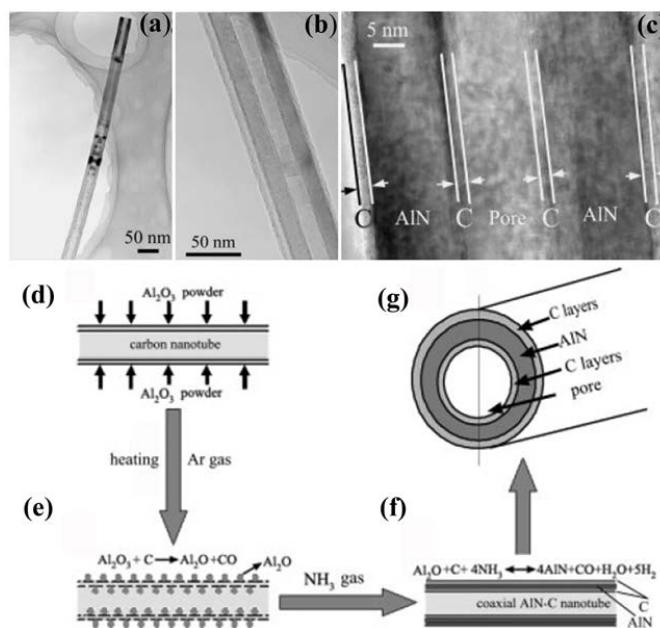
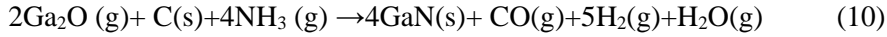


Figure 11 (a)-(c) The TEM images of a co-axial C-AlN-C composite NT with different magnifications; (d)-(g) schematic illustration of the formation process of C-AlN-C composite NTs.[112]

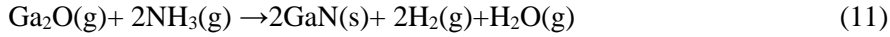
MWCNTs were applied to fabricate AlN and InN NTs, as reported by Yin and co-workers.[112, 118] The produced AlN consisted of composite NT with a coaxial structure, as shown in Figure 11(a)-(c), was synthesized through the reaction of multiwalled CNTs,  $\text{Al}_2\text{O}_3$  powder and  $\text{NH}_3$  at low pressure of 2-2.5 Torr. During the formation of C-AlN-C NTs, the MWCNTs not only simultaneously acted as the reducing agent, but also the template to control the morphology and size of AlN NTs. The formation of such heterostructure was attributed to a substitution reaction, in which carbon atoms of MWCNTs were finally substituted by AlN moleculars to form AlN NTs. The MWCNT substitution reaction should differ from MWCNT-confined reaction, which typically led to the formation of solid-like NW structures rather than hollow NTs, as proposed by Zhu et al.[111, 113]

CNT was also one of the most functional templates for GaN NWs growth.[119] A reaction of  $\text{Ga}_2\text{O}_3$  and CNT in the flow of  $\text{NH}_3$  occurred, which resulted in the formation of GaN NWs with similar diameter and length as that of the CNT template. In the presence of CNTs at 900 - 1000 °C, the following reactions are revolved during the formation of GaN NWs:





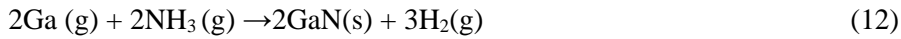
However, if there is no CNT used in the fabrication process, only GaN powders are produced, the reaction is as follow:



The work proved the importance of the CNT template in confining the growth of the desired 1D III-nitride nanostructures.

### 2.2.3.2 AAO template

AAO template possesses tunable monodispersed pore dimensions of 10 - 200 nm as diameter and several tens of micrometers as length, narrow pore size distribution, good mechanical and thermal stability.[120-121] Zhang et al.[115] reported the preparation of ordered GaN NWs within AAO template by reaction of metallic Ga and  $\text{NH}_3$  at a temperature above 900 °C,



As reported by Wu et al.[114], aligned hexagonal AlN NW arrays were produced with the help of AAO template, whereas AlN NWs with irregular morphologies were obtained without AAO template. They proposed that the space limitation reaction between Al and  $\text{NH}_3/\text{N}_2$ , followed by preferential growth along the *c*-axis parallel to the channels of AAO template underlied the growth mechanism of the hexagonal AlN NW arrays. Similarly, Zhang et al. [122] reported the AAO-assisted synthesis of InN NW arrays using the direct reaction of metal In with a constant flow of  $\text{NH}_3$ .

It should be noted that the efficiency of the template will be lost at high reaction temperature due to the fast reaction rate. For example, Al and  $\text{NH}_3$  reacted to form AlN fibers with larger diameters than that of the template pores or channels, which weakened the function of the templates in isolation of the product with template and maintaining the alignment of the nanofibers.[123]

Objectively, the template-assisted synthesis method offers a feasible and controllable approach to the fabrication of 1D III-nitride nanostructures with desirable density, distribution, size, and morphology. However, it is expected that various post-treatments are necessary for

the isolation and removal of templates for the desired III-nitride nanostructures without damaging them.

#### 2.2.4 Catalyst-assisted method

One of the most distinct features of the nanostructures synthesized through catalyst-assisted method is the presence of metal catalyst particles. Basically, the presence of a catalyst influences the nucleation sites, orientation and morphology of nanostructures, and accelerates the reaction without itself taking any part in. Numbers of group III-nitride nanostructures have been synthesized by catalyst-assisted CVD technique, as summarized in Table 2. Generally, the catalysts to assist the CVD growth of group III-nitride nanostructures are prepared in two ways: (1) metals or metal oxides deposited on the substrates by magnetron sputtering or evaporation; (2) dispersed on the substrate in solution form starting with the catalytic metal nitrate or sulfate.

**Table 2 Summary of group III-nitride nanostructures synthesized using CVD method with different catalysts.**

Catalyst	Material	Morphology
Au	AlN	NRs[124], NTs[125], (NRs, NFs, NSs)[126],(NWs, NFs,NCs)[127],
	GaN	NWs[128], Bicrystalline NWs[129], Serrated NWs[130], NRs[131]
	InN	NWs[132-135], Periodic NWs[136],NBs[137], Stacked-cone NWs[138], Nanonecklace[139], Nanotowers[140], Ice-cream-like NWs[141]
	AlGaN	NWs[142-143]
	InGaN	NWs[144-147], Coiled/zigzag NWs[148]
Ni	AlN	NCs[24], Hierarchical nanostructures[149], Aligned NRs[150]
	GaN	NWs[151], NBs[152], Nanotowers[30], Stacked-cone-shaped NWs[153]
	AlGaN	NTs[154], Nanocones[155]
Ag	GaN	NWs, Nanoribbons, Nanorings,[156]
Pt	GaN	Helical NWs[157]
Fe	GaN	NWs[158]
Co	AlN	NFs[159]
W	AlN	NWs[160]
Au/Pd	GaN	NWs[161-162]
Ni/Au	InGaN	NWs[163]
Au/Al/Pt	AlN	Nanotips[46]
Ni/Fe/Au	GaN	NWs[164]

In/Fe/Ni/Co	GaN	NWs[165]
Fe <sub>2</sub> O <sub>3</sub>	AlN	NWs[166], Nanowiskers[167], Rectangular nanofibers[168], Eiffel-tower-shaped nanotips[169], (Nanocones, NRs, Nanocraters)[170]
Fe/B <sub>2</sub> O <sub>3</sub>	GaN	NBs[171]
Fe(NO <sub>3</sub> ) <sub>3</sub>	AlN	Zigzag NWs[172], Nanonecklaces[173]
Ni(NO <sub>3</sub> ) <sub>2</sub>	AlN	Aligned NWs[114]
	GaN	NWs[174]
CoSO <sub>4</sub>	AlN	NBs[175]
NiCl <sub>2</sub>	AlN	Hierarchical nanostructures[176]
	GaN	NWs[177]
MgCl <sub>2</sub>	AlN	NWs[178]
NiO	GaN	NWs[179]

WZ-structured 1D group III-nitride nanostructures usually grow along [0001] (*c*-axis), with a hexagonal section and six (10 $\bar{1}$ 0) facet surfaces. For example, Wu et al.[175] fabricated [0001] orientated AlN NBs by CVD using the CoSO<sub>4</sub> catalyst. The rectangular cross section of the AlN NBs were 30 - 500 nm in width and ~100  $\mu$ m in length.

On the other hand, the catalyst also plays an important role in the growth of III-nitride nanostructures with abnormal crystal orientation. Wu et al.[180] synthesized the AlN NWs by CVD using Ni(NO<sub>3</sub>)<sub>2</sub> catalyst. Before the growth, Al powder and Ni(NO<sub>3</sub>)<sub>2</sub> were mixed in an ethanol solution, and Ni coated Al powders were obtained after the ethanol evaporated at RT. After the growth, the long axis of AlN NW was at ~ 40° relative to the [002] direction or nearly perpendicular to [002] direction for different NWs. Meanwhile, the diameters of the NWs were heavily dependent on the size of the Ni-Al alloy droplets formed in the growth process. Moreover, the NWs had a uniform single-crystalline core surrounded by an amorphous coating. AlN NWs with an unusual [101] growth direction was obtained by using W-catalyst.[160] The W catalyst was proposed to be responsible for the unusual growth direction due to the decreased total energy of the NWs and comparatively high degree of supersaturation in the system resulted from the high growth temperature and excess Al source. GaN nanostructures along [100] direction[179] and [010] direction[171] were fabricated using NiO and Fe/B<sub>2</sub>O<sub>3</sub> as the catalysts, respectively. InN NWs along [100] [181] and [110] [182] directions were synthesized by CVD using Au as the catalyst. Monodispersed [100]-AlGaIn NWs on Si(100) substrates were reported by CVD in the Au-assisted VLS process.[143]

Hong et al.[146] reported the Au-assisted CVD growth of InGaN NWs, and found that the growth orientation was along [100] at low In vapor pressure growth and transformed to [001] direction at high In vapor pressure. Based on the above discussion, the growth direction of the III-nitride nanostructures was affected by the different types of catalysts and experimental parameters.

In a word, the catalyst-assisted CVD method generally provides an effective way to control the size and composition of 1D group III-nitrides nanostructures by using various catalysts. Appropriate selection of the catalysts is significant to stimulate CVD-growth process and produce high-quality group III-nitride nanostructures for desired applications. Nevertheless, it is almost certain that the foreign metal catalysts or precursors employed in the growth process remain as a part of the as-synthesized nanostructures, which may induce deep trap states and stacking faults, thereby reducing minority carrier lifetime and optical quality of the III-nitride. As a result, some post-treatments are necessary to remove the remaining metal catalyst for high purity.

### 3. Properties of III-nitride nanostructures

Group III-nitride nanostructures are expected to possess novel properties due to dimensionality and size confinement. In this section, physical properties including Raman, luminescent and electronic properties of nitrides nanostructures are discussed in details.

#### 3.1 Raman scattering

For the WZ structure, group theory predicts that there are eight sets of normal phonon modes at the  $\Gamma$  point,  $\Gamma_{\text{acoustic}} + \Gamma_{\text{optical}} = (A_1 + E_1) + (A_1 + 2B_1 + E_1 + 2E_2)$ . [183] Among the six optical phonons, two  $E_2$  modes are only Raman active,  $A_1$  and  $E_1$  modes are both Raman and IR active, and the two  $B_1$  modes are neither Raman nor IR active (silent). Most studies focused on the  $E_2^2$  and  $A_1(\text{LO})$  modes of group-III nitrides.

Six Raman active modes may present in WZ binary group-III nitrides, i.e.,  $1A_1(\text{TO}) + 1A_1(\text{LO}) + 1E_1(\text{TO}) + 1E_1(\text{LO}) + 2E_2$ . [24] Figures 12(a)-(c) show the typical RT Raman spectra of AlN NWs, [184] GaN NWs, [185] and InN NTs, [33] respectively.

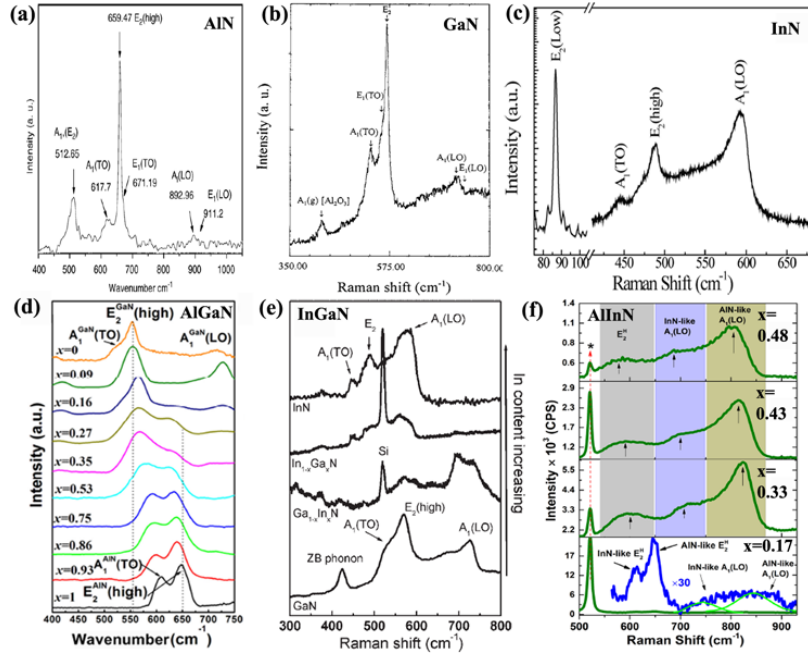


Figure 12 The representative Raman spectra of group-III nitride nanostructures: (a) AlN NWs,[184] (b) GaN NWs,[185] (c) InN NTs,[33] (d)  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  NWs,[66] (e)  $\text{In}_x\text{Ga}_{1-x}\text{N}$  NWs,[145] (f)  $\text{Al}_{1-x}\text{In}_x\text{N}$  NWs.[186]

Two-mode behavior of the  $E_2(\text{high})$  Raman phonon in ternary alloyed nitride NWs was classified. The first-order Raman spectra of the alloyed ternary crystals comprised both AlN- and GaN-like vibrational modes in AlGaN NWs,[187] as shown in Figure 12(d), of which the wavenumbers of both  $E_{2h}$  modes are peaked in between those of pure GaN and AlN. With the increase of Al content ( $x$ ), both the AlN- and GaN-like  $E_{2h}$  modes shift to low frequency. Similar behavior can be observed in  $A_1(\text{TO})$ ,  $E_2$ , and  $A_1(\text{LO})$  modes of InN in In-rich  $\text{In}_{1-x}\text{Ga}_x\text{N}$  sample and the  $E_2(\text{high})$  and  $A_1(\text{LO})$  modes of GaN in the Ga-rich  $\text{Ga}_{1-x}\text{In}_x\text{N}$  NWs[145], as provided in Figure 12(e). As exhibited in Figure 12(f), Al-rich  $\text{Al}_{1-x}\text{In}_x\text{N}$  ( $x = 0.17$ ) sample presents a clear splitting of  $E_2(\text{high})$  mode into InN- and AlN-like two-modes whereas In-rich  $\text{Al}_{1-x}\text{In}_x\text{N}$  samples ( $x = 0.33 - 0.48$ ) show single mode behavior for  $E_2(\text{high})$  phonons and two-mode behavior for  $A_1(\text{LO})$  phonons. In addition, with decreasing In composition, the peak positions of AlN-like and InN-like  $A_1(\text{LO})$  and  $E_2(\text{high})$  modes shift to high frequency. [186]

On the other hand, Raman scattering is a feasible approach to access the stress between group III-nitride nanostructures and substrate. Our group studied the stress states of AlN NRs on Si and its effect on Raman scattering.[59] Figure 13 shows the typical Raman spectra of

the AlN NRs. Two fingerprint phonons of hexagonal AlN,  $A_1(\text{TO})$  ( $612 \text{ cm}^{-1}$ ) and  $E_2(\text{high})$  ( $656.5 \text{ cm}^{-1}$ ) are observed. The Raman shift of  $E_2(\text{high})$  mode indicated the presence of internal stress and the line broadening of the  $E_2(\text{high})$  mode suggested the existence of the defects.  $E_2$  mode of WZ-AlN would shift to a higher frequency under the biaxial compressive stress within  $c$ -axis-oriented AlN. In the linear approximation, the deviation in frequency of a given phonon mode  $\gamma$  under symmetry-conserving stress could be expressed in terms of the biaxial stress  $\sigma_{xx}$  [59,188]:

$$\Delta\omega_\gamma = \kappa_\gamma \sigma_{xx} \quad (13)$$

where  $\kappa_\gamma$  is the linear stress coefficient and is taken as  $3.39 \text{ cm}^{-1}/\text{GPa}$ . In the case, Raman shift of each active mode is determined using the mixed Gaussian and Lorentzian line shape fitting. The blue shift of the  $E_2(\text{high})$  mode demonstrated that AlN NRs grown on the Si substrate were under compressive stress. From the FWHM and peak positions of  $A_1(\text{TO})$  and  $E_2(\text{high})$  modes of AlN NRs in the range of 80 - 300 K, the changes in the Raman line position, FWHM of the line, and intensity were clearly evident.

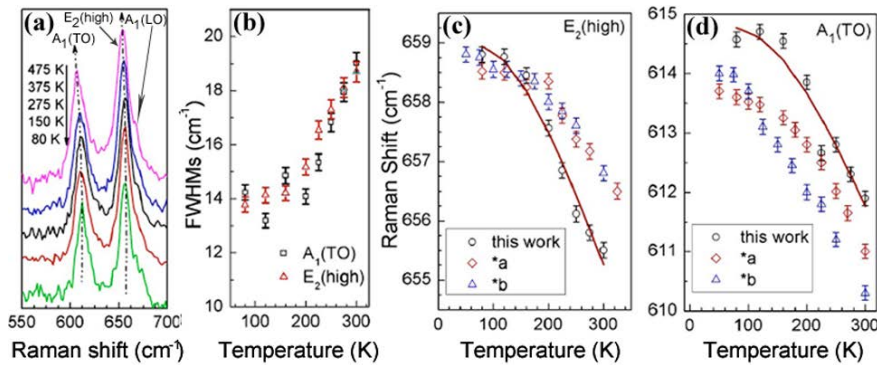


Figure 13 (a) Raman spectra of the AlN NRs at selected temperatures; (b) FWHMs of  $A_1(\text{TO})$  and  $E_2(\text{high})$  modes in dependence on the temperature; (c) and (d) Raman shift of  $E_2(\text{high})$  and  $A_1(\text{TO})$  modes of the AlN NRs as a function of temperature. [59]

The effects of temperature on the phonon energy measured by Raman scattering were primarily due to the thermal expansion of the lattice, and a downshift of phonon frequency with temperature is expected. The corresponding temperature-dependent frequency shifts of the  $A_1(\text{TO})$  and the  $E_2$  modes for bulk (stress-free) AlN [189-190] are displayed in the figures. According to the empirical formula (14),

$$\omega(T) = \omega_0 - \frac{A}{e^{B(hc\omega_0/k_B T)} - 1} \quad (14)$$

where  $\omega_0$  is the Raman photon frequency at 0 K and  $\omega_0$ , A, and B are fitting parameters, the experiment data for both  $A_1(\text{TO})$  and  $E_2(\text{high})$  modes are well fitted. The work suggested that different thermal expansion between the Si substrate and AlN NRs was the key contribution to the compressive stress in the NRs. The lower the temperature, the less the Si substrate effects on the AlN NRs phonons.

In InN NWs, the linear pressure coefficients and Grüneisen parameters of InN NWs phonons under high pressure were reported.[191] The reversible transition between the WZ and rocksalt phases of the InN NWs occurred at  $\sim 14.6$  GPa. Unpolarized Raman spectra of InN nanostructures obtained at different temperatures possessed three prominent symmetry allowing  $E_2(\text{low})$ ,  $E_2(\text{high})$  and  $A_1(\text{LO})$  Raman modes in 83 - 88, 485 - 489 and 581 - 593  $\text{cm}^{-1}$ , respectively. [192] The frequency and line shape are sensitive to the electron density and mobility of carriers in the system. A continuous increase in the polar  $A_1(\text{LO})$  to non-polar  $E_2(\text{high})$  peak intensity ratio as the growth temperature increasing was attributed to the polarization of LO mode in the  $A_1$  symmetry along the  $c$ -axis. The broadening of  $E_2(\text{high})$  mode in the Raman analysis showed the development of a biaxial strain in the samples due to the unintentional impurity doping with the increase in the growth temperature.

For RE-, TM-, and metal-doped group III-nitride nanostructures, slightly shifting and broadening in Raman modes were observed. Figure 14(a) displays Raman spectra of Cu-doped AlN NRs. [193-194] Two prominent phonon modes of hexagonal AlN,  $E_2(\text{high})$  and  $A_1(\text{TO})$ , are centered at 661 and 617  $\text{cm}^{-1}$ , respectively, while a broad peak at 893  $\text{cm}^{-1}$  is assigned to  $A_1(\text{LO})$ . The slight red shift of  $E_2(\text{high})$ ,  $A_1(\text{TO})$ , and  $A_1(\text{LO})$  of the AlN:Cu NRs relative to those of the undoped AlN NRs is ascribed to the disorder of the crystals resulted from the incorporation of Cu. Similar observation in AlN:Sc six-fold symmetrical hierarchical nanostructures,[27] AlN:Cu whiskers,[195] and GaN:Si NWs[196] are provided in Figure 14(b)-(d).



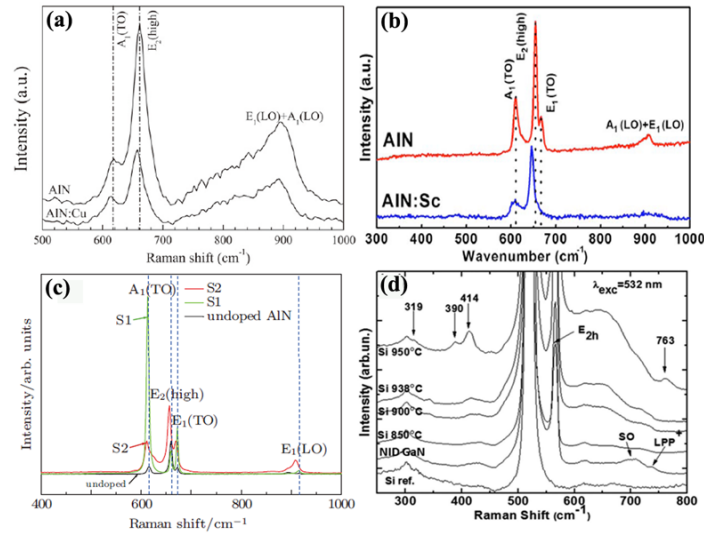


Figure 14 The typical Raman spectra of the doped group III-nitride nanostructures: (a) AIN:Cu NRs,[193] (b) AIN:Sc six-fold symmetrical hierarchical nanostructures,[27] (c) AIN:Cu whiskers,[195] and (d) GaN:Si NWs.[196]

### 3.2 Luminescence properties

Light can be emitted *via* a number of luminescent processes, which includes cathodoluminescence (CL), photoluminescence (PL), electroluminescence (EL), electrochemiluminescence (ECL) and thermoluminescence (TL).[197-200] Table 3 lists the typical types of luminescence and their origins. In this section, typical luminescence properties of group III-nitride nanostructures, including PL and CL, are presented.

Table 3 Different types of luminescence[197]

Luminescence	Caused by
Photoluminescence (PL)	Photo-excitation of compounds
Cathodoluminescence (CL)	Electron-excitation of compounds
Electroluminescence (EL)	Radiative recombination of electrons and holes in a materials after an electrical current passes through them or a strong electric field is applied
Electrochemiluminescence (ECL)	Electrogenerated chemical excitation
Thermoluminescence (TL)	Detrapping process caused by heating or thermostimulation

### 3.2.1 Photoluminescence

#### 3.2.1.1 AlN

The band-edge emission of 6.18 eV from MBE AlN NWs was reported by Landré et al., which is similar to bulk and epilayers.[201] Shi et al.[125] compared the PL properties of bulk and nanocrystalline AlN (nanotips) under near band-edge (6.05 eV) excitation, as shown in Figure 15(a) and (b). The commercially obtained AlN ceramic micropowders showed a broad peak centered at 3.2 eV with a significant low energy shoulder. In contrast, the AlN nanotips displayed a blueshifted PL peak at ~3.4 eV, which was comparatively narrower due to the absence of the low energy shoulder. Two defect related transitions of  $O_N-V_{Al}$  complex at ~2.1 eV and separated  $O_N$  at 3.4 eV were identified by PLE analysis, as described in Figure 15(b). Temperature-dependent PL of AlN nanotips was reported by Ji et al.[58], as shown in Figure 15(c) and (d). The single-crystalline WZ AlN nanotips showed three PL peaks centered at 3.28 eV, along with a weak shoulder located at 4.32 eV and an even weaker near band-edge emission at 5.83 eV from the PL spectra measured at 10 K and 300 K. From the enlarged view of the temperature-dependent PL of the 5.83 eV emission, the peak position exhibited no shift with the decrease in the temperature due to indirect transition between the electron in the conduction band and the deep level.

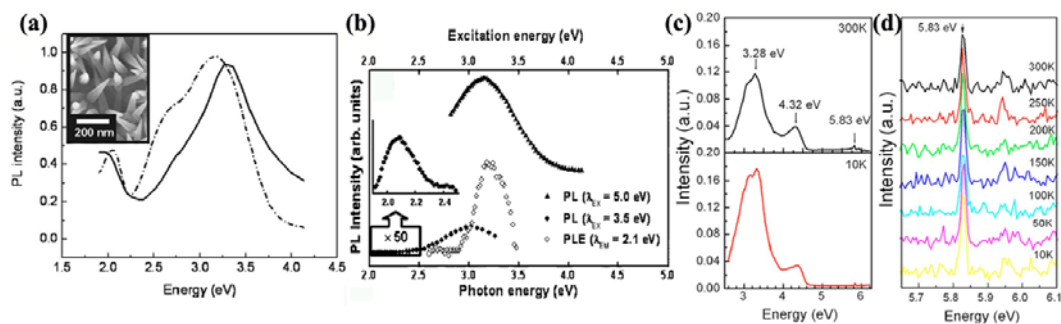


Figure 15 (a) PL spectra for AlN (---) ceramics and (—) nanotips, under an excitation of 6.05 eV, the inset showing the SEM image; (b) RT PL and PLE spectra of AlN nanotips;[125] (c) temperature-dependent PL spectra of the sample measured at 10 and 300 K; (d) an enlarged view of the temperature-dependent PL plot of the 5.83 eV emission.[58]

For AlN, N deficiency and O point defects might result in the blue or green emissions in the range of 365 - 520 nm, and the peak position was strongly dependent on O concentration.

Peak position of O point defects usually displayed an obvious red shift toward the direction of long wavelength with O content increase. The PL properties of some AlN nanostructures are summarized in Table 4.

**Table 4 PL properties of AlN nanostructures**

Origin	PL peak/nm	Nanostructures
Surface defect states	517[202], 523[203]	NWs
	564[204]	Nanowiskers
Oxygen impurity	434[205], 371.8 and 431.8[206]	NWs
	479[207]	6-fold-symmetrical hierarchical nanostructures
Nitrogen vacancy	450.6[206], 479[208], 506[209]	NWs
	481[24]	Nanocones
	416[204]	Nanowiskers
Recombination of a photogenerated hole with an electron occupying the nitrogen vacancies	573[210]	NBs
Transition from $O_N$ - $V_{Al}$ complex to the separated $O_N$ ion level	365[125]	Nanotips
$Al_i$ defect/complex defect of $V_N Al_i$	430/600[211]	Helices-like nanostructures
$V_{Al}$ or donor-acceptor pair (DAP) recombinations associated with $V_{Al}$	264[212]	NTs
Transition from the shallow level of nitrogen vacancies ( $V_N$ ) to the ground state of the deep level of the defect ( $V_{Al}^{3-}-3\times O_N^{+}$ )	413[202], 590[213]	NWs
	410[210]	NBs
	590[125]	Nanotips
	480[204]	Nanowiskers

### 3.2.1.2 GaN

The direct bandgap and the main defect-related emission of GaN are 3.4 eV and 2.25 eV in general. However, the shifting of the PL emission with the growth direction and the dimension of the GaN nanostructures were reported. [164, 214-215] Kuykendall et al.[164] found the emission of GaN NWs grown along  $[1\bar{1}0]$  was blue-shifted by  $\sim 100$  meV from that along  $[001]$  direction as presented in Figure 16. The shift in emission was ascribed to the clear manifestation of the difference in polar  $[001]$  and non-polar  $[1\bar{1}0]$  directions within the WZ GaN crystal structure. The presence of spontaneous polarization in GaN had a drastic impact

on electron-hole overlap, radiative lifetime, and subsequent emission wavelength and quantum efficiency. In addition, the difference in tensile stress and defects experienced by NWs grown along different directions could also contribute to the novel emission.

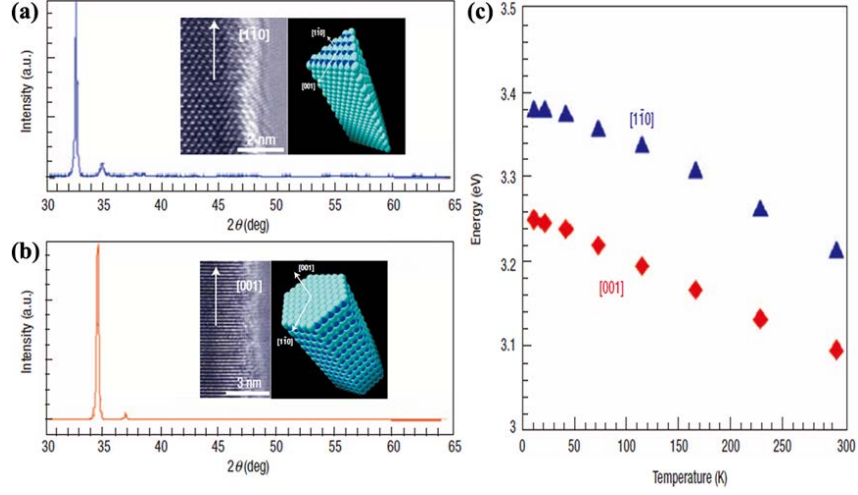


Figure 16 (a)-(b) The XRD pattern, HRTEM and space-filling structural model of the GaN NWs with (a) triangular top grown on  $\gamma$ -LiAlO<sub>2</sub> substrate and (b) hexagonal top grown on MgO substrate; (c) temperature-dependent PL collected on the two sets of GaN NWs with different growth directions.[164]

Zettler et al.[214] reported the RT PL emission at 3.412 to 3.454 eV from ultrathin epitaxial GaN NWs by thinning MBE-grown NW ensembles through a postgrowth thermal decomposition process. The diameter of the NW was as small as 6 nm. As present in Figure 17(a), the PL peak energy of GaN NWs increases with progressive decomposition. The dielectric confinement of the exciton becomes noticeable for diameters of  $5a_B$  (exciton Bohr radius, and  $a_B$  was 3 nm for GaN). This observation was a signature of dielectric confinement. The radial dielectric confinement of the exciton becomes stronger with decreasing  $d_B$ . Consequently, the PL line of thinned NWs is blueshifted. As a result, the emission broadening increases with decreasing  $d_B$ .

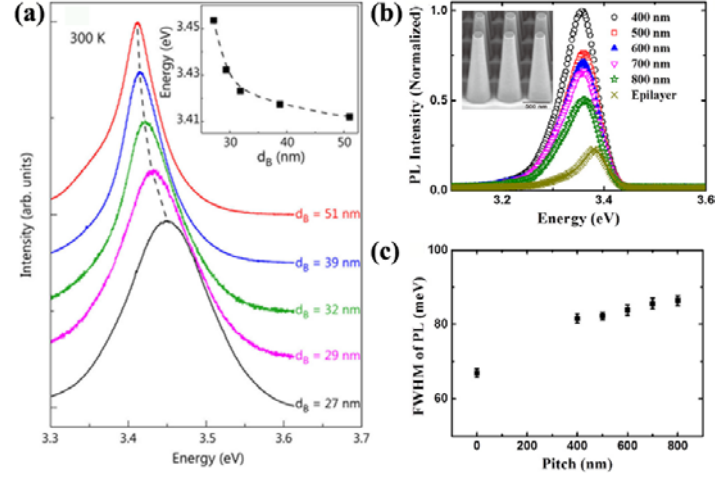


Figure 17 (a) PL spectra at 300 K from an ensemble of the as-grown GaN NWs (top spectrum) and from partially decomposed GaN NW ensembles with different average base diameters  $d_B$ , the inset showing the relationship of peak energy and  $d_B$ ;[211] (b)-(c) PL spectra of GaN NP arrays with varying pitch (b) and FWHM of the PL as a function of array pitch (c).[212]

The PL property of GaN NP arrays with a pitch of 400, 600 and 800 nm by top-down approach was reported by Reddy et al.[215] Figure 17(b) shows the RT PL spectra of the NP arrays and the epilayer. The emission peak of the NP arrays red shifts as compared with that of the epilayer, which is invariant with pitch. This red shift of the peak position was due to the partial strain relaxation of NPs. However, the emission intensity of the NP arrays reduces with increasing pitch from 400 nm to 800 nm, which is the result of variation in the QE of the NPs or variation in light absorption and collection efficiency. The slightly larger PL linewidth as compared to the epilayer is originated from the strain variation along the length of the tapering NP.

Based on the above, the PL emission of GaN nanostructures was not only influenced by the growth direction of nanostructures, but also the dimension of the nanostructures. The PL properties of some GaN nanostructures are summarized in Table 5.

Table 5 PL properties of GaN nanostructures

Origin	PL peak/nm	Nanostructures
Near or bandgap emission of wurtzite GaN	364.7[165], 363.5[216], 357[217], 379[218], 374[179]	NWs
	358[219], 369[220]	NRs
Bandgap emission of cubic GaN	396[218], 400[165]	NWs
Deep level defects	367[218], 460[179]	NWs
Surface defects	359[217]	NWs

Conduction band edge to a localized state	539[165]	NWs
Transition between shallow donors ( $V_N$ , $Ga_I$ ) and deep levels ( $V_{Ga}$ )	552[220]	NRs
Excitons bound to planar defects	362[217]	NWs
Recombination of bound excitons	378[158]	NWs

### 3.2.1.3. InN

InN has a direct band gap of  $\sim 0.6 - 0.7$  eV at 300 K, which ensures the distinct IR emission of  $\sim 1700$  nm. PL emission at  $\sim 0.68$  eV from non-tapered InN NWs was demonstrated by Chang et al.[221], as shown in Figure 18. The PL FWHM increased from  $\sim 13$  meV to 60 meV and the peak energy shifted from  $\sim 0.68$  eV to 0.715 eV as the increase of laser power, attributing to the filling of the energy band states by photogenerated carriers. The red shift of the peak energy with increasing temperature was ascribed to the lattice dilation in spite of the relatively high laser power. Similar temperature dependent PL properties was observed from InN nanotubes, and the carrier concentration of the InN nanotubes was estimated. [222]

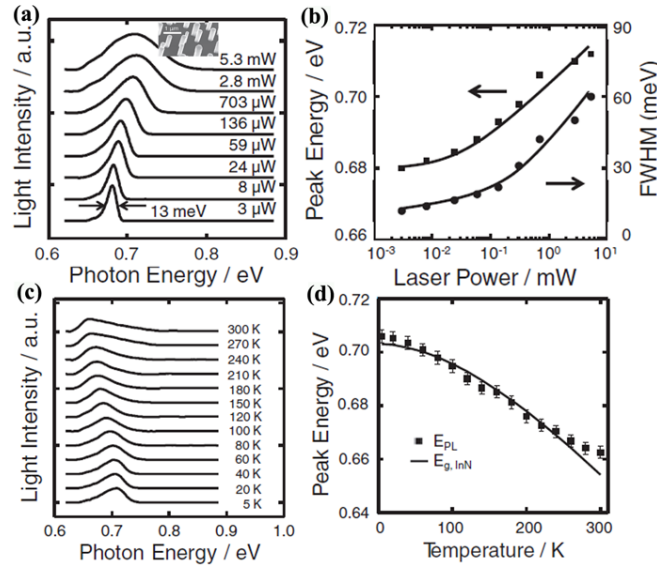


Figure 18 (a) Normalized PL spectra of a single InN NW measured under a range of laser powers at 5 K, inset showing the corresponding SEM image; (b) variation of the PL spectral linewidth and peak energy versus the laser power; (c) normalized PL spectra of a single InN NW measured at different temperature with a laser power of  $\sim 0.7$  mW; (d) variation of the PL peak energy with temperature and the fit using the Varshni's equation (solid line).[218]

As shown in Figure 19, the RT-PL exhibits an emission band centered at 0.68 eV and the emission peak shows a blue-shift to 0.71 eV as temperature is lowered to 20 K. The narrowed FWHM of PL emission at RT indicated a suppressed band filling effect due to the low impurity incorporations. The relationship between the FWHM and free-electron concentration can be well described by the empirical formula given by

$$\log(FWHM) = 0.50737 \log(n) - 7.5988 \quad (15)$$

The carrier concentration,  $n$ , was estimated to be  $3 \times 10^{18} \text{ cm}^{-3}$ . The area of the PL peak depended on the excitation power  $\Phi$  approximately as  $\sim \Phi^m$ . It yielded a  $m$  value of 1 at 20 K, which was consistent with the near band edge PL in direct bandgap semiconductors. The PL emission and their origins of some InN nanostructures are given in Table 6.

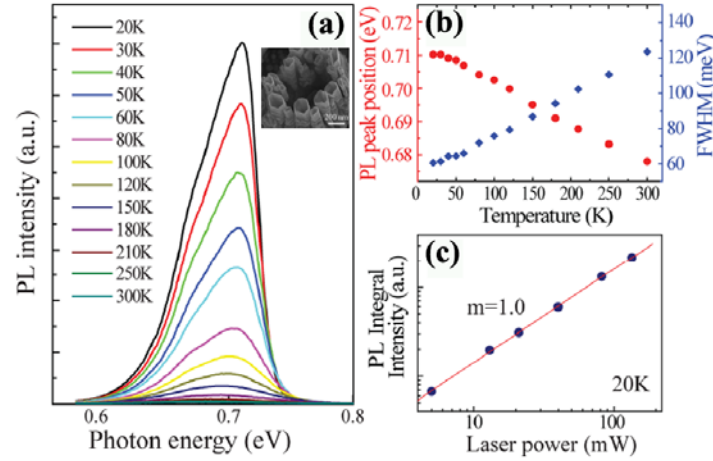


Figure 19 (a) Temperature-dependent PL spectra of InN NTs, inset showing the SEM image of InN NTs; (b) PL peak position and FWHM as a function of temperature, and (c) the laser power dependence of the peak intensity measured at 20 K.<sup>[219]</sup>

Table 6 PL properties of InN nanostructures

Origin	PL peak/nm	Nanostructures
Band-edge emission	1653[223], 1619[224]	NRs
	1631[225], 1660[226]	Nanobelts
	670[227], 652[228], 707[229], 1754[230], 651[231], 1698[232]	NWs
	640[233], 700[234]	Nanotubes
	1127[235]	Nanofingers
Interband emission	1968[236]	NRs
High electron concentration	780[237], 1590[238]	Nanocolumns

Band-to-deep acceptor transition or phonon side band emission	2000[239]	
Electrons at the bottom edge of the conduction band recombine with localized holes in the acceptor level	1823[239]	Micro-mushrooms
Electrons near the Fermi energy recombine with localized holes in the acceptor level	1722[239]	

### 3.2.1.4 Ternary III-nitride alloys

#### (1) InGaN

The tunable bandgap of  $\text{In}_x\text{Ga}_{1-x}\text{N}$  is in the range of 0.65 ~ 3.4 eV, thus the PL of  $\text{In}_x\text{Ga}_{1-x}\text{N}$  nanostructures can potentially covers the whole visible range by alloying and modifying the chemical compositions. Yang et al. realized  $\text{In}_x\text{Ga}_{1-x}\text{N}$  NWs in the whole composition range by halide-assisted CVD method, and some of the results are shown in Figure 20(a)-(c).[37] The color CCD images were taken at regular intervals along the substrate and exhibited the vibrant colors emitted in the visible spectrum region. The PL emission of  $\text{In}_x\text{Ga}_{1-x}\text{N}$  NWs with the  $x$  in the range of 0 ~ 0.6 shift to longer wavelengths from 325 nm to 850 nm with increasing In concentration ( $x$ ). Furthermore, the compositions determined by PL, absorption and EELS was almost in agreement with bowing fit, as shown in Figure 20(c).

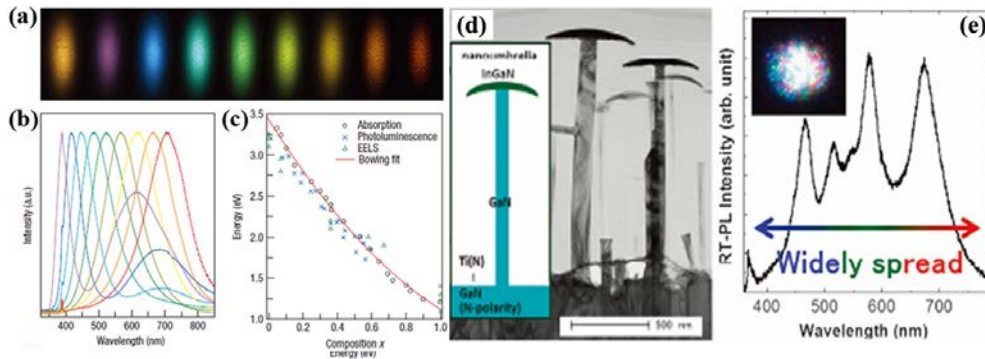


Figure 20 (a) Color CCD images of the  $\text{In}_x\text{Ga}_{1-x}\text{N}$  NWs ( $0 \leq x \leq 1$ ), (b) visible PL emission ( $x = 0-0.6$ ), (c) energy plotted as a function of In concentration  $x$  determined by EDS for PL, absorption and EELS and bowing equation fit to absorption spectra;[37] (d) TEM image of the InGaN nanoumbrella and the corresponding schematic illustration; (e) typical RT-PL spectrum obtained from the high-density nanoumbrella array, the inset showing the RT-PL imag.[240]



White light emission from the designed InGaN nano-umbrellas, consisting of bending InGaN nanoplates and supporting GaN nanocolumns, was demonstrated by Kouno et al.[240] Figure 20(d) and (e) show a TEM image and the RT-PL spectrum of InGaN nano-umbrellas, respectively. The emission wavelength covered the entire visible-light from ~360 to 800 nm, which was likely originated from the nonuniform configurations of the bending nanoplates of the nanoumbrellas.

## (2) AlGaN

$\text{Al}_x\text{Ga}_{1-x}\text{N}$  nanostructures with  $x$  in the range of 0 - 1 possess a tunable bandgap from 3.4 to 6.2 eV, which can potentially cover from blue to deep ultraviolet. PL performance of AlGaN NWs was investigated by Pierret et al. as shown in Figure 21(a)-(e).[93] When Al composition was less than 50%, the PL spectra at 5 K exhibited only one emission peak, which move towards higher energy as Al content increasing, as shown in Figure 21(c). However, two well separated peaks were observed from the samples with Al content greater than 50%. One peak (highlighted with points) increased its energy following a similar trend as those samples with low Al content, while the other peak (highlighted with a vertical dotted line) stayed at a constant energy (4.3 eV). The PL peak highlighted with the red point was the band energy emission of AlGaN NWs with different compositions. Micro-PL spectra from a few (~5) NWs shown in Figure 21(d) and (e) proved that the 4.3 eV emission band was due to localized states.

Zhao et al.[241] reported RT-PL of RF-MBE grown GaN/AlGaN NWs as schematic in Figure 21(f)-(h). The RT-PL emission wavelength exhibited a progressive blueshift with increasing substrate temperature. Al composition ( $x$ ) was estimated approximately by the RT-PL peak energy ( $E_{\text{PL}}$ ) via  $E_{\text{PL}}(x) \approx E_g(x) = (1-x)E_g(\text{GaN}) + xE_g(\text{AlN}) - bx(1-x)$ , where  $E_g$  is the bandgap energy, and  $b$  is bowing parameter (1 eV),  $E_g(\text{GaN})$  and  $E_g(\text{AlN})$  are 3.4 and 6.2 eV, respectively. The PL peak wavelength vs. Al content  $x$  presented in Figure 21(h) is consistent with the reports.[93, 242]

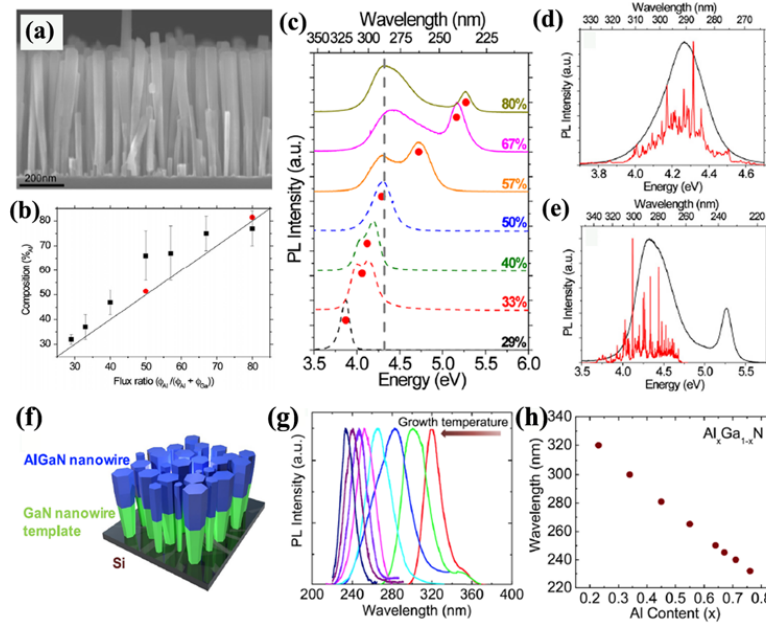


Figure 21 (a) Typical SEM image of the AlGaIn NWs on GaN NWs; (b) composition of the AlGaIn NWs deduced by Raman (squares) and XRD (circles) studies; (c) PL of the samples with different compositions at 5K; PL of an ensemble of (black,  $\lambda_{exc}=193$  nm) and of a few (red,  $\lambda_{exc}=244$  nm) nominal (d)  $Al_{0.5}Ga_{0.5}N$  and (e)  $Al_{0.8}Ga_{0.2}N$  NWs at 5 K;[93] (f) Schematic of AlGaIn NWs grown on a GaN NW template; (g) PL spectra of samples grown under different substrate temperatures (895 °C to 960 °C); (h) PL peak wavelength vs. Al content.[241]

The monolithic integration of three-primary-color (RGB) LEDs using a nitride semiconductor is a very important issue for full-color applications. A novel technology for controlling the In composition of InGaIn quantum wells on the same wafer was developed by Sekiguchi et al.[243] PL near-field emission images of the InGaIn/GaN NC arrays show NC diameter-dependent tunable emission color in full visible range, as shown in Figure 22 (a)-(f). Double-peak emissions are observed from RT micro-PL spectra of the NC arrays with different diameters [Figure 22 (g)]. The two peaks at longer wavelength side originated from sixfold side faces and the shorter one from angle areas of pyramids. The PL peak wavelength of the longer-wavelength-side monotonically shift from 513 to 632 nm with increasing diameter from 137 to 270 nm.

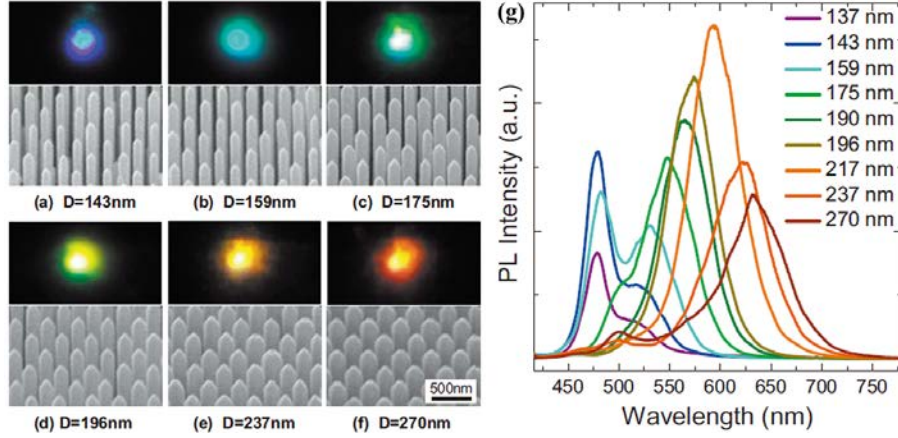


Figure 22 (a)-(f) SEM images and corresponding PL emission images of the InGaN/GaN NCs with different diameters: (a) 143 nm, (b) 159 nm, (c) 175 nm, (d) 196 nm, (e) 237 nm, (f) 270 nm; (g) PL spectra of InGaN/GaN NCs with different diameters.[243]

### (3) InAlN

The bandgap of  $\text{In}_x\text{Al}_{1-x}\text{N}$  can be tuned from 0.65 eV to 6.2 eV, covering the light emission from IR to deep UV. In-rich  $\text{In}_x\text{Al}_{1-x}\text{N}$  ( $x$  in the range of 0.71 - 1.00) NCs grown by RF-MBE was reported by Kamimura et al.[244] Figure 23(a)-(c) display the SEM images of the  $\text{In}_x\text{Al}_{1-x}\text{N}$  NCs with different In contents. The PL peak energies are 0.692 eV ( $\sim 1.79 \mu\text{m}$ ) for InN, 0.954 eV ( $\sim 1.30 \mu\text{m}$ ) for  $\text{In}_{0.89}\text{Al}_{0.11}\text{N}$ , 1.21 eV ( $\sim 1.02 \mu\text{m}$ ) for  $\text{In}_{0.8}\text{Al}_{0.2}\text{N}$  and 1.39 eV ( $\sim 892 \text{ nm}$ ) for  $\text{In}_{0.71}\text{Al}_{0.29}\text{N}$ , as plotted in Figure 23(d). The emission of In-rich InAlN NCs covered a wide spectral region of the optical communication wavelength of 1.3 - 1.7  $\mu\text{m}$ . The inset of Figure 23(d) shows the dependence of LT-PL peak energy on  $x_{\text{In}}$ . The peak energies were fitted using the expression  $E_g(x) = x E_g(\text{InN}) + (1-x) E_g(\text{AlN}) - bx(1-x)$ . The bowing parameter  $b$  was optimized to be 4.08 eV, which was considered to be consistent with both experimental data[245-246] and theoretical calculations[13, 247].

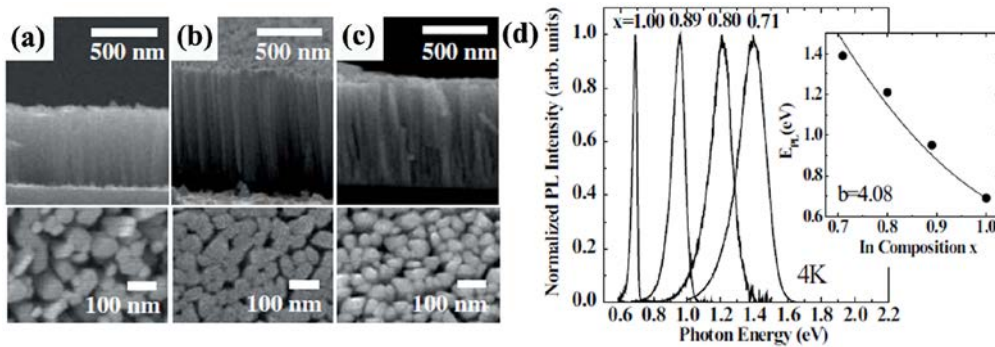


Figure 23 (a)-(c) Cross-sectional and top-view SEM images of  $\text{In}_x\text{Al}_{1-x}\text{N}$  NCs with different  $x$ ,

(a) 0.92, (b) 0.81, (c) 0.71; (d) the PL spectra of the corresponding samples at 4 K, the inset showing the variation in PL peak energy as a function of In composition x.[244]

### 3.2.2 Cathodoluminescence (CL)

Cathodoluminescence (CL) is an optoelectrical phenomenon wherein a beam of electrons is generated by an electron gun and is interacting with a luminescent material, such as phosphor, causing the material to emit light. It is a useful technique for characterization of the optical properties of the nanostructures because of its high spatial resolution and structural information obtained by using SE imaging. Table 7 summarizes the CL properties of group III-nitride nanostructures.

Table 7 Cathodoluminescence of group III-nitride nanostructures

Composition	Nanostructures	Emission/nm(eV)	Ref.
AlN	Nanotips	(2.1, 3.4, 6.07, 6.2)	[125]
	Nanotubes	(2.3,2.8,3.4,3.7,4.0)	[248]
	Nanowiskers	352,722	[249]
	Nanorods	(2.9, 3.48)	[250]
	Nanowires	357, 436, 550	[251]
GaN	Nanorods	(2.2, 3.4)	[252]
	Nanorods	(2.25,2.67,3.25)	[253]
	Nanorods	(2.2, 3.3)	[254]
	Nanowires	370, 410, 510	[255]
	Nanowires	(2.1, 2.74, 3.2)	[256]
InN	Nanowires	369, 650	[257]
	Nanorods	(0.65)	[258]
	Nanowires	(1.38, 1.7)	[259]
AlGaN	Nanocones	308~366	[36]
	Nanowires	(4.15~5.50)	[260]
InGaN	Nanorods	(2.64~3.26)	[261]

The representative CL spectra of AlN, GaN, and InN nanostructures are shown in Figure 24. A strong CL emission band centered at 3.4 eV accompanied with a weak emission at 2.1 eV are observed from AlN nanotips[125]. The 3.4 eV peak was related to O substitution of N in the AlN lattice, and the 2.1 eV emission band was originated from the N vacancies and Al interstitial point defects. In addition, the band edge splitting of AlN is observed as shown in the inset of Figure 24(a). Figure 24(b) is a typical CL spectrum of GaN NWs, showing strong

NBE luminescence at ~357 nm, along with weak defect-related blue luminescence at ~436 nm and yellow luminescence at ~550 nm.[251]

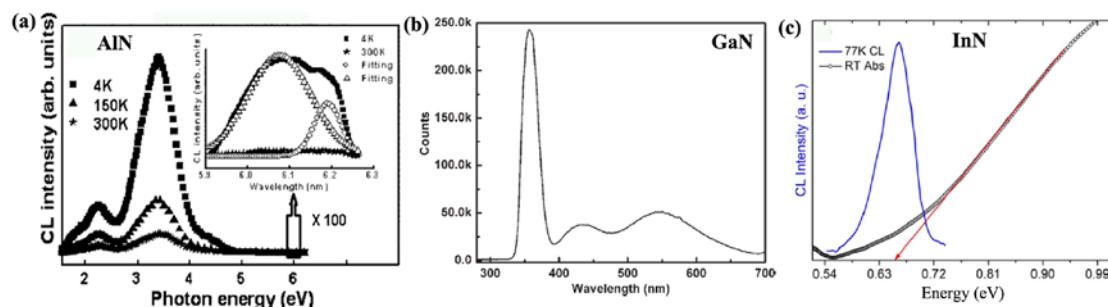


Figure 24 (a) The representative RT CL spectra from the AlN nanotips, the inset showing the near band edge transition;[125] (b) the RT CL spectrum of GaN NWs;[251] (c) CL emission and optical absorption spectra of InN NRs.[258]

Liu and co-workers verified the origin of yellow-band CL emission in GaN NWs was arisen from dislocation threading in GaN–sapphire interface in the case of carbon free case.[257] Figure 25(b) and (d) provide the CL spectra of the GaN NWs grown on Au/Sapphire [Figure 25(a)] and Al/Au/Sapphire [Figure 25(c)]. The CL spectrum in Figure 25(b) exhibits the strong UV (369 nm) and weak visible (650 nm) emission, while the CL spectrum in Figure 25(d) only possesses a predominant narrow sharp emission peak at ~369 nm. They indicated that the 369 nm UV emission in Figure 25(b) and (d) was attributed to near-band-edge emission, and the broad yellow-band luminescence was originated from the dislocation induced by epitaxial growth.

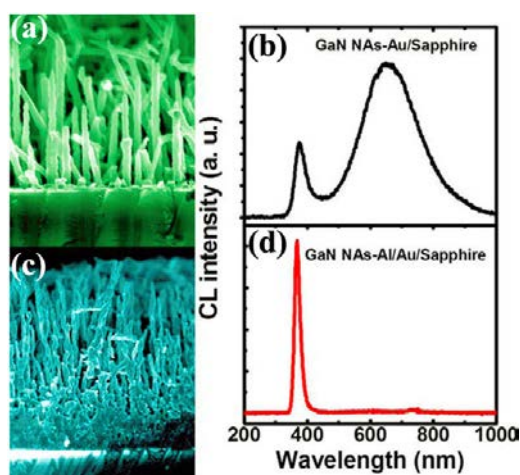


Figure 25 Typical SEM images and CL spectra of the GaN NWs grown on Au/Sapphire (a)-(b) and Al/Au/Sapphire (c)-(d).[257]

The band edge emission of  $\sim 0.65$  eV of InN NRs was observed from CL measurement at 77K [Figure 24(c)], as Tangi et al. reported.[258] Spatially resolved CL investigation of the InN NRs was employed by Tessarek et al. to verify the optical activity.[262] As shown in Figure 26, the CL spectra of InN NRs with different diameters exhibit decreased emission energy as the diameter of NRs increasing. The relationship between the CL peak position vs the NR diameter proved that the InN NRs had an In droplet on top and free sidewall facets due to the passivation effect of In, which further modified the surface electron accumulation layer of the InN NRs. The blue-shifted near band emission of CL spectra was ascribed to the high carrier concentration of  $\sim 10^{19} \text{ cm}^{-3}$ .

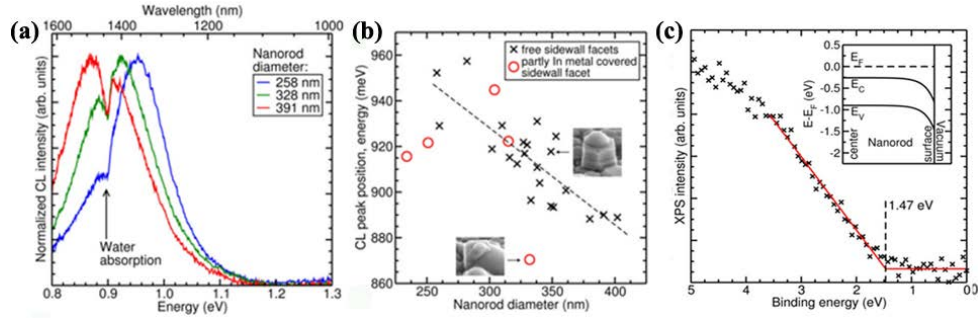


Figure 26 (a) CL spectra of three single NRs with varying diameters in one sample; (b) comparison between the NR diameter and CL peak position. The black and red circles were measurements on NRs without and with partly In metal covered sidewall facets, respectively; (c) XPS spectrum of the InN NR. The difference between the valence band maximum (located at 0 eV) and the near-surface Fermi level was 1.47 eV. The inset sketched the conduction and valence band ( $E_c$  and  $E_v$ ) profile with downward band bending close to the surface.[262]

CL properties of InGaN/GaN MQW heterostructure NWs was investigated by Ra et al.[263], as shown in Figure 27(a)-(f). The CL spectrum of an InGaN/GaN MQW single NW exhibited two emission peaks at 440 and 369 nm, ascribing to InGaN and GaN, respectively, and an additional peak at 480 nm was also observed. CL mapping image of the whole single NW displayed uniform luminescence of the NW's body part, whereas slightly darker luminescence of the pyramidal top portion. Figure 27(c) represents the CL emission at 369 nm from both the  $m$ -plane and  $r$ -plane, which are different with the distinct CL characteristic peaks at 440nm of  $m$ -plane and 480 nm of  $r$ -plane. The significant difference in the CL



emission was ascribed to compositional differences in these regions. The In composition of the *r*-plane region was higher than that at the *m*-plane region, resulting in the red-shift (480 nm) in the CL emission spectrum. The spatially resolved CL mapping images demonstrated that *n*-Ga<sub>0.9</sub>In<sub>0.1</sub>N was uniformly distributed over the entire core region of the NW, the 440 nm emission was originated from the *m*-plane InGa<sub>0.9</sub>N/GaN MQW NW region, and the 480 nm bright luminescence was ascribed to *r*-plane at the top region of InGa<sub>0.9</sub>N/GaN MQW NW. The composition-dependent CL emission and the presence of polarization-induced effects of the *m*-plane and *r*-plane MQW NWs were confirmed by the power-dependent CL spectra.

Spatially resolved CL measurement was also used to characterize the composition fluctuation in a single InGa<sub>0.9</sub>N/GaN NC, as shown in Figure 27(g)-(i).<sup>[264]</sup> LT-CL spectra of a single InGa<sub>0.9</sub>N/GaN NC in Figure 27(g) show the spectral features due to variations of the In% and/or strain in each NC. The corresponding spatially resolved CL images display a red shift when moving towards the NC top, pointing to an axial increase of the In composition. The CL emission peak at 2.85 eV seemingly originating from the InGa<sub>0.9</sub>N/GaN interface region was backing up the previous picture of a low In incorporation until the onset of strain relaxation occurred above a certain critical thickness. The spatially resolved CL data at 10K from a single NC showed a monotonic decrease of energy from 2.98 eV in the middle-low nanocolumn region down to 2.12 eV at the NC apex due to the growth temperature gradient.

Ristić et al.<sup>[265]</sup> reported the low-temperature in-depth CL performance of the AlGa<sub>0.9</sub>N NCs with/without GaN QDs. By increasing the electron beam energy gradually from 3 to 25 keV, the CL peak intensity of InGa<sub>0.9</sub>N without QDs increased with the penetration depth without changing its energy position. However, the emission from the GaN QDs (3.62 eV) was dominant at low penetration depths. The AlGa<sub>0.9</sub>N peak became stronger and finally was dominant as the electron probe going deeper since the probe was averaging over the whole sample volume.

In a word, CL analysis precisely determined the spatial luminescence distribution of pure group III-nitride and *p-n*-junctioned nanostructures, as well as the optical properties of the quantum discs in 1D nanostructures.

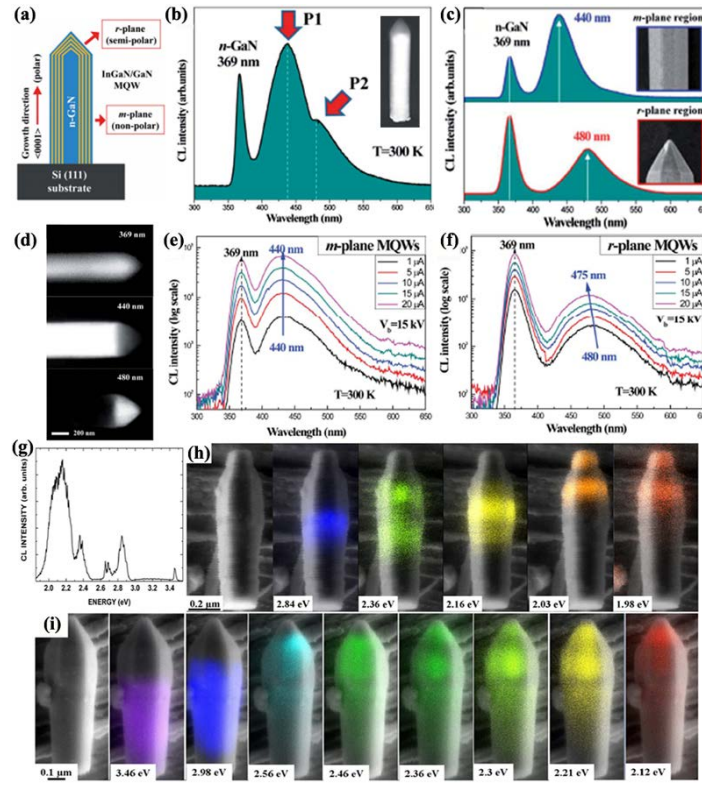


Figure 27 (a) Schematic illustration of a single coaxial InGaN/GaN MQW/n-GaN NW; (b) CL spectrum recorded along the entire coaxial NW, inset showing spatially-resolved CL mapping image recorded at 440 nm; (c) spatially-resolved CL spectra of a m- and r-plane-oriented MQW NW, respectively; (d) spatially-resolved CL mapping image of an MQW NW at wavelengths of 369, 440 and 480 nm; (e) and (f) power-dependent CL spectra of m- and r-plane oriented MQW NW, respectively;[260] (g)-(h) 10K CL spectrum and spatially resolved CL mapping from a single InGaN/GaN NC synthesized at 650 °C; (i) 10K spatially resolved CL measurements in a single InGaN/GaN NCs synthesized at growth temperature gradient of 1.67 °C /min from 725 to 625 °C.[264]

### 3.2.3 Luminensent property of doped III-nitrides

Green emission of Tb-doped AlN NRs was reported by Liu et al.[266] as shown in the PL and CL spectra of Figure 28(a)-(d). The characteristic emission lines of Tb<sup>3+</sup> ions were observed in both PL and CL spectra. The strongest green emission peak located at 554 nm corresponded to the <sup>5</sup>D<sub>4</sub>→<sup>7</sup>F<sub>5</sub> transition of Tb<sup>3+</sup> ions. Other peaks were identified to the following transitions: <sup>5</sup>D<sub>3</sub>→<sup>7</sup>F<sub>6</sub>(at ~384 nm), <sup>5</sup>D<sub>3</sub>→<sup>7</sup>F<sub>5</sub>(at ~418 nm), <sup>5</sup>D<sub>3</sub>→<sup>7</sup>F<sub>4</sub>(at ~442 nm), <sup>5</sup>D<sub>3</sub>→<sup>7</sup>F<sub>3</sub>(at ~462 nm), <sup>5</sup>D<sub>4</sub>→<sup>7</sup>F<sub>6</sub> (at ~494 nm), <sup>5</sup>D<sub>4</sub>→<sup>7</sup>F<sub>4</sub> (at ~591 nm), <sup>5</sup>D<sub>4</sub>→<sup>7</sup>F<sub>3</sub> (at ~625 nm),



and  ${}^5D_4 \rightarrow {}^7F_2$  (at  $\sim 656$  nm). Transitions from the  ${}^5D_4$  level to lower  ${}^7F_J$  levels were much clearer and stronger than those from the  ${}^5D_3$  level to lower  ${}^7F_J$  levels. The high emission probability from  ${}^5D_4$  was due to cross-relaxation process, which depopulated the excited  ${}^5D_3$  to the  ${}^5D_4$  state. The monochromatic (wavelength  $\sim 554$  nm) CL images of a cross section, tip surface, and a single Tb-doped AlN NR, respectively, demonstrated that the green emission (554 nm) was from the oriented NRs.

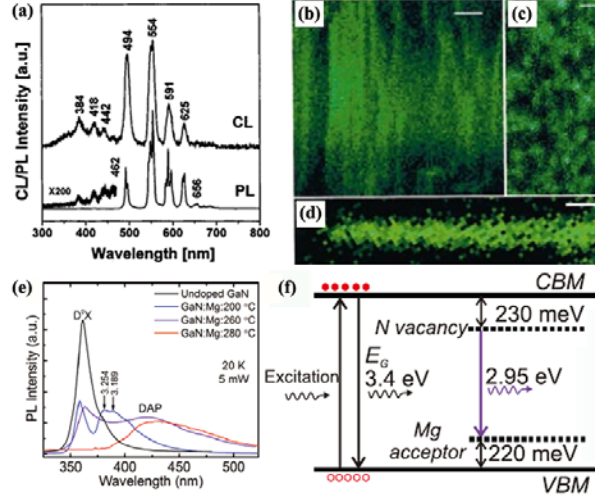


Figure 28 (a) The RT PL and CL spectra of AlN: Tb NRs; (b)-(d) monochromatic (wavelength = 554 nm) CL images of a cross section, a tip surface of AlN: Tb NRs, and single AlN: Tb NR stripped off from the Si substrate;[266] (e) 20 K PL spectra of GaN:Mg NWs in comparison to undoped sample; (f) schematic energy band diagram of GaN:Mg:260 °C NWs illustrating the formation of  $V_N$  and Mg related intra-gap states.[267]

Kibria et al.[267] reported the intra-gap defect related energy states of Mg-doped GaN NW arrays, as shown in Figure 28(e) and (f). The pure GaN PL was dominated by a single peak at  $\sim 3.435$  eV, corresponding to donor-bound exciton ( $D^0X$ ). For the lightly Mg doped ( $T_{Mg}=200$  °C) sample, in addition to the  $D^0X$  emission, two additional peaks were clearly resolved at 3.254 eV and 3.189 eV, which was attributed to the conduction band to Mg-related acceptor level (e-A) transition and Mg related defects in GaN, respectively. The broad emission peak at  $\sim 2.95$  eV of Mg-doped GaN ( $T_{Mg}=260$  °C) was originated from the donor-acceptor-pair (DAP) transition between the  $V_N$  related deep donor states and shallow Mg acceptor states. At  $T_{Mg}=280$  °C, the DAP emission red-shifted with progressive disappearance of the band-edge emission was ascribed to the emergence of high densities of deep energy states and the deterioration of crystalline quality caused by the incorporation of

high densities of Mg. A schematic energy level diagram is described in Figure 28(f). The emission peaks of Mg-related acceptor energy level or defect states are also observed in Mg-doped AlN or InN nanostructures.[268-269]

The optical performance of transition metals, such as Fe and Mn, doped group III-nitride nanostructures were also intensively studied. For example, Ji et al.[270] investigated the DUV PL properties of Fe-doped AlN NRs. The PL spectrum of undoped AlN NRs comprised two strong impurity-related emissions lines at 3.25 and 4.32 eV, and a weak emission at 5.83 eV, as described in Figure 29(a). The emission peaks of 3.25 and 4.32 eV were attributed to the transitions between free or donor-bound electrons to Al vacancy and vacancy-impurity complex ( $V_{Al}$ -complex) with different charge states. However, the emission peak of 5.83 eV was related to an unidentified impurity as the peak position did not shift with temperatures from 300 K down to 10 K. It was noted that both impurity emissions were absent from the Fe-doped NRs, but a 3.96 eV emission became apparent in addition to the band edge emission at 6.02 eV. The vanishing of the 3.25 and 4.32 eV emissions was due to the formation of nonradiative defects complex induced by Fe ions. The emission peak of 3.96 eV with narrow linewidth (18.5 meV) was related to  $Fe^{3+/2+}$  acceptor. PL measurements on Mn-doped AlN NWs at 10 and 300 K were studied by Yang et al.[271] The as-grown sample exhibited a red luminescence, consisting two intensive emission peaks around 600 and 695 nm. The red-orange band at 600 nm was a characteristic of the luminescence from the Mn center in AlN. The other two peaks were related to the O impurity in AlN. In contrast, the PL spectra of undoped AlN NWs exhibited very weak broad bands located between 550 and 600 nm, as shown in Figure 29(b).

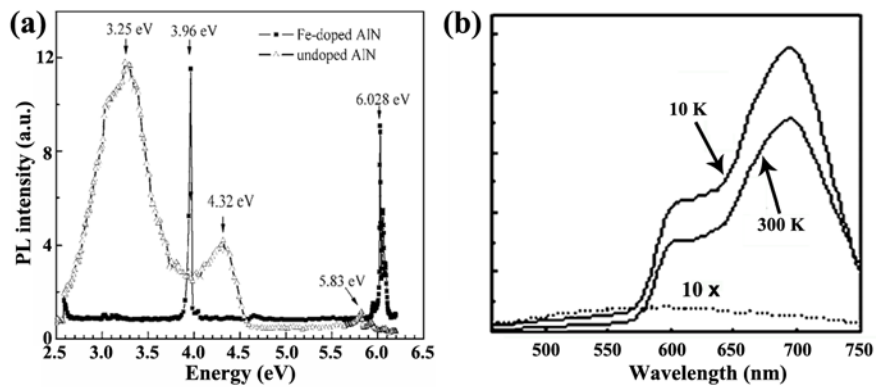


Figure 29 (a) PL spectra of the undoped and Fe-doped AlN NRs;[267] (b) PL measured at 10

and 300K for the Mn-doped AlN NWs (*solid line*) and the undoped AlN layer (*dashed lines*).[271]

In general, the luminescence of the TM-doped III-nitride nanostructures originated from the dopants' themselves and the dopant-related defects. Optical properties of doped 1D group III-nitride nanostructures are listed in Table 8.

**Table 8 Optical properties of 1D doped group III-nitride nanostructures**

Nanostructures	Emission peaks and origin	Ref
Tb-AlN nanorods	384 nm: $Tb^{3+} {}^5D_3 \rightarrow {}^7F_6$ ; 418 nm: $Tb^{3+} {}^5D_3 \rightarrow {}^7F_5$ ; 442 nm: $Tb^{3+} {}^5D_3 \rightarrow {}^7F_4$ ; 462 nm: $Tb^{3+} {}^5D_3 \rightarrow {}^7F_3$ ; 494 nm: $Tb^{3+} {}^5D_4 \rightarrow {}^7F_6$ ; 554 nm: $Tb^{3+} {}^5D_4 \rightarrow {}^7F_5$ ; 591 nm: $Tb^{3+} {}^5D_4 \rightarrow {}^7F_4$ ; 625 nm: $Tb^{3+} {}^5D_4 \rightarrow {}^7F_3$ ; 656 nm: $Tb^{3+} {}^5D_4 \rightarrow {}^7F_2$ ;	[266]
Fe-AlN nanorods	3.69 eV: $Fe^{3+/2+}$ acceptor-related emission 6.02 eV: the band edge emission of AlN	[270]
Mn-AlN nanowires	600 nm: luminescence of Mn center in AlN 670 nm, 695 nm: oxygen impurity	[271]
Mn, Cu-AlN nanowires	1.66 eV: shallow levels (N vacancy) to Mn deep levels 2.6 eV: transition from deep levels made by Cu 3.02 eV: oxygen trapped levels	[208]
Mg-AlN nanowires	3.9 eV: transition from a shallow donor to a deep acceptor 4.57 eV: transition from a deep donor to Mg-acceptor energy level 5.34 eV: Mg-acceptor related transition 5.95 eV: band-edge emission 3.189 eV: Mg-related defects	[268]
Mg-GaN nanowires	3.254 eV: transition from conduction band to Mg-related acceptor level 3.435 eV: donor-bound exciton	[272]
Mn-GaN nanowires	610 nm: $Mn^{2+} {}^4T_1 \rightarrow {}^6A_1$	[273]
Mg-InN nanowires	0.61 eV: transition from conduction band to Mg-acceptor energy level 0.67 eV: band-to-band recombination	[269]

### 3.3 Electrical properties

In order to realize the applications of group III-nitride nanostructures in nanoscale electronic field, it is a crucial step to access the electrical properties of them. In 2002, Lieber's group demonstrated the FET based on a single GaN NW, as illustrated in Figure 30(a).[274] A layer of Ti(50 nm)/Au(70 nm) at the two ends of an individual NW was applied as source and drain electrodes. Gate-dependent current-source drain voltage ( $I-V_{sd}$ ) data indicated that the GaN NWs were *n*-type and the conductance of FET could be modulated by more than 3

orders of magnitude. Based on the  $I$ - $V_{sd}$  and current-source-gate voltage ( $I$ - $V_g$ ) data, the electron mobilities were calculated to be as high as  $650 \text{ cm}^2/\text{V}\cdot\text{s}$ .

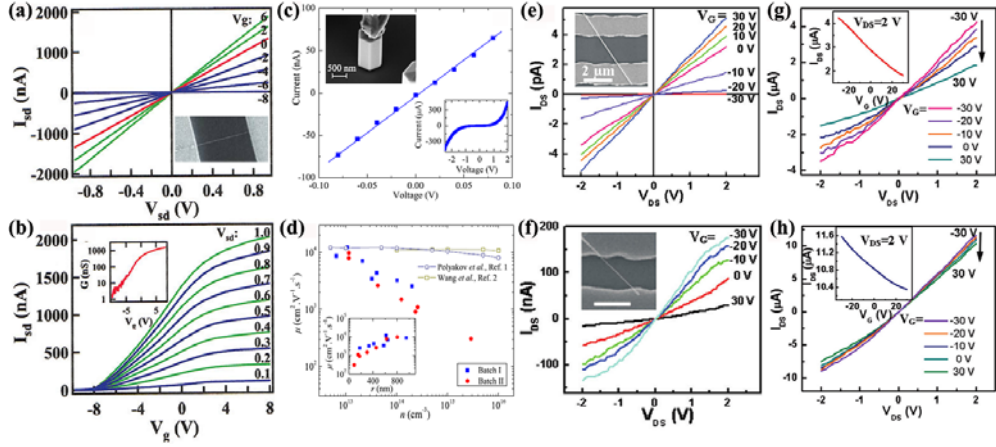


Figure 30 (a)-(b) Gate-dependent  $I$ - $V_{sd}$  data of a GaN NW FET with a 17.6 nm diameter, inset showing a SEM image of the FET, and  $I$ - $V_g$  data recorded for values of  $V_{sd} = 0.1-1 \text{ V}$ , (inset) Conductance,  $G$ , vs gate voltage (b);[274] (c)-(d) representative low-bias  $I$ - $V$  characteristics of a single InN NW, inset showing SEM image of the FET and high bias  $I$ - $V$  curve (c), and the calculated electron mobility  $\mu$  as a function of the free carrier concentration  $n_0$ , the open symbols were from theoretical calculations based on InN planar structures (see Refs. 275, 276), the inset showing the calculated electron mobility  $\mu$  as a function of the NW radius  $r$  (d);[277] (e)-(h)  $I_{DS}$ - $V_{DS}$  curves of the AlN NW FET at different  $V_G$  for different samples: (e) D0, (f) D2, (g) D5, and (h) D10, insets of (e) and (f) showing the SEM images of AlN NW-FET, and insets of (g) and (h) displaying  $I_{DS}$ - $V_G$  plots of the FETs at  $V_{DS}=2 \text{ V}$ .<sup>[278,281]</sup>

Among III-nitride semiconductors, InN possesses the theoretically predicted highest electron mobility ( $\sim 12000 \text{ cm}^2/\text{V}\cdot\text{s}$ ), the largest electron saturation velocity ( $\sim 5 \times 10^7 \text{ cm/s}$ ) at RT, and narrowest bandgap ( $\sim 0.65 \text{ eV}$ ), which rendered itself an excellent candidate for the emerging ultrafast nanoscale electronic and infrared photonic devices. However, due to the extremely high free carrier concentration, large dislocation density, and surface electron accumulation in InN nanostructures, the experimentally reported electron mobility of pure and doped InN NWs was usually in the range of  $2 - 2300 \text{ cm}^2/\text{V}\cdot\text{s}$ ,<sup>[34, 132, 230, 279-282]</sup> which were much lower than the theoretically predicted value. Surprisingly, the highest electron mobility of intrinsic InN NW in the range of  $8000 - 12000 \text{ cm}^2/\text{V}\cdot\text{s}$  was achieved by Zhao et

al in 2013.[Error! Bookmark not defined.] In this work, the electrical transport performance of InN NWs was studied by employing an electrical nanoprobe technique in a SEM environment. As shown in Figure 30(c) and (d), the InN NWs exhibit an ohmic conduction at low bias and a space charge limited (SCL) conduction at high bias. The experimentally calculated electron mobility from the  $I$ - $V$  curves in the ohmic conduction regime was in the range of 8000 - 12000  $\text{cm}^2/\text{V}\cdot\text{s}$ , which reasonably agreed with the theoretical calculation.

The low electron mobility of the wide bandgap AlN and GaN nanostructures limits their applications in high-speed nanoscale optoelectronic devices. Doping offers an effective approach to tune the electron transport performance of III-nitride nanostructures. For example, Tang et al.[278] demonstrated the tunable electrical transport properties of AlN NWs with Mg doping, as shown in Figure 30(e)-(h). For the FET fabrication, Ti(100 nm)/Au(50 nm) were used as source-drain electrodes. The carrier concentration of D0-D10 was in the range of  $1.7\times 10^{18} \text{ cm}^{-3}$  to  $4.7\times 10^{19} \text{ cm}^{-3}$ , and the corresponding mobility was  $2.3\times 10^{-5} \text{ cm}^2/\text{V}\cdot\text{s}$  to  $6.4 \text{ cm}^2/\text{V}\cdot\text{s}$ . The carrier concentration and the mobility of Mg-doped AlN NWs were controlled by tuning the flow rate of doping source. Zhao et al.[34] demonstrated  $p$ -type conduction in InN NWs by doing Mg through MBE technique. The field effect hole mobility based on a single Mg-doped InN NW was in the range of 64-130  $\text{cm}^2/\text{V}\cdot\text{s}$ , which was comparable to reported theoretical calculations by the ensemble Monte Carlo method.[283]

Based on the above-discussion, the electron transport properties of group III-nitride nanostructures can be improved by the crystal quality and the doping, as given in Table 9.

**Table 9 A brief summary of the electron transport performance of III-nitride nanostructures-based FETs.**

Material	Morphology	Growth method	Electrode	Type (n/p)	Electron mobilities ( $\text{cm}^2/\text{V}\cdot\text{s}$ )	Ref.
Si-doped AlN	Nanoneedle	CVD	Ti(10nm)/Au(90nm)	$n$	0.1	[25]
Mg-doped AlN	Nanowires	CVD	Ti(100nm)/Au(50nm)	$p$	1.1-6.4	[278]
GaN	Nanowires	CVD	Ti(20nm)/Au(50nm)	$n$	2.15	[284]
Mg-doped GaN	Nanowires	CVD	—	$p$	12	[285]
GaN	Nanowires	CVD	Pd/Ti/Pt/A	$n$	30	[286]

GaN	Nanowires	MOCVD	—	<i>n</i>	65	[287]
GaN	NWs	Laser-assisted catalytic growth	Ti/Au	<i>n</i>	650	[274]
InN	Nanowires	CVD	Ni(50nm)/ Au(200nm)	<i>n</i>	29.2±5.4	[280]
InN	Nanowires	CVD	Ni(40nm)/ Au(87.5nm)	<i>n</i>	55-220	[279]
InN	Nanowires	MOCVD	Pt	<i>n</i>	2±1	[230]
Si-doped InN	Nanowires	MBE	Ti/Au	<i>n</i>	470	[282]
Mg-doped InN	Nanowires	MBE	Ni/Au/ Ni/Au	<i>p</i>	64-130	[34]
InN	Nanowires	CVD	Ti/Al/Ti/Au	<i>n</i>	300-850	[281]
InN	Nanowires	CVD	Ti(20nm)/ Au(200nm)	<i>n</i>	2300	[132]
InN	Nanowires	MBE	W/In	<i>n</i>	8000-12000	[Error! Book mark not defined.]
<i>p</i> -GaN/InGaN/ <i>n</i> -GaN	Nanorods	MBE	Ni(10nm)/ Au(5nm)	<i>p-i-n</i>	2000-3000	[288]

## 4. Application of the III-nitride nanostructures

### 4.1 Light emitting diodes (LEDs)

Recently, tremendous progress has been made on group III-nitride nanostructure-based LEDs in the full color range from DUV to NIR through tuning bandgap of Al(Ga/In)N nanostructures. Typical types of group III-nitride-nanostructure-based LEDs consisted of nitride *p-n* homo- and hetro-junctions, *n*-nitride/*p*-semiconductor, and *n*-semiconductor/*p*-nitride. In this section, the progress made recently on group III-nitride nanostructures LEDs with tunable emission color are described.

#### 4.1.1 Ultraviolet (UV) LEDs

Since the first demonstration of nanostructured GaN LEDs utilizing two single NWs of an Si-doped  $n$ -GaN NW and a Mg-doped  $p$ -GaN NW,[274, 285] more attentions were paid to the explorations on tunable light emission sources based on 1D nanostructure embedded with  $p$ - $n$  junction. For example, LEDs based on GaN NRs  $p$ - $n$  junction[289] and  $n$ -ZnO/ $p$ -AlGaIn heterojunction NWs[290] were explored, as depicted in Figure 31. As for GaN NRs  $p$ - $n$  junction, the  $n$ -GaN NRs was originated from the N vacancies and/or O impurities, and the  $p$ -GaN NRs was obtained by Mg doping. The  $I$ - $V$  curve of a single  $p$ - $n$  GaN NR based LED in Figure 31(b) exhibits rectifying behavior. Light emission from the  $p$ - $n$  junction of an individual GaN NR LED is observed by applying a forward bias. The EL spectrum of GaN NR  $p$ - $n$  junction LED exhibits an emission peak at 3.179 eV ( $\sim$ 390 nm), which is independent of the current injection as shown in Figure 31(c). As for the single  $n$ -ZnO/ $p$ -AlGaIn NW based LED device, the turn-on voltage and the rectification ratio, as provided in Figure 31(e), are  $\sim$ 2 V and 4:1, respectively. The rapidly increased EL intensity with increasing current was due to the band-filling effect.[290-292] When the injection currents is ranging from 1 to 4  $\mu$ A, as described in Figure 31(f), the monopeak is centered at  $\sim$ 394 nm and kept constant, but the EL peak shifts to 400 nm at 5  $\mu$ A. At low injection currents, the light emission at 394 nm was the radiative recombination of the injected carriers occurred in the  $p$ -AlGaIn side. When the current was high enough, holes could be also injected into  $n$ -ZnO side, resulting in the near band edge radiative recombination from  $n$ -type ZnO at  $\sim$ 400 nm.

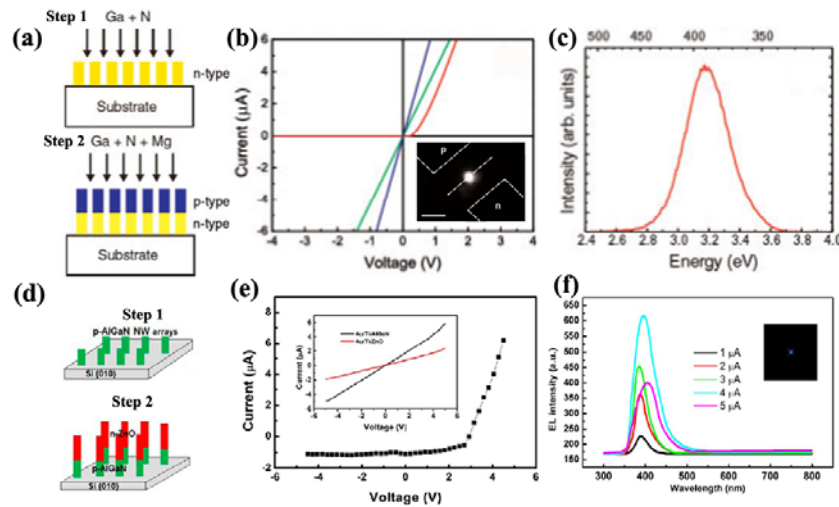


Figure 31 (a) Two-step formation of  $n$ -GaN/ $p$ -GaN homojunction NRs; (b)  $I$ - $V$  behavior



of  $n$ - $n$  (blue curve),  $p$ - $p$  (green curve), and  $p$ - $n$  (red curve) junctions, inset showing the luminescence image from a forward-biased NR junction at 3V; (c) the corresponding CL spectrum;[289] (d) two-step CVD growth of  $n$ -ZnO/ $p$ -AlGa $N$  heterojunction NWs; (e)  $I$ - $V$  curves of the heterojunction diode (inset: the ohmic contact characteristics of the electrodes); (f) RT EL spectra of single  $n$ -ZnO/ $p$ -AlGa $N$  heterojunction NW under different injection currents, the inset showing a CCD image of device under an injection current of 4  $\mu$ A.[290]

Multi-well/disk-in-a-wire LED heterostructures were developed to enhance the carrier confinement in the NW active region by Kikuchi et al. in 2004[293] using InGa $N$ /Ga $N$  MQD embedded single NW. Afterwards, Carnevale et al. developed DUV LEDs based on Al $_x$ Ga $_{1-x}$  $N$  NWs,[294] UV AlGa $N$  NW LED,[295] and ultrathin Ga $N$ /Al $N$  MQDs,[296] as illustrated in Figure 32. The DUV LEDs based on Al $_x$ Ga $_{1-x}$  $N$  NWs consisted of Ga $N$  QWs and Al $_x$ Ga $_{1-x}$  $N$  cladding layers with linearly grading composition, forming a polarization-induced  $p$ - $n$  junction without any impurity doping.[294] The current density of the LED was 50 mA/cm<sup>2</sup> at a forward voltage of 10 V, and the EL emission peaked at ~4.3 eV (~288 nm). In 2016, Sarwar et al. reported the DUV emission at ~240 nm from ultrathin Ga $N$ /Al $N$  MQDs.[296] The 240 nm emission peak exhibited about an order of magnitude weaker than the 320 nm emission. It was due to the smaller number of 240 nm emitting QDs compared to that of 320 nm in NWs, and the emission from the 240 nm QWs was strongly absorbed in the graded AlGa $N$  region before collection. This work provided a pathway toward the realization of efficient DUV emitters using Ga $N$  active region with enhanced vertical emission. Zhao et al.[297-298] reported the near-vacuum UV EL emission of Al $N$  NW LEDs. They demonstrated the 210 nm emission Al $N$  NW LED by employing the MBE-grown N-polar Al $N$  NWs and the tunable emission from 210 nm to 300 nm based on Al-rich Al $_x$ Ga $_{1-x}$  $N$  NW LEDs.



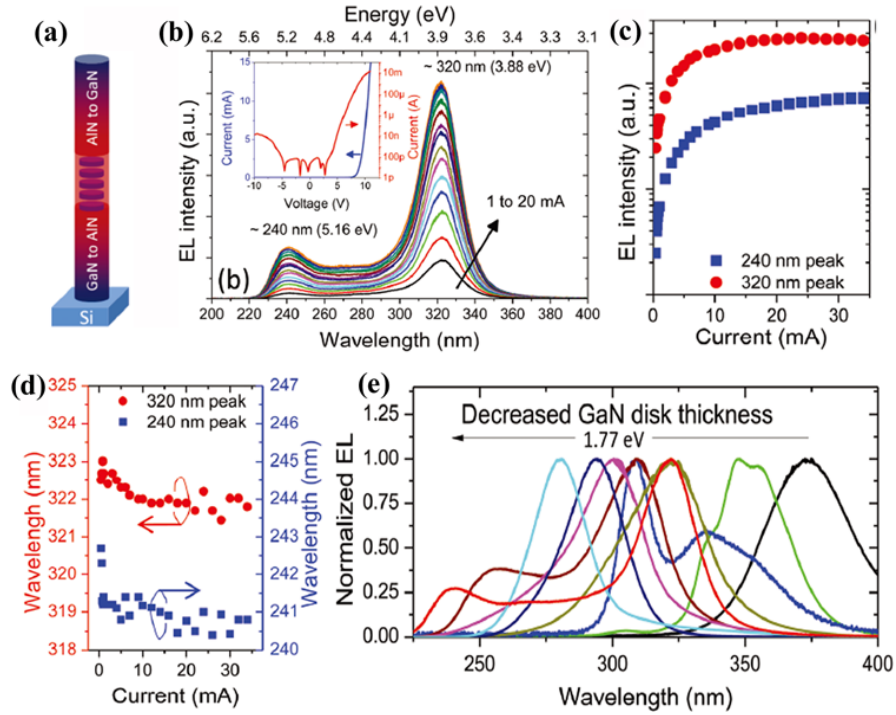


Figure 32(a) Schematic of the double graded polarization doped GaN/AlN NW; (b) EL spectrum of nominally 1 monolayer GaN QD LEDs under dc current injection, the inset showing the  $I$ - $V$  curve of the LED device; (c)-(d) evolution of 240 nm and 320 nm peak intensities and wavelengths as a function of injection current; (e) normalized EL spectra of polarization doped NW LEDs with decreasing GaN disk thickness.[293]

Mi's group developed nearly defect-free AlGaIn tunnel junction (TJ) NW in 2016.[299-300] As shown in Figure 33(a)-(c), the EL emission peak of the AlGaIn NW LED with the  $n^+$ -GaIn/Al/ $p^+$ -AlGaIn TJ is centered at ~242 nm, showing an enhanced EL emission compared to AlGaIn NW-LED without TJ due to the improved electrical performance. The EL intensity was enhanced about 400 times. For unpackaged TJ UV LEDs emitting at 242 nm, a maximum output power of 0.37 mW was measured with a peak external quantum efficiency up to 0.012%.[299] With Al-rich AlGaIn shell around the AlGaIn NWs with TJ,[300] as described in Figure 33(d)-(f), the core-shell NW heterostructure provided effective carrier confinement in the NW LED active region and suppress nonradiative surface recombination. A relatively narrow emission peak at 275 nm and no significant shift of the peak position with increasing injection current was ascribed to the formation of double heterostructures. The output power of the unpackaged Al TJ DUV LED was higher than 8 mW and a peak EQE was ~0.4%, which were nearly one to two orders of magnitude higher than their AlGaIn NW

devices.[299] The improved LED performance was proposed to be the significantly enhanced hole transport and injection into the device active region due to the incorporation of Al TJ, the elimination of resistive and absorptive *p*-GaN contact layer.

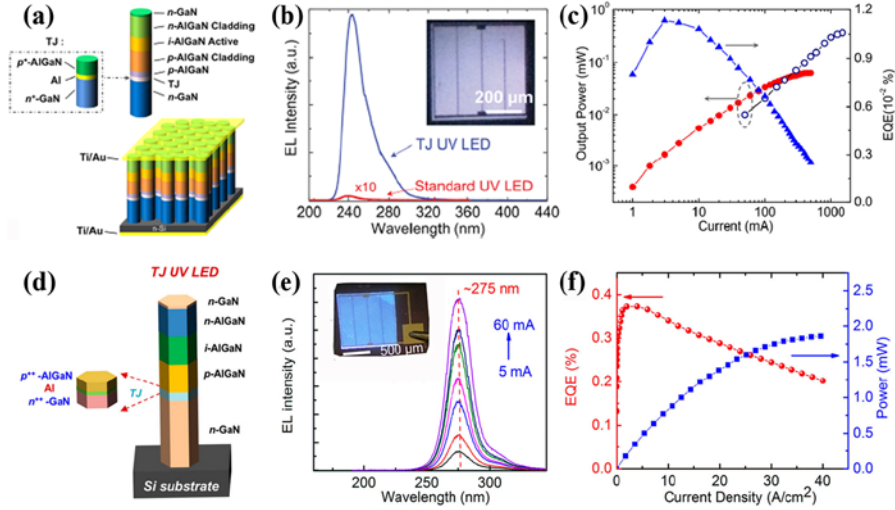


Figure 33 (a)-(c) Schematic of the AlGaIn NW TJ UV LED structure (a), RT EL spectra measured from standard and TJ UV LEDs, with the inset showing an optical image of light emission from device top (b), RT output power and EQE of TJ UV LEDs (c);[299] (d)-(f) schematic diagram of Al TJ AlGaIn UV LED (d), RT EL spectra of the Al TJ AlGaIn UV LED under CW biasing condition, with the inset showing an optical image of the device (e), RT output power and EQE of TJ UV LEDs (f).[300]

Due to the presence of TM polarized light emission of WZ AlN, the light emission propagated in-plane was difficult to be extracted from the top surface. The performance of conventional *c*-plane AlN LED structures was limited by the low light extraction efficiency. [7] In 2015, Zhao et al.[298] demonstrated the dominant light emission from the NW top surface through angle-dependent (0 - 90°) EL measurements. An alternative approach for realizing highly efficient UV emission was utilized in ternary  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  NW structures. Wang et al.[242] obtained the ~340 nm UV emission from the  $\text{Al}_x\text{Ga}_{1-x}\text{N}$  NW based double heterojunction (DH) LEDs. The device showed an internal quantum efficiency (IQE) of ~59% at RT, a relatively small series resistance (~5-100  $\Omega$ ), highly stable emission characteristics, and the absence of efficiency droop under pulsed biasing conditions up to ~350  $\text{A cm}^{-2}$ .

In general, Mg has been widely used as the *p*-type dopant in GaN but possessing significant challenges for AlN due to the exceptionally large ionization energy (~ 600 meV).

Moreover, the presence of free hole concentration in Mg-doped AlGa<sub>0.3</sub>N is heavily compensated by the formation of extensive native defects and impurity incorporation during the epitaxy of highly lattice mismatched AlGa<sub>0.3</sub>N epilayers. In order to overcome the intrinsic drawback of low *p*-type conductivity in Al-rich AlGa<sub>0.3</sub>N for deep ultraviolet (DUV) LEDs, hexagonal boron nitride (h-BN) may be an ideal candidate for DUV LEDs owing to its large bandgap, near-zero polarization field, small ionization energy ( $\sim 150$  meV), and especially, the unusual propensity for *p*-type doping with the presence of B vacancies.[301] For example, Jiang *et al.* demonstrated a novel h-BN/AlGa<sub>0.3</sub>N *p-n* junction to overcome *p*-type doping issues in Al-rich AlGa<sub>0.3</sub>N by using h-BN.[302] Moreover, Laleyan *et al.* reported that h-BN can function as a highly conductive, DUV transparent electrode in the Mg-free NW-array based Al(Ga)N/h-BN LED, and the hole concentration is as high as  $\sim 10^{20}$  cm<sup>-3</sup> at room temperature, which is 10 orders of magnitude higher than that of previously reported Mg-doped AlN epilayers.[303]

#### 4.1.2 Visible LEDs

##### 4.1.2.1 Single color LEDs

Group III-nitride nanostructures possess physical characteristics that are highly promising for various color LED applications since the bandgap of III-nitride nanostructures is widely tunable in the visible light range by alloying the binary material. [304] Both *p*- and *n*-type III-nitride nanostructures can be readily achieved by introducing trace amounts of Mg and Si, respectively, which makes the formation of an epitaxial *p-n* homojunction possible, leading to the efficient radiative recombination between electrons and holes. Therefore, it is potential to achieve various color LEDs based on group III-nitride nanostructures.

In 2004, axial group-III-nitride NW LEDs were reported by Kikuchi *et al.*[293] and Kim *et al.*[38]. Whereafter, much attention had been paid to the research of various color and high efficiency LEDs using III-nitride axial nanostructures.[305-307] For example, Ra *et al.*[305] reported single peak emission of  $\sim 415$  nm from *p*-Ga<sub>0.3</sub>N/In<sub>x</sub>Ga<sub>1-x</sub>N/Ga<sub>0.3</sub>N MQW/*n*-Ga<sub>0.3</sub>N NW LED, as shown in Figure 34(a)-(d). The well-controlled In composition in the MQW structure

was optimized by tuning the number of the MQW pairs through MOCVD technique. The output power linearly increased as the current increased due to the uniform and defect-free  $\text{In}_x\text{Ga}_{1-x}\text{N}/\text{GaN}$  MQW NW structure.

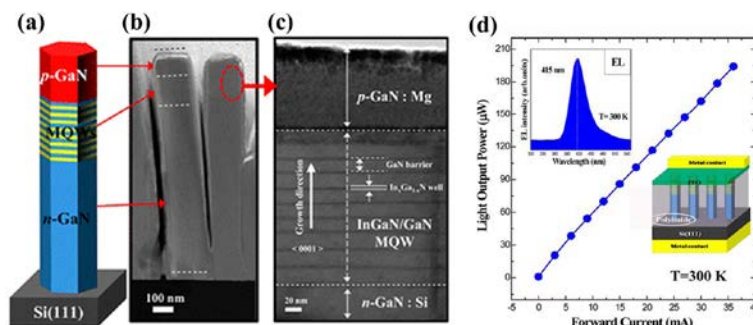


Figure 34(a) Schematic of a single  $p\text{-Ga}\text{N}/\text{In}_x\text{Ga}_{1-x}\text{N}/\text{Ga}\text{N}$  MQW/ $n\text{-Ga}\text{N}$  NW; (b)-(c) low- and high-magnification TEM images of the NW; (d) light output power of NW LED, as a function of current, top-left and bottom-right showing the EL and schematic of the LED structure, respectively.[305]

Later on, Bengoechea-Encabo et al.[307] achieved blue (441 nm), green (502 nm) and yellow (568 nm) LEDs, based on ordered GaN NCs having long InGa<sub>x</sub>N active regions (250 nm to 500 nm). Kishino et al.[306] realized the green-light NC LEDs with emission wavelengths from 515 to 550 nm using RF-MBE grown InGa<sub>x</sub>N NC arrays.

#### 4.1.2.2 Color tunable LEDs

Color tunable LEDs with desired CCT and CRI open up a new pathway to various applications, including displays,[308] smart lighting,[309] and real-time identification of biological cells.[310] To overcome the drawbacks of the traditional phosphor LEDs, such as low CRI and Stokes fluorescence loss, phosphor-free and high-efficient tunable-light LEDs were developed. The integration of RGB LEDs directly on a single chip may be an appealing strategy to realize color-tunable LEDs with extremely small size, low power-consumption, and high CRI. To date, much effort has been devoted to exploiting the feasible way to obtain color-tunable III-nitride nanostructure LEDs. The recent studies of the tunable color emission of III-nitride nanostructure LEDs are summed up in the following categories: (i) tuning the In composition in pure InGa<sub>x</sub>N NWs or (Al, In)Ga<sub>x</sub>N/GaN 1D MQW/MQD heterostructures;[311-315] (ii) designing special InGa<sub>x</sub>N/GaN heterostructures and measuring

the device performance under different injection currents/applied voltages/current injection modes;[316-319] (iii) integrating the different areas with tunable color emission directly on a single chip.[243, 320-322] Specifically, one of the simplest approaches to achieve tunable color emission of III-nitride nanostructure LEDs was to adjust the In composition in InGaN NWs. For example, Qian et al.[ 323 ] fabricated a single  $n$ -GaN/In $_x$ Ga $_{1-x}$ N/GaN/ $p$ -AlGaIn/ $p$ -GaIn core/multishell (CMS) NW LED, as described in Figure 35(a)-(d). The single NW device was isolated, and discrete contacts were made to the  $n$ -type core and  $p$ -type shell. EL emissions at 367, 412, 459, 510, and 577 nm were achieved from In $_x$ Ga $_{1-x}$ N NWs with the  $x$  of 0.01, 0.1, 0.2, 0.25, and 0.35, respectively. Hahn et al.[311] realized the tunable emission based on InGaIn NW LEDs, as shown in Figure 35 (e) and (f). The In composition of InGaIn NWs grown on  $p$ -GaIn/Al $_2$ O $_3$  substrates was tuned in the range of 0.06 ~ 0.43 by adjusting the VT of In and Ga sources during the CVD growth. The EL emission peaks were tuned from 435 nm to 575 nm, i.e., from blue to orange colors, with increasing In concentration due to the tuned bandgap of In $_x$ Ga $_{1-x}$ N NWs.

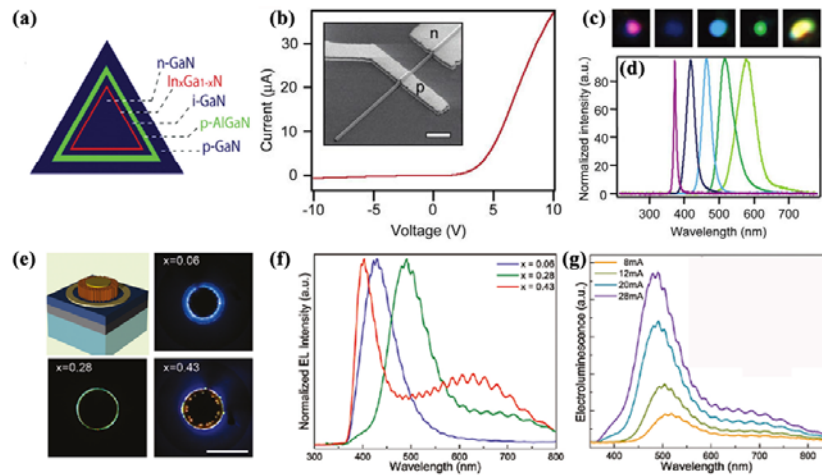


Figure 35 (a) Schematic of the  $n$ -GaIn/In $_x$ Ga $_{1-x}$ N/GaN/ $p$ -AlGaIn/ $p$ -GaIn core/multishell (CMS) NW; (b) I-V data recorded on a CMS NW device, inset showing the corresponding SEM image; (c) OM images collected from around  $p$ -contact of CMS NW LEDs in forward bias; (d) normalized EL spectra of the LEDs;[323] (e) schematic and OM images of the InGaIn NW LED device under forward bias ( $V = 19, 9$ , and  $11$  V for  $x = 0.06, 0.28$ , and  $0.43$ , respectively); (f) normalized EL spectra of each device in panel e; (g) dependence of EL on current of  $x = 0.28$  device.[311]

Visible-color tunable LEDs using a InGaIn thin-film embedded into the

position-controlled GaN NR arrays were reported by Hong et al.[316] During the heteroepitaxial overgrowth of the InGaN/GaN MQW layers, anisotropic MQWs with various QW thickness and composition were formed on different facets of *n*-GaN NRs, as exhibited in Figure 36(a)-(e). The EL spectra of the nanostructured LEDs displayed a continuous peak shifting from red to blue emission with increasing bias voltage. The highly tunable emission color of these NR-embedded thin-film LEDs was originated from both the anisotropic MQW layers formed on the multifaceted GaN NRs and the gradual change in electric field distributions in NR-embedded thin-film structures upon varying electric bias. They also demonstrated the tunable emission color from green to blue based on InGaN/GaN microdonut array LEDs using the similar approach.[319]

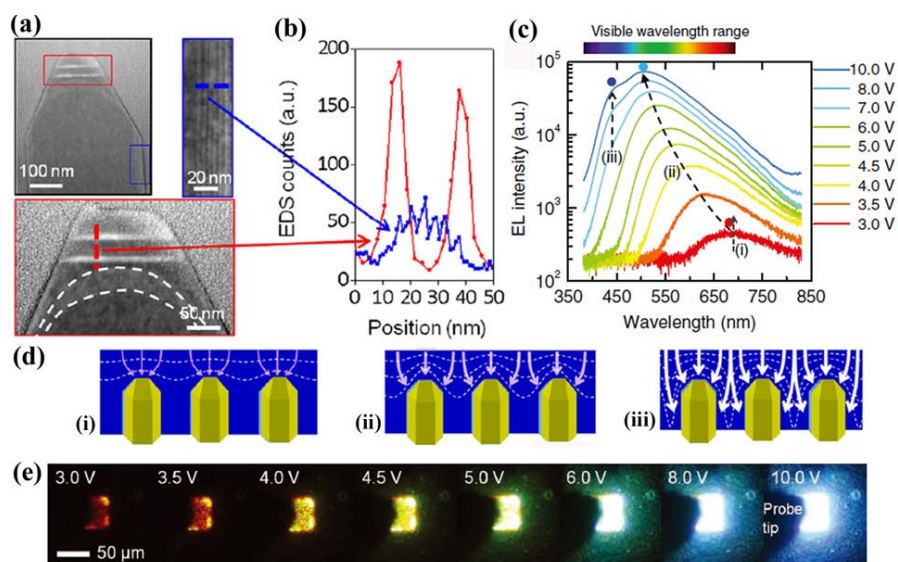


Figure 36(a) STEM images of InGaN/GaN NR MQWs formed on topmost and up right sidewall areas of a GaN NR; (b) the corresponding EDX line profiles of the In along the axial and radial directions of the NR; (c) EL spectra of the nanostructured LEDs taken at various bias voltage from 3.0 to 10.0 V; (d) illustrations of the change of equipotential planes (white dotted lines) in the p-GaN overlayer of the nanostructured LEDs and paths of hole carriers (white arrows) (i) under a low electric field near the turn-on voltage, (ii) with increasing applied voltage, and (iii) at very high bias voltage; (e) light emission photographs of the LEDs taken at various bias voltage.[316]

Since Kishino et al.[324] reported the self-assembled InGaN/GaN NC LEDs with multicolor emission from blue to red, the ideas of micro-semiconductor displays and low-cost



white emitting LEDs with the mixed color of RGB were inspired.[322, 324] In 2014, Wang et al.[320] reported the controllable SAG growth of full-color NW LED arrays on a single Si substrate by PA-MBE, as shown in Figure 37(a)-(c). The NWs consisted of one  $\sim 0.4 \mu\text{m}$  GaN:Si segment, ten vertically aligned InGaN/GaN dots, one  $\sim 10 \text{ nm}$   $p$ -doped AlGaN electron blocking layer (EBL), and one  $\sim 0.2 \mu\text{m}$  GaN:Mg segment. The emission color was tuned from blue (450 nm) to red (700 nm) by adjusting size and/or compositions of the QD active region. These integrated tunable full-color LED arrays exhibited a wide range of CCT ( $\sim 1900 - 6500 \text{ K}$ ) and high color rendering index ( $>90$ ). The tunable emission from 430 nm to 630 nm was also realized on AlInGaN quaternary core-shell NW heterostructures.[315] The heterostructure NW LEDs exhibited an output power exceeding 30 mW for a  $\sim 1 \times 1 \text{ mm}^2$  nonpackaged device at a current density of  $100 \text{ mA/cm}^2$  due to the suppression of nonradiative surface recombination of such core-shell heterostructure.

In 2016, Ra et al.[321] reported the full-color single NW LEDs by incorporating multiple InGaN/GaN QDs in  $p$ -GaN:Mg/ $n$ -GaN:Ge NWs, as shown in Figure 37(d)-(g). The EL emission was dependent on the diameter of single NW LED subpixels with a small level of quantum-confined Stark-effect. Under the same injection current density, the NWs with larger diameters obtained stronger light intensity due to the larger active region area. On the other hand, NW LED subpixels with smaller diameters could withstand higher current density due to the more efficient current conduction and heat dissipation.

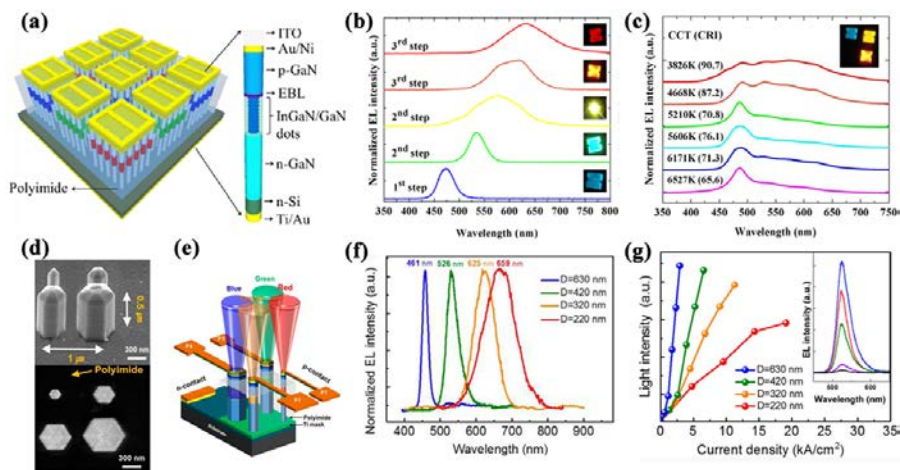


Figure 37(a) Schematic of multi-color NW LED devices on the same chip; (b) normalized EL spectra of NW LED subpixels monolithically integrated on Si substrate and the corresponding optical images; (c) normalized EL spectra and their corresponding CCT/CRI values of a

representative triple-color LED pixel measured under different injection current.[320](d) side- and top-view SEM images of the InGaN/GaN dot-in-NWs with different diameters; (e) schematic illustration of monolithically integrated multicolor single NW LED pixels on a single chip; (f) EL spectra of single NW LEDs with different diameters; (g) the corresponding light-current characteristics of the LED devices and EL spectra of the green-emitting LED device under different injection currents.[321]

#### 4.1.2.3 White light LEDs

Efficient light emission covering nearly the entire visible light range has been realized with the utilization of InGaN nanostructures.[325-326] Generally, there are two types of heterostructures adopted in the fabrication of phosphor-free white light LEDs, such as core-shell heterostructures[ 327 - 328 ] and quantum dots/nanodisks in 1D GaN nanostructures.[325-326, 329- 337] For example, Nguyen et al.[328] reported a type of axial NW LED heterostructures, with the use of self-organized core-shell InGaN/AlGaN dot in *p*-GaN:Mg/*n*-GaN:Si NW arrays, as shown in Figure 38(a)-(c). The energy band diagram of the InGaN/AlGaN dot-in-a-wire core-shell LED active region showed superior 3D carrier confinement due to the multiple AlGaN shell and barrier layers. The ten InGaN dots were centrally confined and capped by the AlGaN barriers, each of which formed an Al-rich shell at the NW sidewall. The output EL spectra of the dot-in-a-wire core-shell LED under various injection currents were highly stable and nearly independent of injection currents. The LED exhibited nearly neutral white light emission with CCT of ~4450 K and a very large CRI of 95.



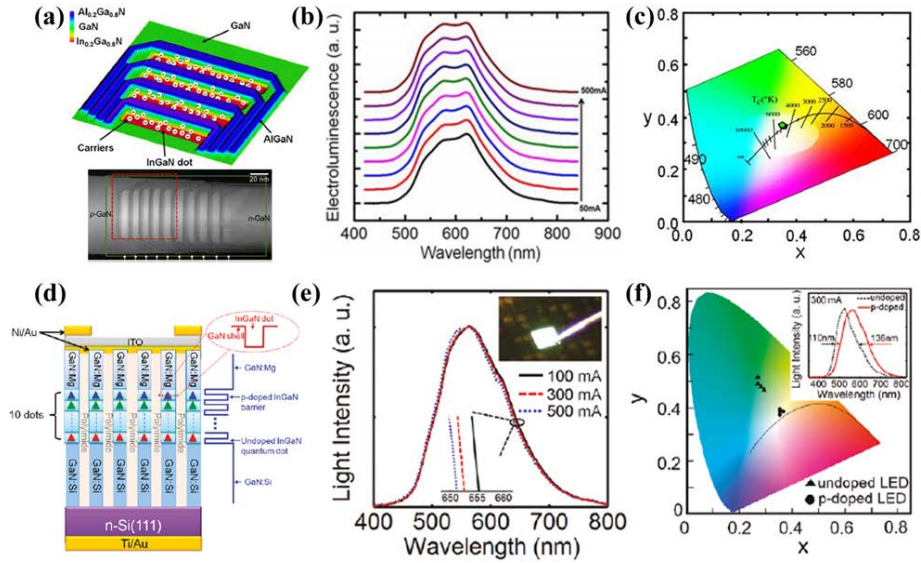


Figure 38(a) Schematic illustration of the energy band diagram of the InGaN/AlGaIn dot-in-a-wire core-shell LED active region and the corresponding STEM-HAADF images; (b) EL spectra of the LED measured under different injection currents ; (c) CIE diagram showing the stable white light emission for injection current from 50 mA to 500 mA;[328] (d) illustration of the *p*-doped InGaN/GaN dot-in-a-wire LED device; (e) RT EL spectra of a dot-in-a-wire LED, inset showing the white light emission image; (f) 1931 CIE chromaticity diagrams, the emission spectra measured at an injection current of 300 mA for the undoped and *p*-doped LEDs were shown in the inset.[329]

The InGaN quantum dots/nanodisks embedded in 1D defect-free GaN nanostructures is an effective strategy to achieve phosphor-free white light LEDs. Nguyen et al.[329] reported the white light emission of InGaN/GaN dots in a *p*-GaIn:Mg/*n*-GaIn:Si NW LEDs, as described in Figure 38(d)-(f). The NW LED showed strong white light emission. Moreover, for the *p*-doped LED, the CIE chromaticity coordinates with increasing injection current were nearly unaltered. By comparing the RT IQE of the undoped and *p*-doped LEDs, the IQE of *p*-doping (~56.8 %) was 50% higher than that of undoping (~36.7%), which was enough efficient for the phosphor-free white LEDs.

Therefore, group III-nitride nanostructures offer an alternative approach to develop the white-light LEDs with improved efficiency, increased lifetime, and enhanced light quality.

### 4.1.3 Near infrared LEDs

Kishino et al.[338] explored the InGaN NC NIR LED with a light emission wavelength of  $\sim 1.46 \mu\text{m}$ , benefiting from the SAG grown InGaN NCs with the diameter of  $\sim 300 \text{ nm}$  and the In concentration of 0.85. Since then, much effort have been devoted to the study of III-nitride nanostructures NIR LEDs.[339-342] Le et al.[339] demonstrated the EL emission at  $\sim 0.71 \text{ eV}$  ( $1746 \text{ nm}$ ) from a single InN *p-i-n* NW LED. Zhao et al.[342] reported the comparative study of InGaN/GaN NW NIR LEDs epitaxially grown on Mo and Si substrates, as shown in Figure 39(a)-(g). The low injection current density and high EQE of droop-free InGaN/GaN quantum-disks-in-nanowire LEDs emitting were ascribed to the effect of disparat current spreading and high-effective heat dissipation. The radiative recombination rates of NW-LEDs between RT and  $40^\circ\text{C}$  was found not limited by Shockley-Read-Hall recombination, Auger recombination, or carrier leakage mechanisms, thus realizing droop-free operation. The output power and wall-plug efficiencies of LED on Mo were stronger than those on Si because the heat generated, even at high current injection, could be dissipated efficiently without degrading device performance due to the high thermal conductivity of LEDs on Mo.

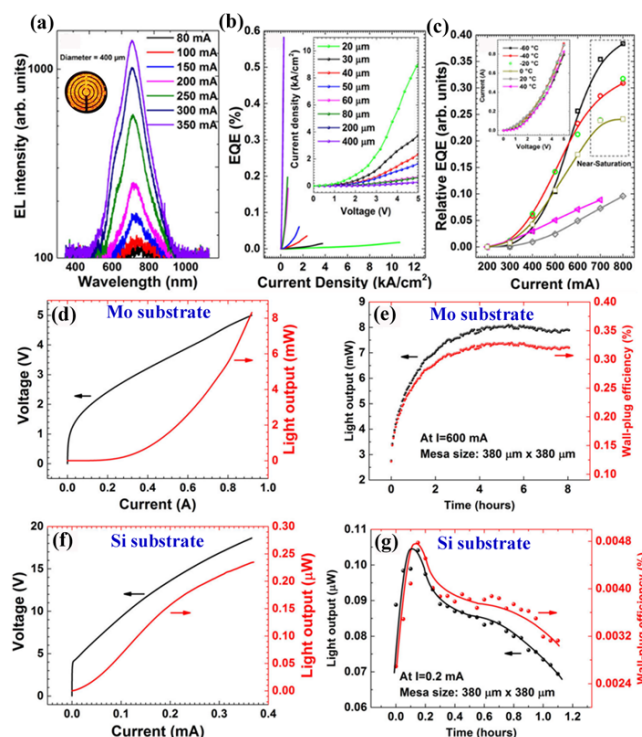


Figure 39 (a) The EL spectra of the InGaN/GaN NW LED grown on Mo substrate, inset

showing the optical microscope image of the LED; (b) the EQE of LEDs with different sizes; the inset showing the measured  $I$ - $V$  characteristics; (c) EQE with current injection between -60 and 40 °C; the inset showing the  $I$ - $V$  characteristics of LEDs between -60 and 40 °C. The dashed rectangle indicates the region where the relative EQE reached near-saturation; (d) typical  $L$ - $I$ - $V$  of LEDs on Mo; (e) light output power and wall-plug efficiency of LEDs on Mo versus time. A constant current of 600 mA was applied to the LEDs with size of  $380\text{ }\mu\text{m} \times 380\text{ }\mu\text{m}$ ; (f) typical  $L$ - $I$ - $V$  of LEDs on Si; (g) light output power and wall-plug efficiency of LEDs on Si versus time. A constant current of 0.2 mA was applied to the LEDs with size of  $380\text{ }\mu\text{m} \times 380\text{ }\mu\text{m}$ . [342]

#### 4.1.4 Micro-LEDs

Besides the above-mentioned NW-array or single-NW based LEDs, micro-LEDs ( $\mu$ -LED), as small as a micro-size of  $\sim 10\text{-}30\text{ }\mu\text{m}$ , is presently recognized as the ultimate display technology because they inherently provide high brightness, high contrast ratio, high response speed, long lifetime, and power saving properties, which can be operated over a wide temperature range. Since the report of the first current injection  $\mu$ -LED ( $\sim 12\text{ }\mu\text{m}$ ) based on p-GaN/InGaN/n-GaN QWs, [343] much more attentions have being paid on the development of  $\mu$ -LED, exhibiting promising products in wearable displays for high speed three-dimensional/augmented reality/virtual reality (3D/AR/VR) display applications, high brightness/contrast large flat panel displays and TVs, and light sources for the neural interface and optogenetics, and for visible light communications (Li-Fi). [344] For example, Kishino *et al.* reported the multicolor (red, green, blue, and yellow; RGBY)  $\mu$ -LED pixels with  $5 \times 5\text{ }\mu\text{m}^2$  emission windows by using InGaN/GaN-based nanocolumns (NC). [345]

#### 4.2 Photodetectors

Because of the tunable direct bandgap energies of III-nitride materials, their 1D nanostructures are the promising candidates for photodetectors operating in a broad spectrum range from DUV to NIR.

#### 4.2.1 PDs based on undoped III-nitride nanostructures

In the past few years, the photoconductivity performance of III-nitride nanostructures, especially GaN NWs, have been intensively investigated.[230, 346- 352] In 2005, Calarco et al.[346] reported the electrical transport performance of single GaN nanowisker under the dark and illumination. The photocurrent of the GaN NW PD device depended on the diameter of the NWs due to the existence of depletion space charge layers. The recombination process was enhanced within the NWs with small diameters, which screened the depletion electric field, leading to the decreased photocurrent. However, the photocurrent had a negligible dependence on the diameter, since the large bulk volume remained undepleted. Wang et al.[350] demonstrated single NW PD based on axial GaN NWs on pattern Si substrate. The device exhibited a high responsivity up to 10000 A/W with a response time less than 26 ms.

Although the photoconductivity of AlN nanostructures under DUV illumination was rarely investigated due to its wide bandgap and high insulating nature, the performance of single AlN NWs using different subband gap excitation sources was reported by Huang et al.[348] In this study, the photoconductivity of individual AlN NW was characterized under air and vacuum by employing various subband gap excitation sources of 1.53 eV (808 nm), 2.33 eV (532 nm), 3.06 eV (405 nm), and 3.81 eV (325 nm), as illustrated in Figure 40(a)-(e). Both positive (under 1.53 and 2.33 eV excitations) and negative (under 3.06 and 3.81 eV excitations) photocurrent responses were observed. Based on the energy band and photoconduction process near surface region of the AlN NW, negative photoconductivity could be generated originating from the existence of electron trap and recombination center in AlN. Notably, a strong positive surface photoconductivity could be generated by manipulating the measurement environment from air to vacuum because of the excess electrons produced by the surface electron trapping states  $[O^-(g) + h\nu \rightarrow e^- + O_2(ad)]$ , inducing an oxygen molecular sensitization effect, which was responsible for the surface sensitive positive photoconductivity.

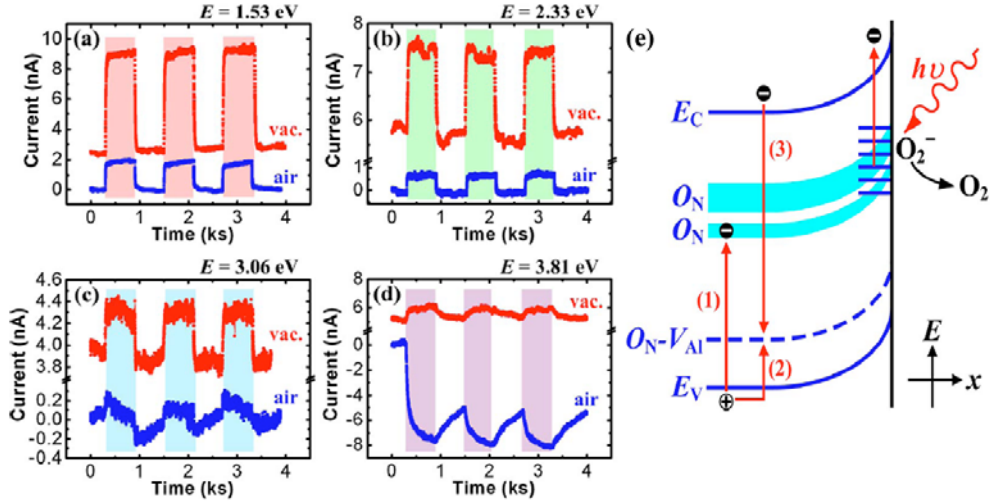


Figure 40(a)-(d) The current response curve of the single AlN NW in the air and vacuum under repeated excitation using different photon energy ( $E$ ) of (a) 1.53 eV, (b) 2.33 eV, (c) 3.06 eV, and (d) 3.81 eV; (e) The schematic drawings of the energy band and photoconduction process near surface region of an AlN NW.[348]

The vacuum-ultraviolet (VUV) PDs with high sensitivity can be significantly utilized for coping space weather and monitoring hazardous. AlN is an ideal material for VUV detection due to the wide bandgap ( $\sim 6.2$  eV), superior radiation resistance, high thermal and chemical stability. In 2015, Zheng et al.[353] demonstrated the high sensitivity of AlN micro/nanowire-based PD under VUV illumination (193 nm), as illustrated in Figure 41(a)-(c). The magnitude of photocurrent obtained under 193 nm light was five orders higher than that of the dark current. The photocurrent curve was linearly increasing with rising bias voltage. The photocurrent responded expeditiously in 0.1 s and increased to a stable value of  $\sim 24$  nA when the illumination was turned on, while it decreased dramatically to the initial value in 0.2 s when the light was turned off, indicating the fast response speed of the AlN micro/nanowire-based PD.

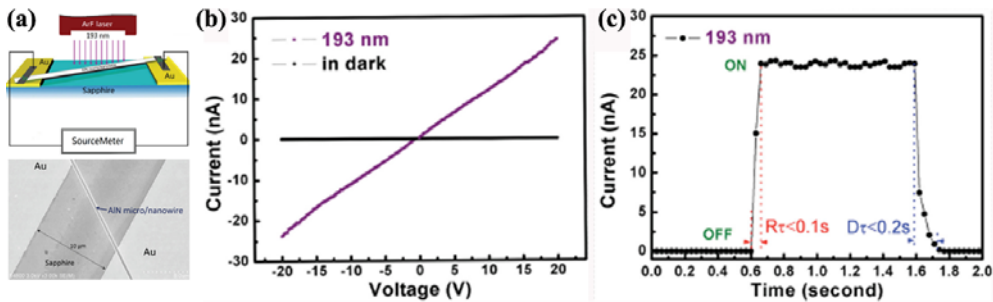


Figure 41(a) Schematic and representative SEM image of AlN micro/nanowire-based PD; (b)

*I-V* characteristics of the PD illuminated under a light of 193 nm and under dark condition; (c) time-dependent response of the device measured under air environment at RT applied a bias of 20 V.[353]

In addition to the nitride NW PDs operating in the spectral range from UV to visible light, the photoconductivity (PC) of individual infrared-absorbing InN NW was reported by Chen et al.[230] Under 808 nm excitation, the photocurrent values of a single InN NW device at the bias of 0.1 V exhibited a gradual increase as the decrease of temperature from 300 K to 10 K, while the photosensitivity (S) increased one order of magnitude from 0.3 to 14, indicating the good device performance for IR sensing. The photoconductive gain could reach to the value of  $8 \times 10^7$  at RT in vacuum.

#### **4.2.2 PDs based on doped III-nitride nanostructures**

The incorporation of dopants in group III-nitride nanostructures has been demonstrated as an effective strategy to achieve desired optical and electronic properties.[15] This approach was also applicable to optimize the performance of group III-nitride nanostructures PDs.[354-356] For example, Richter et al.[354] investigated the influence of *n*-doping on the electrical transport performance of GaN NWs with different diameters. The photocurrent of GaN NWs with highly doped Si was significantly higher than that of NW with undoped and lightly doped Si under UV illumination. Patsha et al.[355] reported photoconductivity performance of Mg doped nonpolar GaN NWs. The fabricated devices exhibited a very high on-off ratio of ~100 under the illumination of 470, 530, and 788 nm wavelengths.

#### **4.2.3 PDs based on III-nitride homo/heterostructures**

PDs consisting of an active *p-n* junction based on III-nitride nanostructures have been developed. When light falls on the junction, a reverse current flowing proportional to the illumination can be detected. Rigutti et al.[357] reported the UV PDs based on GaN/AlN quantum disks in a single GaN NW. The device showed a drastically reduced dark current and an enhanced photocurrent. The responsivity was ~2000 A/W at  $\lambda=300$  nm, and the photosensitivity was up to 500 at RT. Bugallo et al.[358] reported the fabrication and



characterization of a visible-blind PD based on *p-i-n* junction GaN NW array, as shown in Figure 42(a)-(c). The responsivity of the PD was  $\sim 0.47 \text{ AW}^{-1}$  at -1 V, under UV illumination at  $\lambda=356 \text{ nm}$  with an incident power of  $1.6 \text{ }\mu\text{W}$ . Moreover, the photocurrent appeared at  $\sim 3.27 \text{ eV}$  and increased by more than two orders of magnitude between 3.3 and 3.4 eV, the rejection ratio of UV to visible photocurrent was  $\sim 200$ . Such visible-band detectors had the promising applications in high-speed PDs. Subsequently, high sensitivity of a single GaN NW PD based on the radical  $\text{In}_{0.16}\text{Ga}_{0.84}\text{N}/\text{GaN}$  MQW *n-i-n* junctions was reported by Bugallo et al..[359] The PD had a light response to  $\sim 477 \text{ nm}$ , and the responsivity was as high as  $8000 \text{ A/W}$ . Later on, Gonzalez-Posada et al.[360] demonstrated the photocurrent performance of a single axial GaN *n-i-n* NW grown by PAMBE. The photoconductive gain of the device was in the range of  $10^5$  to  $10^8$ , and the responsivity ratio of UV (350 nm) to visible (450 nm) was higher than  $10^6$ . Recently, Lähnemann et al.[361] reported the UV photosensing performance of a single GaN NW incorporating AlN/GaN: Ge axial heterostructures. They found both the incorporation of the heterostructures and the larger number of active nanodisks and AlN barriers could result in the decrease of the dark current and the increase of the photosensitivity.

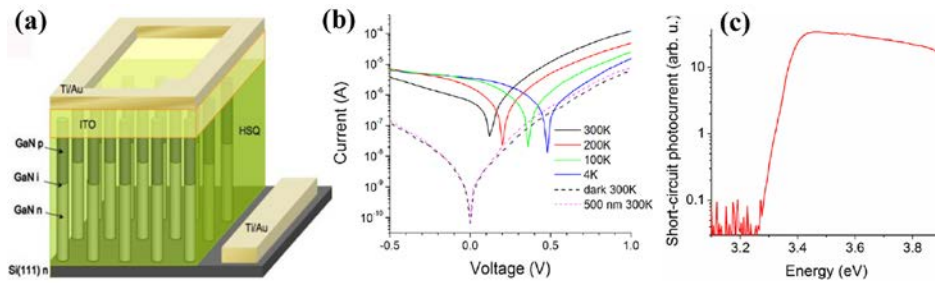


Figure 42(a) Schematic representation of *p-i-n* GaN NWs photodetector; (b) *I-V* characteristics under UV illumination at 300, 200, 100 and 4 K. Dashed lines showed the dark *I-V* and the *I-V* under illumination with  $\lambda = 500 \text{ nm}$  light at RT; (c) RT photocurrent spectrum under zero applied bias.[349]

In addition, efforts have been devoted to improving the photoconductive performance of III-nitride nanostructure-based PDs by using different electrode materials.[362-364] Babichev et al.[364] reported the fabrication and photoconductive properties of the PDs using few-layer graphene contact to GaN NW ensemble. The device showed a responsivity of  $\sim 25 \text{ A/W}$  at 357 nm under 1 V biasing, and had a strong response up to 298 nm.

### 4.3 Lasers

Since the first demonstration of NW lasers in 2001,[365] III-nitride NW lasers have attracted tremendous attention due to their tunable bandgaps (from 0.65 eV for InN to 6.2 eV for AlN), extremely small surface recombination velocity, and large exciton binding energy (~26 meV for GaN and ~60 meV for AlN). Therefore, 1D III-nitride nanostructures have potential applications with ultralow threshold, small scale lasers from DUV to NIR spectra range. Moreover, lasers with low dimensionality provide the opportunity to develop efficient, low-threshold, and compact light sources for diverse novel applications in high-speed communications, information processing, and optical interconnects. In this section, the development of optically pumped and electrically injected III-nitride nanostructure lasers with emission wavelength ranging from DUV to red light range are described.

#### 4.3.1 Optically pumped NW lasers

A variety of optical resonators based on III-nitride nanostructures have been designed. In order to realize III-nitride semiconductor nanolasers with single mode, broadband-tunable emission wavelengths, and ultralow lasing threshold, many different types of micro/nanocavities have been developed, including Fabry-Pérot, microstadium, photonic-crystals, ring cavities, two-dimensional distributed feedback (2-D DFB), and random lasing. Some experimental details of III-nitride NW lasers are listed in Table 10. In general, the lasing threshold is dependent on the NW dimensions, quality of the NWs, characteristics of NW cavities, and coupling with the substrate.

**Table 10 A brief summary of the experimental details of III-nitride NW lasers.**

Active layer	substrate	Operating temperature	Threshold	$\lambda$ (nm)	Resonator type	Ref.
GaN	<i>c</i> -sapphire	RT	700 nJ/cm <sup>2</sup>	370 to 380	Fabry-Pérot	[151]
GaN	<i>c</i> -sapphire	RT	2-3 $\mu$ J/cm <sup>2</sup>	384	Fabry-Pérot	[366]
GaN	<i>c</i> -sapphire	RT	22 kW/cm <sup>2</sup>	370 to 378	Fabry-Pérot	[367]
GaN	<i>c</i> -sapphire	RT	112.5 $\mu$ J/cm <sup>2</sup>	373 to 380	Ring	[368]
InN	Si(100)	20 K	70 kW/cm <sup>2</sup>	1559 to 1644	Fabry-Pérot	[226]
GaN	<i>c</i> -sapphire	RT	1536 kW/cm <sup>2</sup>	370 to 372	Microstadium	[369]
InGaN/GaN	<i>r</i> -sapphire	RT	900 kW/cm <sup>2</sup>	383 to 478	Fabry-Pérot	[370]
InGaN/GaN	GaN/	RT	320 kW/cm <sup>2</sup>	470	2-D DFB	[371]



<i>c</i> -sapphire						
AlInGaN	AlGaIn/ <i>c</i> -sapphire	RT	0.52 MW/cm <sup>2</sup>	343.7	Fabry-Pérot	[372]
GaN	Si	RT	320-350 kW/cm <sup>2</sup>	362 to 370	Random	[373]
InGaIn/GaN	GaN/ <i>c</i> -sapphire	RT	0.42 MW/cm <sup>2</sup>	440 to 560	2-D DFB	[374]
GaN	Si	RT	120 kW/cm <sup>2</sup>	370	Photonic crystal	[375]
InGaIn/GaN	Si	7 K	300 kW/cm <sup>2</sup>	533	Plasmonic	[376]
GaN	Si	RT	95.2 nJ/cm <sup>2</sup>	366 to 372	Polariton	[377]
GaN	Au/SiO <sub>2</sub> /Si	RT	241 kW/cm <sup>2</sup>	370	Fabry-Pérot	[378]
InGaIn/GaN	Si	78 K	3.7 kW/cm <sup>2</sup>	510 to 522	Plasmonic	[379]
GaN	<i>c</i> -sapphire	RT	231 kW/cm <sup>2</sup>	367 to 371	Fabry-Pérot	[380]
GaN	<i>c</i> -sapphire	RT	874 kW/cm <sup>2</sup>	370 to 374	Fabry-Pérot	[381]
GaN	GaN/ <i>c</i> -sapphire	RT	5 MW/cm <sup>2</sup>	455 to 470	Random	[382]
GaN	<i>c</i> -sapphire	RT	—	362 to 375	Fabry-Pérot	[383]
InGaIn/GaN	<i>c</i> -sapphire	RT	130 kW/cm <sup>2</sup>	380 to 440	Photonic crystal	[384]
GaN	Si	RT	625 nJ/cm <sup>2</sup>	370	Polariton	[385]
GaN	SiO <sub>2</sub> /Al film	RT	3.5 MW/cm <sup>2</sup>	370	Polariton	[386]
InGaIn/GaN	Al <sub>2</sub> O <sub>3</sub> /Ag film	7 K	10-100 W/cm <sup>2</sup>	474 to 627	Plasmonic	[387]
InGaIn/GaN	Patterned Si	RT	500 KW/cm <sup>2</sup>	423	Fabry-Pérot	[388]
GaN	<i>c</i> -sapphire	RT	1055 KW/cm <sup>2</sup>	368	Fabry-Pérot	[389]
InGaIn/GaN	<i>c</i> -sapphire	RT	6.5 mJ/cm <sup>2</sup>	421.5 to 422.4	WGM	[390]
GaN	GaN/ <i>c</i> -sapphire	RT	410 KW/cm <sup>2</sup>	372	Fabry-Pérot	[391]
GaN	GaN/ <i>c</i> -sapphire	RT	440 KW/cm <sup>2</sup>	364.7	Polariton	[392]
InGaIn	GaN/ <i>c</i> -sapphire	RT	~0.65 MW/cm <sup>2</sup>	429 to 525	2-D DFB	[393]

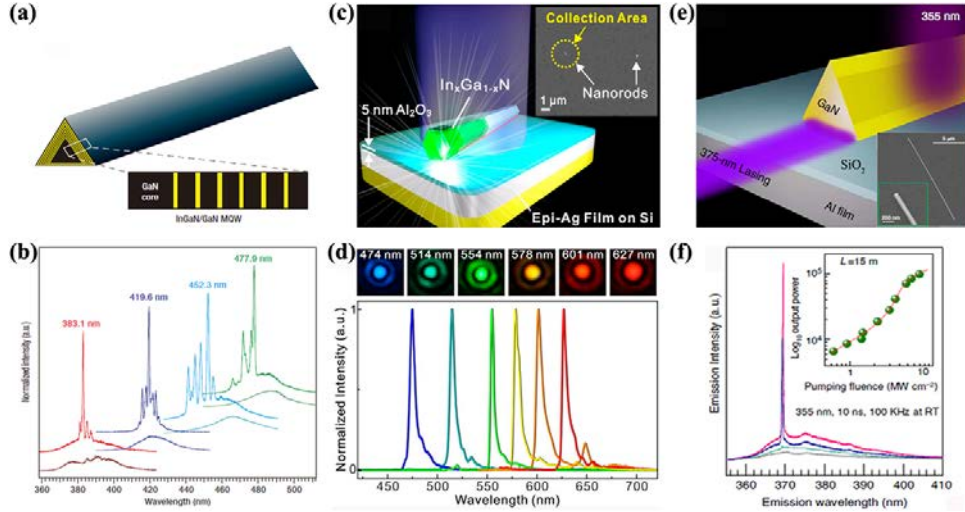


Figure 43(a)-(b) Schematic of an InGaN/GaN MQW NW and corresponding cross-sectional view of a NW facet highlighting the MQW structure (a), and normalized PL spectra of four representative 26 MQW NW structures with the increase of In composition pumped 250 and 700 kW/cm<sup>2</sup>, respectively (b);[370] (c)-(d) schematic of the nanolaser structure based on a single InGaN/GaN NR and the SEM image on the upper right supplied a collection area of ~3 μm in diameter using a focused excitation laser beam (c), tunable color single-mode lasing images obtained from single NR (d);[387] (e)-(f) schematic of the nanolaser device based on a GaN NW with a triangular cross-section and inset showing the SEM image of a GaN NW on SiO<sub>2</sub>/Al film (e), and power-dependent PL emission spectra, inset exhibited the relationship of integrated PL emission and the pumping intensity (f).[386]

In 2002, Johnson et al.[151] reported the optically pumped RT laser emission (~375 nm) from a single GaN NW. The localization of bright emission at the two ends of the NW suggested strong waveguiding behavior, and the cavity modes were Fabry-Pérot (axial) rather than WGMs. Since then, much attention was paid to decrease the optically pumped lasing threshold of GaN NWs laser with Fabry-Pérot cavity. Gradečak et al.[367] demonstrated a low lasing threshold density of 22 kW/cm<sup>2</sup> of optically pumped lasing in MOCVD GaN NWs with a nonpolar <11-20> growth direction. These NWs functioned as free-standing Fabry-Pérot cavities with triangular cross-sections, dislocation-free structures, uniform diameters, and *n*-type doping. Later on, Qian et al.[370] realized the multi-mode tunable nanolasers from InGaN/GaN MQW core/shell NWs, as shown in Figure 43(a) and (b). The heterostructure consisted of single crystalline GaN NW cores and InGaN/GaN MQW shells.

The GaN cores acted as the optical cavity, and the MQW shells served as a composition-tunable gain medium. Such heterostructure contained 3 to 26 QWs, and the diameters and lengths of the NWs were 200-400 nm and 20-60  $\mu\text{m}$ , respectively. Tunable lasing was achieved ranging from 383 nm to 478 nm by increasing the In contents.

Compared to the most reported GaN NW lasers with multi-mode operation, the development of NW lasers with single-mode operation is critical for realizing the practical applications in constructing nanoscale photonic devices and optoelectronic circuits.[394] Till to now, much progress has been made on single-mode operation based on GaN NWs.[378, 380-381, 383-384, 395] Li et al.[380] demonstrated the RT single-mode linewidth of  $\sim 0.12$  nm and  $>18$  dB side-mode suppression ratio GaN NW lasers operating far above lasing threshold, where the GaN NWs were obtained by a top-down approach from *c*-plane GaN epilayers on sapphire. Each laser consisted of a linear, double-facet GaN NW functioned as gain medium and optical resonator. Xu et al.[381] reported that the side-by-side contacted GaN NW-pair showed a single-mode laser at 370 nm with a threshold of  $874 \text{ kW/cm}^2$ . The coupled NW-pair provided a mode selection mechanism through the Vernier effect. Later on, mode-selection by coupling a GaN NW laser to an underlying gold substrate was demonstrated by Xu et al.[378] The single mode behavior was converted from multimode lasing of GaN NWs following placement onto a gold film. The single-mode GaN NW laser also obtained by controlling the gap sizes of cleaved-coupled cavities in GaN NWs[383] and externally coupling GaN NWs to a dielectric grating.[395]

In addition, broadband-tunable III-nitride semiconductor nanolasers with ultralow lasing threshold offer the promising applications in all-optical, on-chip information processing, miniaturized smart display technology, and super-resolution biomedical imaging. In this regard, Lu et al.[387] realized tunable color plasmonic lasers in full visible spectrum based on MOS ( $\text{Ag/Al}_2\text{O}_3/\text{InGaN}$ ) structure. InGaN/GaN NWs consisted of a GaN bottom section and a top InGaN section with a spontaneously formed InGaN shell, as shown in Figure 43(c) and (d). The lasing color could be tuned from blue (474 nm) to red (627 nm) under optical pumping through a novel autotuning mechanism, which was resulted from the interplay between the dispersions of the plasmonic resonator material and the gain medium in a gain-medium-loaded plasmonic nanoresonator. Meanwhile, UV nanolasers (200 - 400 nm)

were attracted tremendous attention on the basis of the important applications from laser therapy to optical storage. Usually, the emission wavelength in the UV spectral region could be obtained by plasmonic lasers. However, some obstacles, including high ohmic, radiation losses, and bending-back effect,[396] hindered the realization of UV plasmonic lasers. Zhang et al.[386] reported the RT UV plasmonic nanolaser of GaN NW with a triangular cross-section as illustrated in Figure 43(e) and (f). Under pumping source of ~355 nm, a sharp peak with ~0.8 nm linewidth was observed as the increase of pumping intensity. The S-shaped light output intensity vs. the pumping intensity supplied a direct evidence of lasing, and the lasing threshold was ~3.5 MW/cm<sup>2</sup>.

Moreover, the laser wavelength based on III-nitride NWs can be tuned by manipulating the dielectric constant in a photonic crystal structure.[374, 384] Wright et al.[384] demonstrated the single-mode InGaN/GaN NW photonic crystal lasers by utilizing the broad gain-bandwidth of InGaN/GaN MQW heterostructures through the top-down approach. By employing two different gain sections, InGaN MQWs with an emission peak of ~430 nm and InGaN under-layer with 385 nm emission wavelength, the obtained single-mode lasing could be tuned in the blue-violet spectral range from 380 to 440 nm.

#### **4.3.2 Electrically injected NW lasers**

Electrically injected semiconductor NW lasers can be widely utilized in applications ranging from telecommunications and information storage to medical diagnostics and therapeutics.[397] In the past several years, much attention was paid to develop electrically injected low threshold III-nitride NW lasers at RT under continuous wave biasing conditions, due to the high threshold current densities (up to kA/cm<sup>2</sup>) of conventional planar GaN-based laser devices.

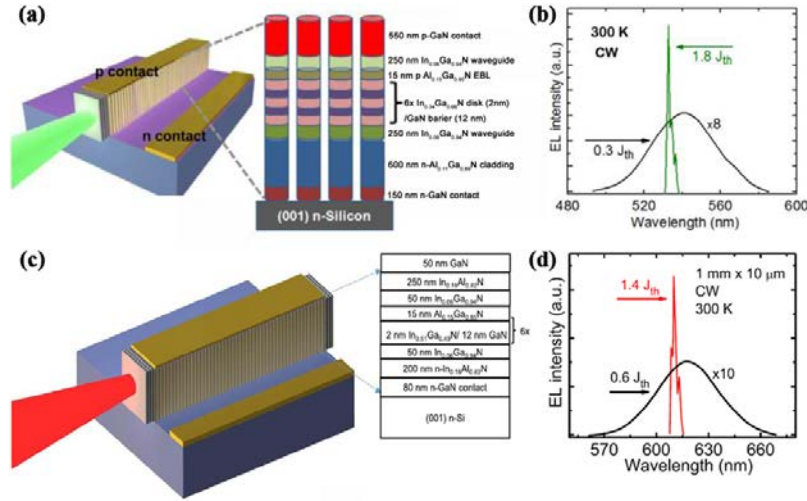


Figure 44(a)-(b) Schematic of the green NW laser heterostructure and the EL spectra under cw bias above and below threshold;[398] (c)-(d) schematic representation of the red NW laser heterostructure and EL spectra under cw bias above and below threshold.[399]

Recently, the electrically injected lasers of 3D III-nitride quantum-confined NW heterostructures were realized in the spectral range from red,[399] green[398] to UV-A,[400] UV-B,[401] and UV-C[402] through designing the heterostructures, tuning the compositions, and optimizing the NW diameter and fill factors. Bhattacharya's group demonstrated the electrically pumped green and red edge-emitting lasers from the InGaN/GaN disk-in-NW heterostructure on Si (001) substrates,[398-399] as illustrated in Figure 44. The gain medium of the green and red laser heterostructures consisted of 6  $\text{In}_x\text{Ga}_{1-x}\text{N}$  disks (2 nm) separated by 12 nm GaN barriers inserted in the center of the waveguide layer. The optimized area density of NW arrays for both laser structures was  $2 \times 10^{10} \text{ cm}^{-2}$ . The laser color was tuned by increasing the In fraction in the  $\text{In}_x\text{Ga}_{1-x}\text{N}$  disks in the active region from 0.34 to 0.51. The linewidths of the green ( $\lambda = 533 \text{ nm}$ ) and red ( $\lambda = 610 \text{ nm}$ ) laser peaks were  $\sim 0.8 \text{ nm}$  and  $\sim 0.9 \text{ nm}$ , respectively, and the threshold current densities of green and red laser were  $1.76 \text{ kA/cm}^2$  and  $2.9 \text{ kA/cm}^2$ , respectively.

Theoretically, the electrically pumped laser of AlGaN-based lasing structure can span from UV-A (320 - 420 nm), UV-B (280 - 320 nm), to UV-C (100 - 280 nm) according to the tunable direct bandgap of AlGaN from 3.4 eV to 6.2 eV. However, due to the wide bandgap and large effective mass of AlGaN materials, it is still challenging to achieve electrically injected UV or DUV lasers, which usually required carrier densities on the order of  $10^{19} \text{ cm}^{-3}$  to meet transparency conditions. Low-dimensional quantum-confined nanostructures with low

defects and dislocations and efficient *p*-type conduction can effectively reduce the carrier density and the laser threshold. In this regard, tunable-color electrically injected lasers in the spectra range from UV-A to UV-C were fabricated by utilizing AlGaIn NWs, as illustrated in Figure 45.[400-402] Li et al.[400] reported the electrically pumped AlGaIn core-shell NW lasers in the UV-A (~315-340 nm) range. The NW consisted of AlGaIn *p-i-n* layer, *n*- and *p*-GaIn contact layers, and AlGaIn shell. By adjusting current densities at 6 K, two discrete lasing peaks located at ~332.7 nm and 334.1 nm were observed, and the lasing threshold current density was estimated to be ~12 A/cm<sup>2</sup>, as provided in Figure 45(a)-(c). Zhao et al. reported the electrically injected UV-B (~289 nm)[401] and UV-C (~262.1 nm)[402] lasers of the similar AlGaIn NW heterostructures by adjusting Al content as shown in Figure 45(d)-(i). Under different injected currents, the lasing peaks centered at ~289 nm and ~262.1 nm were obtained, and the lasing threshold current was ~300 A/cm<sup>2</sup> at RT and 200 A/cm<sup>2</sup> at 77 K, respectively. All of the UV A-C lasers by employing AlGaIn NW heterostructures showed a low threshold current because of the nearly defect-free AlGaIn NWs, the existence of QD-like nanostructures, and the high-Q optical cavity.

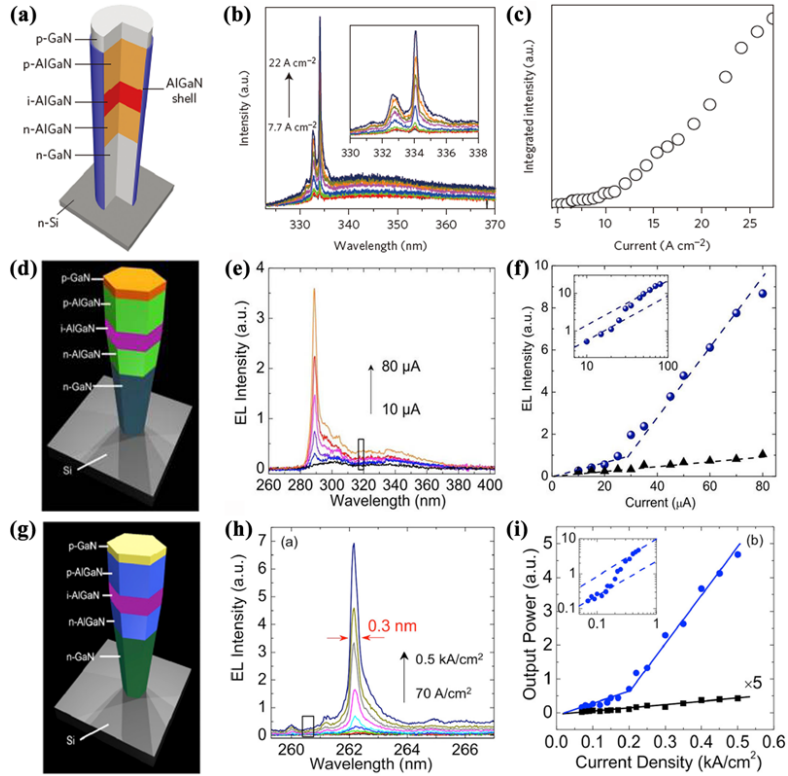


Figure 45(a)-(c) The schematic of AlGaIn NW double-heterostructures (a), 6K EL spectra under different current densities (b), inset showing the enlarged view of the lasing spectra,

and integrated intensity of the lasing peak at 334.1 nm as a function of injection-current density (c);[400] (d)-(f) schematic of AlGaIn NW heterostructures (d), RT EL intensity under different injection currents (e), and the EL intensity-current curve of the 289 nm lasing peak (blue circles) (f);[401] (g)-(i) schematic of the AlGaIn NW laser structure (g), 77K EL spectra measured at under different injection currents (h), and EL intensity as a function of the injection current density of the 262.1 nm lasing peak (blue circles) (i).[402]

#### 4.4 Artificial photosynthesis

The efficient, sustained oxidation of water is a critical component of a renewable, sustainable, and environment-friendly fuels generator. An approach to effectively capture solar energy and directly convert it into chemical fuels is attractive for energy storage and transportation applications. This solar-to-fuel process is termed artificial photosynthesis. There are two typical categories of chemical reactions to produce fuels, such as splitting water into H<sub>2</sub> and O<sub>2</sub>, and reducing CO<sub>2</sub> into organic molecules, as the schematic illustration in [Figure 46\(a\) and \(b\)](#). Photoelectrochemical (PEC) and photochemical (PC, or photocatalytic) are two general approaches for solar water splitting.[403-404] The artificial photosynthesis process not only requires the absorption and charge separation, but also utilizes excited charge carriers to promote electrochemical reactions in an efficient behavior. To overcome the thermodynamic barrier, splitting water into hydrogen and oxygen required at least 1.23 V of potential difference, which could be expressed as  $\text{H}_2\text{O} + 1.23 \text{ V} \rightarrow \text{H}_2 + 1/2\text{O}_2$ . To achieve high efficiency, it is more desirable to employ two light-absorbers with smaller band gaps to provide the necessary voltage for the electrochemical reaction. As illustrated in [Figure 46\(c\)](#), the bandgap of most of the metal/nonmetal-nitrides straddle the redox potential of water, with sufficient kinetic overpotentials for water redox reactions and CO<sub>2</sub> reduction to various hydrocarbons. The group III-nitride semiconductors possess tunable bandgap and excellent fundamental characteristics, such as excellent chemical stability, high absorption coefficient, and mobility. The development of semiconductor nanostructures supplies new opportunities to improve the performance, reliability, and scalability of artificial photosynthesis devices. In these regards, group III-nitride nanostructures have been considered as a new class of



materials for the applications of artificial photosynthesis.

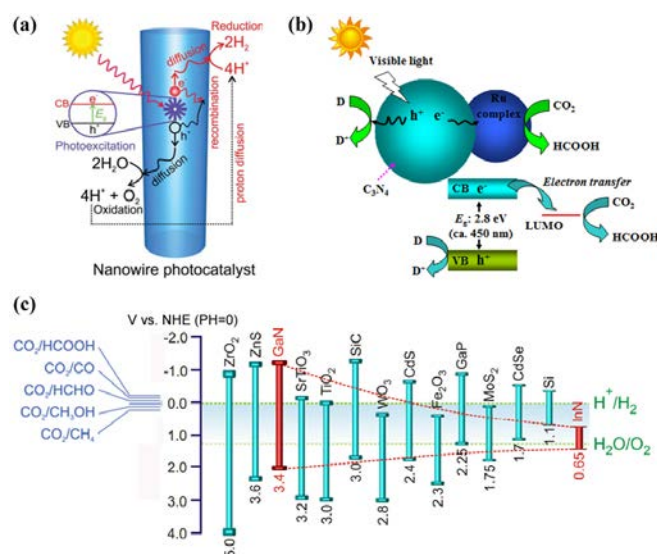


Figure 46(a) Schematic illustration of the main process steps in water splitting, including photoexcitation, carrier generation, diffusion, recombination, water oxidation, proton diffusion, and reduction reaction on the surface of nanowire photocatalysts;[405] (b) schematic of photochemical  $\text{CO}_2$  reduction to  $\text{HCOOH}$  using a Ru complex/ $\text{C}_3\text{N}_4$  hybrid photocatalyst;[406] (c) band edge positions of commonly reported nitride photocatalysts. The oxidation and reduction potentials of water are also shown (green dotted lines). The red dotted line represents the band edge positions of  $\text{In}_x\text{Ga}_{1-x}\text{N}$  with  $x$  increasing from left to right (0 - 1). The reduction potentials of  $\text{CO}_2$  to various value added products were also shown.[405, 407]

#### 4.4.1 Photochemical (PC) water splitting

Jung et al.[408] demonstrated the comparative study of photocatalytic activity of GaN NWs, submicron dots, and thin film. They found that the PC activity of GaN NWs was much better than that of GaN submicron dots and thin films by measuring the amount of photodegraded dye solution. The enhanced PC performance of the GaN NWs was attributed to the large surface area and high crystallinity of the NWs. Thereafter, considerable efforts have been devoted to the study of PC performance of group III-nitride nanostructures. Since Wang et al.[409] demonstrated the achievement of wafer-level PC overall neutral water splitting on GaN NWs with the decoration of Rh/ $\text{Cr}_2\text{O}_3$  core-shell nanoparticles in 2011, various approaches, including multiband NW heterostructures,[410] doping-related defect energy

states,[272] engineering surface band bending,[407, 411] incorporating visible light-sensitive dyes on NWs,[412] were developed to achieve high-efficiency one-step H<sub>2</sub> production from overall pure water splitting under visible-light.

The efficiency of multi-bandgap heterostructure-based photosystem was reported to have significantly higher level than that of single bandgap system because of better matching and utilization of the incident solar spectrum.[413] For example, water splitting performance of a triple-band InGaN/GaN NW heterostructures, decorated with rhodium (Rh)/chromium-oxide (Cr<sub>2</sub>O<sub>3</sub>) core-shell nanoparticles, was studied by Kibria et al.[410], as depicted in Figure 47(a) and (b). The triple-band NW was composed of bandgaps of 3.4, 2.96, and 2.22 eV by tuning the In incorporation during the epitaxial growth process. The Rh/Cr<sub>2</sub>O<sub>3</sub> core/shell nanoparticles decorated on the surface of the triple-band InGaN/GaN NW in order to perform stable overall neutral water splitting under UV, blue, and green light irradiation. Results elucidated that the PH (~7.0) of neutral water was invariant, indicating a balanced oxidation and reduction reaction of H<sub>2</sub>O. Moreover, the calculated H<sub>2</sub> production rate was ~ 92 mmolh<sup>-1</sup>g<sup>-1</sup>. The highest AQE (□ 1.86%) was achieved at □ 395 - 405 nm. The photocatalytic performance of Rh/Cr<sub>2</sub>O<sub>3</sub> deposited triple-band InGaN/GaN NW heterostructures was ascribed to the introduction of active reaction sites, suppression of charge carrier recombination, and enhancement in charge separation along the lateral dimension.

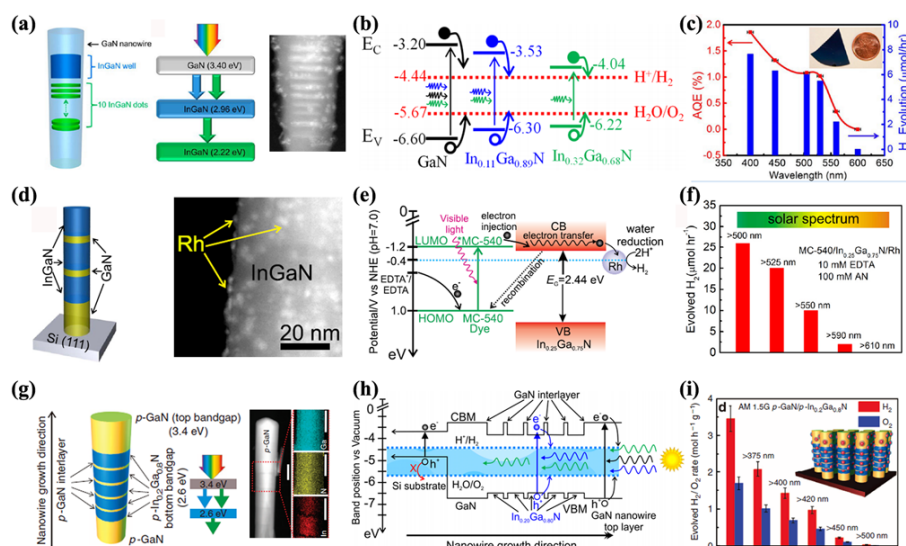


Figure 47 (a)-(c) Schematic of the InGaN/GaN NW heterostructure, the corresponding triple band and EELS spectrum image of Rh/Cr<sub>2</sub>O<sub>3</sub> deposited InGaN/GaN NW (a), conduction-/valence-band edge positions of GaN, In<sub>0.11</sub>Ga<sub>0.89</sub>N, and In<sub>0.32</sub>Ga<sub>0.68</sub>N (b), and apparent

quantum efficiency (AQE) and H<sub>2</sub> evolution rate as a function of the incident light wavelength (c);[410] (d)-(f) schematic of InGaN/GaN NW heterostructure and a high-resolution STEM-HAADF image of Rh nanoparticle-decorated InGaN NW (d), reaction mechanism of MC-540 dye-sensitized In<sub>0.25</sub>Ga<sub>0.75</sub>N/Rh NWs (e), and H<sub>2</sub> evolution from 10 mM EDTA and 100 mM AN mixture under 300W Xenon lamp (f);[412] (g)-(i) schematic of the double-band *p*-GaN/*p*-In<sub>0.2</sub>Ga<sub>0.8</sub>N NW heterostructure, the concept of double-band photocatalyst, and STEM-HAADF image (g), flat-band diagram of the GaN/In<sub>0.2</sub>Ga<sub>0.8</sub>N NW heterostructure (h), H<sub>2</sub> and O<sub>2</sub> evolution rates in overall water splitting with AM1.5G filter, the inset showing a schematic of core/shell Rh/Cr<sub>2</sub>O<sub>3</sub> nanoparticle decorated double-band NW photocatalyst.[411]

In order to more effectively utilize the longer wavelength ( $> 500$  nm) towards deep-visible and NIR solar spectrum, higher In composition of InGaN NWs is an alternative approach to extend the absorption edge. However, the development of high-quality InGaN with high In concent ( $> 50\%$ ) was extremely difficult due to the large lattice mismatch ( $\sim 11\%$ ) between InN and GaN, spinodal decomposition, In phase separation, and In surface segregation. Alternatively, Kibria et al.[412] demonstrated Merocyanine-540 (MC-540) dye-sensitized and Rh-decorated MBE-grown InGaN NWs to extend the solar absorption of InGaN NWs towards longer visible solar spectrum, as illustrated in Figure 47(d) and (e). The reaction mechanism was expressed as following: (1)  $\text{MC-540} + h\nu (\lambda > 500 \text{ nm}) \rightarrow {}^* \text{MC-540}$ , (2)  ${}^* \text{MC-540} \rightarrow \text{In}_{0.25}\text{Ga}_{0.75}\text{N} (e^-) + (\text{MC-540})^+$ , (3)  $\text{In}_{0.25}\text{Ga}_{0.75}\text{N} (e^-) \rightarrow \text{In}_{0.25}\text{Ga}_{0.75}\text{N} + \text{Rh}(e^-)$ , (4)  $\text{Rh} (2e^-) + 2\text{H}^+ \rightarrow \text{H}_2$ , (5)  $(\text{MC-540})^+ + \text{EDTA} (e^-) \rightarrow \text{MC-540} + \text{EDTA (oxidized)}$ . Thus, this work provided a viable approach to harness deep-visible and NIR solar energy for efficient and stable water splitting.

In addition, tuning near-surface band bending on group-III nitride nanostructures was another effective approach to realize high efficiency and stable overall water splitting under the UV and visible-light irradiation. The upward- (downward-) band bending was usually observed in the near surface region of *n*- (*p*-) type semiconductors, which generated a energy barrier for the migration of photogenerated electrons or holes towards the semiconductor-liquid interface.[414] As a result, the oxidation or reduction reaction was suppressed at the NW surface, thereby suppressing the overall water splitting efficiency. Kibrial et al.

demonstrated the improved quantum efficiency by tuning the near-surface band bending on *p*-GaN NWs.[415] The achievement of efficient and stable stoichiometric dissociation of water into H<sub>2</sub> and O<sub>2</sub> under visible light by eradicating the potential barrier on nonpolar surfaces of *p*-type InGaN: Mg NWs.[411] For the case of the photocatalytic performance of *p*-type GaN NWs, the APCE was enhanced to ~51% under 200 - 365 nm.[415] Subsequently, they developed and designed a double-band *p*-GaN: Mg/*p*-In<sub>0.2</sub>Ga<sub>0.8</sub>N:Mg NW heterostructure, as the schematic illustrated in Figure 47(g)-(i).[411] Flat band-gap diagram of the heterostructure exhibited the conduction-band and valence-band edge positions of GaN, In<sub>0.2</sub>Ga<sub>0.8</sub>N, and the underlying Si substrate. In comparison with the redox potentials of water (shown by the dotted lines), GaN and In<sub>0.2</sub>Ga<sub>0.8</sub>N possessed sufficient overpotential for oxidation and reduction of water. Under full arc illumination with AM1.5G optical filter, the NW heterostructure achieved an APCE of ~69% and AQE of ~12.3% in the range of 400 - 470 nm, and the solar-to-hydrogen (STH) conversion efficiency was estimated to be ~1.8%.[411]

#### 4.4.2 Photoelectrochemical (PEC) water splitting

In the past few years, diverse strategies were developed to modify PEC water splitting and H<sub>2</sub> generation performance using GaN-based nanostructures. Hwang et al.[416] studied the PEC performance of In<sub>x</sub>Ga<sub>1-x</sub>N ( $x=0.08-0.1$ ) NWs grown on planar Si and Si NW arrays substrates as the photoanode, as shown in Figure 48(a) and (b). As presented in Figure 48(c), the InGaN/Si hierarchical arrays achieve a photocurrent at an onset voltage of 0.1 V *vs.* RHE, and reached a photocurrent density of 33  $\mu\text{A}/\text{cm}^2$  at 1.23 V *vs.* RHE, which is 5 times higher than the NW arrays grown on planar Si substrates, because of a higher surface area for the electrochemical reaction and increased optical pathway in the hierarchical arrays. Similarly, Beaton et al.[417] demonstrated that the GaN NR arrays based PEC system exhibited a significant enhancement with a factor of 6 in photocurrent density compared to planar GaN photoelectrode.

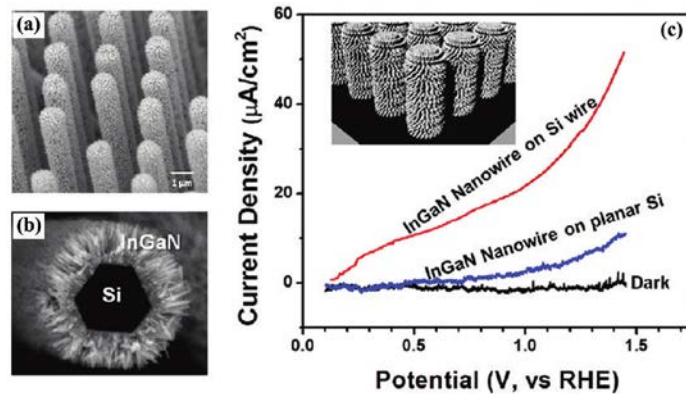


Figure 48 (a) Tilted and (b) cross-section SEM images of Si/In<sub>x</sub>Ga<sub>1-x</sub>N NW arrays on Si(111) substrate; (c) photocurrent density plots of hierarchical Si/In<sub>x</sub>Ga<sub>1-x</sub>N (red) and planar Si/In<sub>x</sub>Ga<sub>1-x</sub>N (blue) under 100 mW/cm<sup>2</sup> and dark, inset showing the schematic of Si/In<sub>x</sub>Ga<sub>1-x</sub>N NW arrays.[416]

The incorporation of dopants in III-nitride nanostructures was a feasible approach to improve the PEC performance of water splitting.[418-420] Wallys et al.[418] reported the electrochemical performance of Si- and Mg-doped GaN NWs. AlOtaibi et al.[421] investigated the PEC properties of undoped and Si-doped GaN NW arrays in HBr and KBr electrolytes. Under ~350 nm light illumination, maximum IPCE of ~15% and 18% were measured for undoped and Si-doped GaN NWs, respectively. Kamimura et al.[420] reported PEC properties of *n*- and *p*-type InGaN NWs for water splitting using *in situ* electrochemical mass spectroscopy (EMS). The IPCE of *p*-type In<sub>0.04</sub>Ga<sub>0.96</sub>N NWs was around 40% at a bias potential of -0.45 V/NHE with monochromatic light using band-pass filters for a wide spectra range (up to 750 nm). Both photocurrent and H<sub>2</sub> evolution were stable for 60 min.

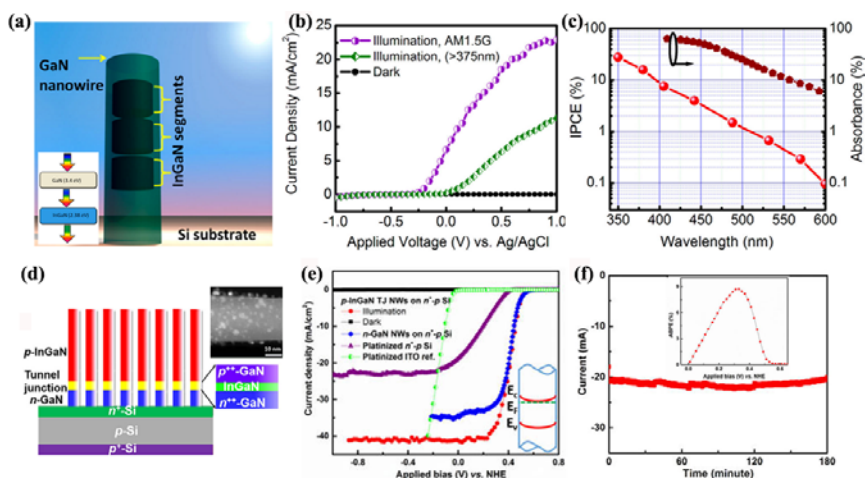


Figure 49 (a)-(c) Schematic of InGaN/GaN core/shell NW structures and the double band (a),

variations of the current density with applied voltage (vs Ag/AgCl) in 1 mol/L HBr under AM1.5G illumination (b), and IPCE of InGaN/GaN NW photoelectrodes measured at an applied bias of 1 V (vs Ag/AgCl) in 1 mol/L HBr in log scale (c); [422] (d)-(f) the schematic of InGaN TJ NWs on n+-p Si substrate and the STEM image of Pt nanoparticles-decorated InGaN TJ NW (d), the change of current density with applied voltage versus NHE for the NWs in 1 M HBr solution under different conditions (e), and stable photocurrent for 3 h at an applied bias of 0.06 V versus NHE under 1.3 sun of AM1.5G illumination, inset showing the ABPE of the photocathode formed by InGaN TJ NWs.[423]

Various III-nitride heterostructures, including InGaN/GaN core-shell NWs,[422] NiO decorated InGaN/GaN NRs,[424] InGaN/GaN nanoporous structure,[425] 3D GaN-InGaN core-shell NRs array,[<sup>426</sup>417] InGaN/GaN MQW coaxial NWs,[427-428] and InN/InGaN QDs,[429] have been designed and fabricated to enhance the absorption of visible solar spectrum. AlOtaibi et al.[422] demonstrated the stable PEC water splitting and H<sub>2</sub> evolution under UV and visible light irradiation using PAMBE-grown *n*-type InGaN/GaN core/shell double-band NW photoanode in HBr solution, as illustrated in Figure 49(a)-(c). A current density of ~23 A/cm<sup>2</sup> under AM 1.5 G illumination was obtained at 1 V, and an IPCE of 27.6% was achieved at 350 nm at 1V vs. Ag/AgCl. Moreover, no visible photo-oxidation of InGaN/GaN NW photoelectrode could be observed after 24h measurements in 1 mol/L HBr electrolyte due to the relatively high quality of InGaN/GaN NW structures. Benton et al.[424] observed a PEC reduction from MOCVD-grown InGaN/GaN NRs photoelectrode in NaOH electrolyte. The addition of NiO nanoparticles suppressed the PC etching of the NWs and the carrier recombination, promoting oxidation reaction on its surface rather than on the NW surface. Benton et al.[425] reported water splitting application using GaN and InGaN/GaN nanoporous structures with pore sizes ranging from 25-60 nm, which were obtained by PEC etching in KOH solution. The nanoporous structures showed a higher photocurrent density (~4 fold) than that of as-grown planar devices, and the IPCE of InGaN/GaN device reached 46% at 355 nm. Caccamo et al.[426] investigated the PEC performance of 3D GaN/In<sub>x</sub>Ga<sub>1-x</sub>N core/shell rod arrays with In concentration of ~0.3. The 3D GaN/In<sub>x</sub>Ga<sub>1-x</sub>N heterostructures showed improved PEC properties than that of GaN NRs. Ebaid et al.[427] investigated the PEC water splitting performance of InGaN/GaN MQW coaxial NW photoanode. By carefully



tuning the QW thickness in coaxial NWs, an IPCE attained a maximum value of ~15% at 1V under 350 nm UV light illumination. Recently, Alvi et al.[429] reported the PEC water splitting efficiency of epitaxial InN QDs decorated In<sub>0.54</sub>Ga<sub>0.46</sub>N photoelectrode was double higher than that of In<sub>0.54</sub>Ga<sub>0.46</sub>N photoelectrode, and a maximum IPCE of InN/In<sub>0.54</sub>Ga<sub>0.46</sub>N photoelectrodes achieved a value of 56% at 1 V vs.Ag/AgCl at 600 nm with photostability.

To achieve high solar-to-hydrogen efficiency, compared to single light absorber, a dual/multiple light absorbers have been employed to absorb a wide range light of solar spectrum, reducing the operation voltage for PEC water splitting.[423, 430-431] Fan et al.[423] reported the fabrication and performance investigation of a dual absorber photocathode, consisting of *p*-InGaN/tunnel junction/*n*-GaN NW arrays and a Si solar cell wafer, as illustrated in Figure 49(d)-(f). The onset potential of InGaN TJ NWs on *n*<sup>+</sup>-*p* Si substrate was at  $V_{\text{NHE}}=0.5$  V for the current density of -2 mA/cm<sup>2</sup> and a saturated photocurrent density reached -40.6 mA/cm<sup>2</sup> at  $V_{\text{NHE}}=0.26$  V. Moreover, maximum applied bias photon-to-current efficiency (ABPE) of 8.7% was obtained at  $V_{\text{NHE}}=0.33$  V under 1.3 sun of AM1.5G illumination. The current remained nearly constant and showed a high-level stability for the duration of 3h. Most recently, Fan and co-workers further demonstrated the optimized PEC performance of an InGaN/Si double-band photoanode, with the nearly ideal bandgap configuration of 1.75/1.13 eV for maximum solar-to-hydrogen conversion.[431]

#### 4.4.3 PC/PEC CO<sub>2</sub> reduction

At present, various strategies were developed to obtain high conversion efficiency of CO<sub>2</sub> into usable energy, including (1) doped (Ge and Mg) Ga(In)N NW arrays for tunable photocatalytic activity of CO<sub>2</sub> reduction;[432-433] (2) depositing Pt or Rh/Cr<sub>2</sub>O<sub>3</sub> core-shell nanoparticles on the surface of GaN NWs;[434] (3) monolithically integrating GaN NWs/Si solar cell photocathode.[435] AlOtaibi et al.[434] demonstrated the photocatalytic activity of the nonpolar surfaces of GaN NWs for the PC reduction of CO<sub>2</sub> into CH<sub>4</sub> and CO under UV light irradiation, as shown in Figure 50(a)-(f). The comparative study of CH<sub>4</sub> and CO production of bare GaN NWs, Pt and Rh/Cr<sub>2</sub>O<sub>3</sub> core-shell nanoparticles decorated GaN NWs showed that the CH<sub>4</sub> production was improved and the CO production was suppressed by an



order of magnitude with Rh/Cr<sub>2</sub>O<sub>3</sub> core-shell nanoparticles decoration. The enhancement of CH<sub>4</sub> production was attributed to the effective adsorption and hydrogenation of CO<sub>2</sub> molecules on Rh/Cr<sub>2</sub>O<sub>3</sub>, and the decrease of CO production was ascribed to the photoexcited electrons collected by Rh/Cr<sub>2</sub>O<sub>3</sub> nanoparticles. CH<sub>4</sub> production of Pt nanoparticles-decorated GaN NWs exhibited an order of magnitude higher than that of bare GaN NWs. Linear increase of both CO and CH<sub>4</sub> production indicated stable photocatalytic CO<sub>2</sub> reduction. AlOtaibi et al.[433] further demonstrated the photoreduction of CO<sub>2</sub> into methanol (CH<sub>3</sub>OH) using unpolar InGaN/GaN NWs as the photocatalysts. A conversion rate of 0.5 mmol g<sub>cat</sub><sup>-1</sup> h<sup>-1</sup> for CO<sub>2</sub> into CH<sub>3</sub>OH was achieved under visible light (>400 nm) illumination. Additionally, the photocatalytic activity of CO<sub>2</sub> reduction was enhanced by incorporating a small amount of Mg dopant.

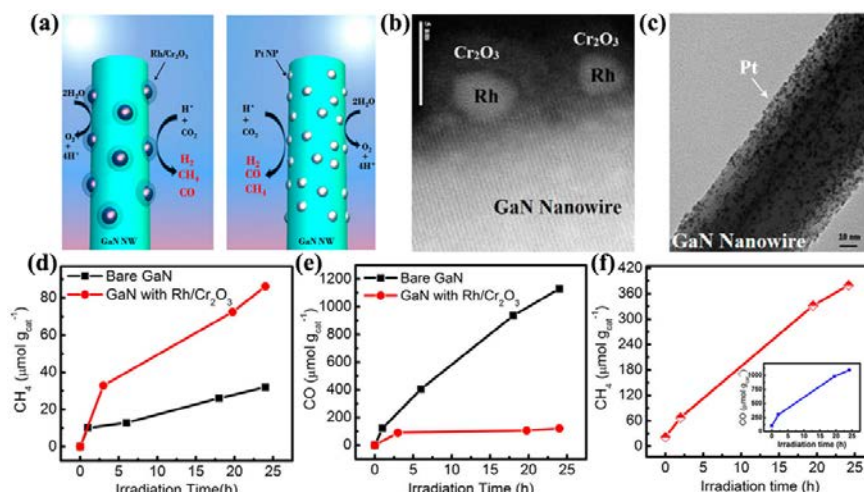


Figure 50 (a) Schematic of the photoreduction of CO<sub>2</sub> on Rh/Cr<sub>2</sub>O<sub>3</sub> (b) and Pt (c) nanoparticles-decorated GaN NWs; (d) CH<sub>4</sub> and (e) CO production on bare and Rh/Cr<sub>2</sub>O<sub>3</sub> core/shell nanoparticles-decorated GaN NWs as a function of illumination time; (f) CH<sub>4</sub> evolution over Pt-decorated GaN NWs, and inset showing the CO generation.[425]

Although efforts have been devoted to improving the CO<sub>2</sub> conversion efficiency, there is still a long way to realize the goal with the help of utilization of nanostructured III-nitride photocatalysts and photoelectrodes under UV, visible and NIR solar spectrum in the near future.

## 4.5 Field emitters

Field emission (FE) is based on a physical phenomenon of quantum tunneling in which the electrons are injected from the surface of materials into vacuum under the influence of an external applied electrical field. The FE mechanism is explained by the the Fowler and Nordheim (FN) theory. The FE properties are highly dependent on both the properties and morphology of the material and the shape of the particular cathode,[436] such as electron affinity, work function, conductivity, aspect ratio, growth density, morphology, and so on. The current density produced by a given electric field is described by the FN equations:[437]

$$J = \left( A\beta^2 E^2 / \phi \right) \exp \left( -B\phi^{3/2} / \beta E \right) \quad (16)$$

$$I = S \times J, \quad E = V / d \quad (17)$$

$$\text{or } \ln \left( J / E^2 \right) = \ln \left( A\beta^2 / \phi \right) - B\phi^{3/2} / \beta E \quad (18)$$

where A and B are constants ( $A = 1.54 \times 10^{-6} \text{ A eV V}^{-2}$ ,  $B = 6.83 \times 10^3 \text{ eV}^{-3/2} \text{ V } \mu\text{m}^{-1}$ ), S is the emitting area, V is the applied potential, I is the emission current,  $\beta$  is the field-enhancement factor, d is the distance between a sample and the anode, and  $\phi$  is the work function of the emitting materials. From FN model and field-enhancement factor,  $\beta$ , materials with low or negative affinity, low work function, elongated geometry and sharp tips or edges are expected to have a great emission current. Figure 51 illustrated the phenomenon of FE obtained from a nanostructure.

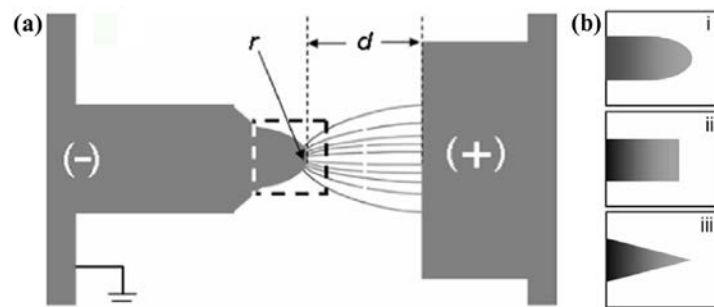


Figure 51 (a) The FE phenomenon at the tip of an emitter of a nanostructure; (b) different tip geometry of an emitter, such as (i) round tip, (ii) blunt tip, and (iii) conical tip.[427]

To date, the FE performances of group III-nitride nanostructures with controlled composition[36, 66] and morphology,[166, 438] doping,[439] surface docraton,[440-441] patterned growth,[116] thermal treatment,[442] using conductive substrates,[18, 20, 443]

were investigated. Ji et al.[58] reported the temperature-dependent FE performance of CVD-grown AlN nanotips [Figure 52(a)]. The  $E_{to}$  and  $E_{thr}$  decreased gradually with an increase in temperature from 300 to 573 K. Meanwhile, the emission current density increased with the temperature rising. The decrease of  $E_{to}$  and the increase of emission current density with the increase in the temperature was due to the decrease in the effective work function of the AlN nanotips. The field enhancement factor  $\beta$  was estimated to be 483 - 1884 as the temperature increased from 300 - 573 K, and the corresponding effective work function was calculated to be 0.060 - 0.024 eV. The emission current fluctuation had been found to be less than 8% at 8.0 V/ $\mu$ m in 1000 min. Our group demonstrated the excellent FE performance of CVD-grown AlN nanotips on conductive carbon cloth.[18] By employing the flexible and conductive carbon cloth, the  $E_{to}$  of the AlN nanotips reduced to be as low as 1.1 V/ $\mu$ m, and the field enhancement factor was as high as 6895, indicating that the AlN nanostructures grown on carbon cloth were promising for the nanoscale flexible electronics. The CVD-grown AlN NWs on graphite sheet and AlGaN NWs on carbon cloth with improved FE performance were also demonstrated.[20, 66]

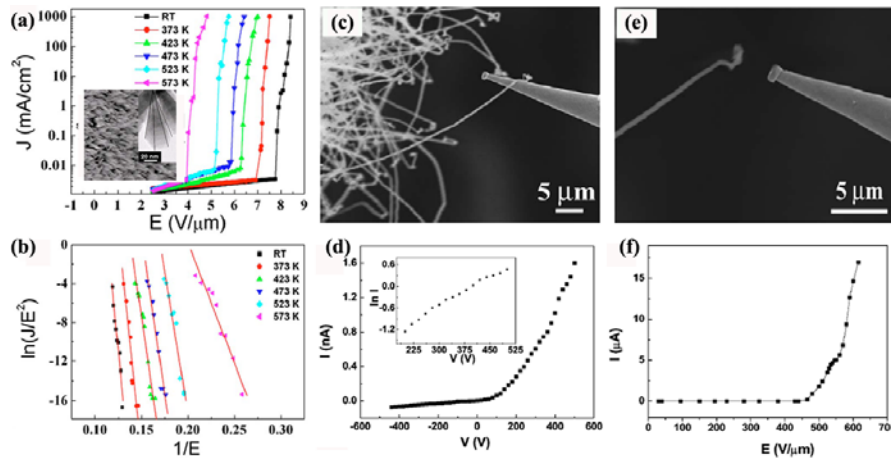


Figure 52(a)-(b)  $J$ - $E$  plot of the AlN nanotips under different temperature, inset showing the SEM image of the sample (a), and the corresponding FN relationship of  $\ln(J/E^2)$ - $1/E$  plot (b);[58] (c)-(f) The SEM images of individual AlN NW and the tungsten probe during the conductivity measurement (c) and the FE measurement (e), representative electrical transportation curve of a single AlN NW, inset showing  $I$ - $V$  curve (d), and the FE  $I$ - $E$  curve of a single NW (f).[166]

In addition to the electron affinity and aspect ratio, the electrical conductivity is also

necessary to be considered in the FE properties of III-nitride nanostructures, and can be measured by studying single nanostructure. In this regard, Liu et al.[166] investigated the electrical transport and FE performance of an individual ultra-long AlN NW. *In situ* conductivity and FE measurements on individual ultra-long AlN NW were performed in a modified SEM system, as shown in Figure 52(c) and (e). As the *I-V* and *I-E* curves of the individual AlN NW described in Figure 52(d) and (f), an average conductivity was estimated to be  $2.7 \times 10^{-4} \Omega^{-1} \text{ cm}^{-1}$ , and mean electric field was 440 V/ $\mu\text{m}$  for 1 nA and 480 V/ $\mu\text{m}$  for 1  $\mu\text{A}$ , respectively. Although the electric conductivity and FE of the AlN NW were not good enough for FE applications, the work offered an effective approach to find the possible factors which might influence the FE behaviors.

In general, the turn-on ( $E_{to}$ ) and threshold electric fields ( $E_{thr}$ ), which are defined as the electric field ( $E$ ) values required to produce a current density of  $10 \mu\text{A cm}^{-2}$  and  $1 \text{ mA cm}^{-2}$ , respectively, are usually employed to assess the FE performance. The FE performance of group III-nitride nanostructures was compared and listed in Table 11.

**Table 11 FE properties of group III-nitride nanostructures**

Material	Morphology	$E_{to}$ @ $10 \mu\text{A cm}^{-2}$ (V/ $\mu\text{m}$ )	$E_{thr}$ @ $1 \text{ mA cm}^{-2}$ (V/ $\mu\text{m}$ )	$\beta$	Ref.
AlN	nanowires	~0.67	~0.74	$8.2 \times 10^4$	[180]
	nanotips	10.8(12.2)	13.6(15.2)	367(317)	[62]
	nanoneedles	3.1	~8.5	748	[444]
	nanorods	8.8	19.2 (10.31 mA $\text{cm}^{-2}$ )	565	[445]
	nanoplatelets	3.2-5.0	7.8-12.1 (10 mA $\text{cm}^{-2}$ )	1015-1785	[446]
	nanotubes	0.8	3.0	8000	[447]
	nanotips	6	10 (0.22 A $\text{cm}^{-2}$ )	—	[448]
	nanococones	17.8	>40	340	[449]
	nanococones	4.8-15.2	11.2-29.5	436-1561	[116]
	Core-shell nanococones	7.8-15.2	14.8-23.1	—	[441]
	Si-doped nanoneedles	1.8	4.6 (10 mA $\text{cm}^{-2}$ )	3271	[450]
	Aligned nanorods	2.25	3.58	784	[451]
	Multilayer nanostructures	2.7	7.1	—	[176]
	Ultra-lone nanowires	4.5-6.5	10.4-15.5	1138-1997	[166]
	CsI-decorated nanostructures	7.0-14.2	13.6-28.6	—	[440]

GaN	Doped nanocones	7.07-8.09	12.84-15.96	—	[439]
	nanocones	3.2-7.9	—	286-484	[438]
	nanotips	3.9-7.7	4.1-7.9	483-1884	[58]
	nanowires	4.9-5.8	6.7-8.4	607-825	[20]
	Radical nanotips	1.1-2.3	1.5-2.5	847-6895	[18]
	nanowires	12	14(0.02 mA cm <sup>-2</sup> )	—	[452]
	nanorods	9.5(0.2 $\mu$ A cm <sup>-2</sup> )	18	—	[453]
	nanowires	3.96	—	1050	[454]
	nanowires	8.4	10.8 (0.96 mA cm <sup>-2</sup> )	474	[455]
	Durian-like nanostructures	8.24	10.18	—	[456]
	nanograss	7.82	8.96	—	[457]
	nanowires	4.39	12.3	2087	[458]
	nanocolumns	2.5, 2.6	4.7, 5.1	3959, 9725	[61]
	Conical-like nanorods	3.35	4.45	926	[459]
	nanocables	1.4 (0.1 $\mu$ A cm <sup>-2</sup> )	3.4(0.1 mA cm <sup>-2</sup> )	1400	[460]
	Aligned nanoneedles	2.1	4.5	2835	[461]
	P-doped microtube	2.9	9.5(3 mA cm <sup>-2</sup> )	2774	[462]
	nanofingers	9.7	—	156	[235]
	InN nanotips	0.9	—	69000	[463]
	InN nanocones	12.5	30.4	1465	[63]
AlGaN	nanocones	7.35-16.5	13.89-30	—	[36]
	nanowires	1.6-3.6	2.1-4.2	423-6032	[66]
InGaN	nanowires	10 (2.8 $\mu$ A cm <sup>-2</sup> )	24(2.9 mA cm <sup>-2</sup> )	640	[147]

#### 4.6 Solar cells

A solar cell, also called a photovoltaic (PV) cell, is an electrical device that converts the light energy directly into electricity *via* the photovoltaic effect.[464] Compared to Si and other conventional III-V materials, more attention have been paid to the development of III-nitride NWs solar cells due to the tunable direct bandgap energy from ~6.2 eV for AlN to ~0.65 eV for InN, which can enable the application of full-solar-spectrum PV devices. Furthermore, the advantages of using 1D nanostructure arrays with high crystalline quality in PV devices includes (1) the forming of vertical radial junctions allows extended absorption paths while maintaining short distances for carrier collection; (2) strong light trapping and reduced reflection can be achieved by using NWs; (3) manipulation of material properties will be possible when the size of nanostructures is small enough for quantum effects, and (4)

structures can be grown using a little material and thus at much lower cost.[465]

In 2008, Tang et al.[131] demonstrated the *p*-GaN:Mg NRs/*n*-Si heterojunctions for the PV applications, as shown in Figure 53(a)-(c). The PV cell exhibited well-defined rectifying behavior in the dark with a large rectification ratio. Under illumination from a calibrated solar simulator with intensity of 100 mW/cm<sup>2</sup>, a maximum power conversion efficiency reached ~2.73 %. The schematic energy band diagram of the *p*-GaN NR/*n*-Si heterojunction diode at thermal equilibrium illustrated the explanation of the rectifying behavior of the cell. An asymmetrical energy barrier for electrons was formed at the junction due to the large bandgap difference between Si and GaN, resulting in the decrease of electron diffusion and electron-hole recombination in GaN NRs. The built-in field naturally led to the low hole injection into the *n*-Si side, and wide bandgap GaN NRs on the top of the heterojunction decreased the leakage current in the depletion region. Under illumination from the top, photons (whose energy was between  $E_g(\text{GaN})$  and  $E_g(\text{Si})$ ) would transmit through NRs, and were absorbed by Si, while light with photon energies larger than  $E_g(\text{GaN})$  was absorbed by GaN NRs. The holes and electrons generated in both sides of the heterojunction were collected effectively due to the large built-in electric field at the junction and thus yielded the photocurrent.

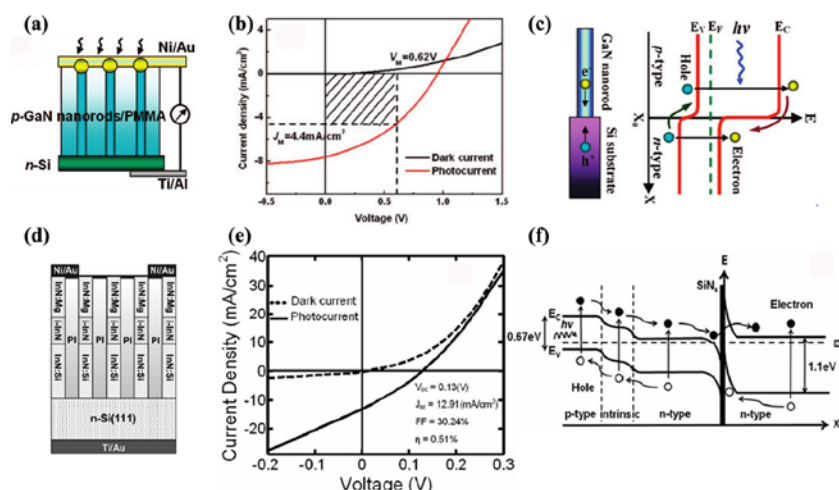


Figure 53(a)-(c) Schematic of the *p*-GaN NR/*n*-Si heterojunction photovoltaic cell (a), current density vs voltage for the PV cell in the dark and under simulated AM1.5G illumination (b), and schematic energy band diagram of the heterojunction diode showing the photogenerated carrier transfer process (c);[131] (d)-(f) schematic illustrations of InN:Mg/i-InN/InN:Si NW solar cells on *n*-type Si substrate (d),  $I$ - $V$  characteristics of the NW solar cells under dark and

illumination (1-sun at AM1.5G) conditions (e), and illustration of the corresponding energy band diagram of the NW junction under thermal equilibrium (f).[466]

Later on, Nguyen et al.[466] reported the experimental demonstration of InN NW solar cells, as depicted in Figure 53(d)-(f). The InN *p-i-n* NWs based solar cell exhibited characteristics of a diode structure under dark conditions, with a rectifying ratio of ~60 measured at -1 and +1 V. Under simulated AM 1.5 G illumination on the device with area of 1 mm<sup>2</sup>, the short-circuit current density, open-circuit voltage, fill factor, and power-conversion efficiency were ~12.91 mA/cm<sup>2</sup>, ~0.13%, 30.2 %, and 0.51%, respectively. The performance of the device can be explained by the energy-band diagram under thermal equilibrium, which possessing narrow depletion region widths between InN and Si substrate, due to the high doping concentrations in InN and Si.

Both InGaN/GaN MQW solar cells with periodic NR and nanohole array operating at a wavelength of 520 nm exhibited improved performance, and the conversion efficiency of nanohole array solar cell was 1.28 %, higher than that of nanorod array (1.12 %), attributing to more effective optical coupling between the nanohole array and the incident sunlight, and a higher density of waveguide modes available in nanohole array than in the NR array. [467] However, the conversion efficiency of PV based on III-nitride NWs is low. [468-470]

## 4.7 Other applications

### 4.7.1 Gas sensors

III-nitride nanostructure based gas sensors were mostly decorated with metal nanoparticles, for example, Pt-coat InN NRs,[471] Pd-coated GaN NWs, [472] Pt-coated InN NBs,[473] and Pt-coated porous GaN nanonetwork[474] for H<sub>2</sub> detection, Au-coated GaN NWs for N<sub>2</sub> and CH<sub>4</sub> detection,<sup>[475]</sup> and defect GaN NWs for CH<sub>4</sub> detection.[476]

The mechanism of the decorated metals on the sensitivity of gas sensors was investigated. Dobrokhotov et al.[475] compared the electrical properties of GaN NWs gas sensors with/without AuNPs decoration as a function of exposure to Ar, N<sub>2</sub>, and CH<sub>4</sub>, as illustrated in Figure 54(a)-(f). The device exhibited a linear ohmic behavior insensitive to the environment without AuNPs, while the *I-V* curves of the devices with AuNPs were nonlinear



with a drop of 5 orders in the current value, suggesting the Au-coated GaN NWs device was sensitive to the different gas environment. The sensing mechanism of the AuNPs-coated GaN NWs was proposed as following: (1) the conducting channel of the intrinsically n-type GaN NW was reduced as the AuNP was formed on its surface; (2) charge transfer across the Au/GaN interface created a depletion region within the NW and resulted in the shrinkage of conducting channel in the GaN NW; (3) physisorption of the gases on the Au NPs induced a negative potential, which further increased the depletion layer thickness and reduced the conductance.

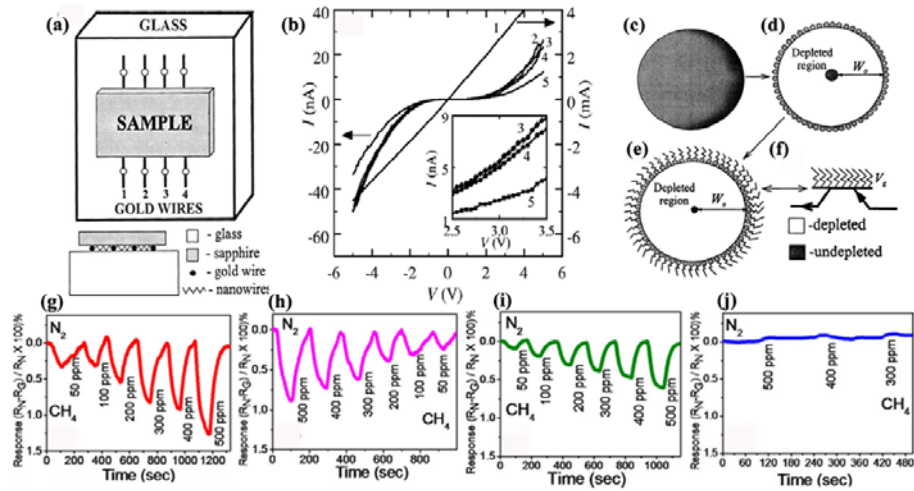


Figure 54 (a)-(f) The schematic of Au-coated GaN NWs gas sensor (a), (b) I-V curves of a sensor constructed from bare GaN (curve 1) NWs and Au-coated GaN NWs (curves 2-5) for in vacuum (2), Ar (3), N<sub>2</sub> (4), and CH<sub>4</sub> (5) (b), and a cross-section view of an NW showing the size of the conducting region (grey) with no AuNP on the surface (c), with a layer of AuNPs on the surface in vacuum (d), with gas physisorbed on the surface of the NPs (e), and an equivalent circuit diagram representative of the operation of the NW as a gas-sensitive FET with V<sub>g</sub> representing the induced potential by the absorbed molecules (f). W<sub>0</sub> was the depletion depth due to just AuNPs on the NW surface and W<sub>a</sub> was the depletion depth when gas molecules had been physisorbed onto the AuNPs;[475] (g)-(j) temporal responses of CH<sub>4</sub> sensing by four devices made of NW samples obtained under different O impurity concentrations of ~10<sup>5</sup> ppm (g), 10<sup>3</sup> ppm (h), 10<sup>2</sup> ppm (i), and <2 ppm (j).[476]

Later on, RT ppm concentration of H<sub>2</sub> detection using Pd-coated CVD-grown GaN NWs was reported by Lim et al.[472] By comparing the measured resistance as a function of time from uncoated and Pd-coated GaN NWs exposed to a series of H<sub>2</sub> concentrations (200-1500

ppm) in  $N_2$  for 10 min at RT, Pd-coated GaN NWs exhibited the significantly improved sensitivity to low ppm level  $H_2$  by a factor of up to 11. Notably, Pd-coated GaN NWs showed gradually increased response from 7.4% to 9.1% as the increase of  $H_2$  concentration in the range of 200-1500 ppm in  $N_2$  after a 10 min exposure. Gas detection mechanism was attributed to the change in atomic H concentration at the interface upon  $H_2$  and air exposure, causing the change in the resistance. In addition, these sensors exhibited low power consumption ( $<0.6$  mW) at 300 K. Similar approach was also employed to study the  $H_2$  sensing characteristics of Pt-coated MOCVD-grown InN NBs.[473] By increasing the  $H_2$  concentration from 20 to 300 ppm in  $N_2$  ambient, the relative response gradually increased from 1.2 % to 4 %. Moreover, Pt-coated InN NB sensors were also operated at low power levels ( $\sim 0.5$  mW). Zhong et al.[474] studied a Pt-coated porous GaN nanonetwork Schottky diode type  $H_2$  sensor and its performance. This Schottky diode based on porous GaN nanonetwork was able to perform well at RT in detecting  $H_2$  gas with concentrations from 320 to 10000 ppm, and the relative response was  $\sim 23\%$  in 10000 ppm  $H_2$ .

Recently, Patsha et al.[476] reported CVD GaN NWs without any metal clusters and functional groups on the  $CH_4$  sensing. Intentional lattice oxygen defects were created on the surfaces of GaN NWs. GaN NWs with different O impurity concentrations showed the response of reduction in resistance, under the exposure with  $CH_4$ , as shown in Figure 54(g)-(i). It was proposed that the presence of  $2(O_N)$  and  $V_{Ga}-3O_N$  defect complexes resulted in the variation of  $CH_4$  gas sensing behavior of O-rich GaN NW ensemble. A localized charge transfer processes contributed the global gas sensing behavior. Therefore, for III-nitride nanostructure-based sensing devices without decoration of metal particles, the sensor response could be optimized by controlling the surface defects.

#### 4.7.2 Nanogenerators

The concept of the nanogenerators was first introduced by Wang et al. through examining the piezoelectric properties of ZnO NWs with an AFM.[477] The mechanical energy was converted into electrical energy by means of piezoelectric ZnO NW arrays. The presence of polar surfaces and the lack of center symmetry, which results in a piezoelectric

effect, make ZnO one of the hottest candidates for nanogenerators. Group-III nitride nanostructures also have an outstanding piezoelectric property owing to their WZ crystal structures, similar to that of ZnO. The coupling of piezoelectric and semiconducting properties creates a strain field and charge separation across the NW as a result of its bending. Therefore, an output power can be generated by scanning an AFM tip in contact mode across 1D group-III nitride nanostructures. For example, Wang et al.[155] reported the piezoelectric performance of a family of CVD-grown group-III nitride nanostructures, including AlN and AlGaN nanocones, GaN NRs and InN nanocones, as shown in Figure 55. Piezoelectric responses of the nanocone/NR quasi-aligned arrays were examined using AFM in contact mode with a conducting Pt-coated tip. Sharp voltage pulses were detected for all of the nanostructures, except for AlN, and the corresponding output voltage were 4, 7, and 60 mV for AlGaN, GaN, and InN, respectively. The voltage pulses approximately occurred when the AFM tip scanned across the nanocones/NRs. This indicated that the discharge took place when the tip contacted with the compressed side of the 1D nanostructures.

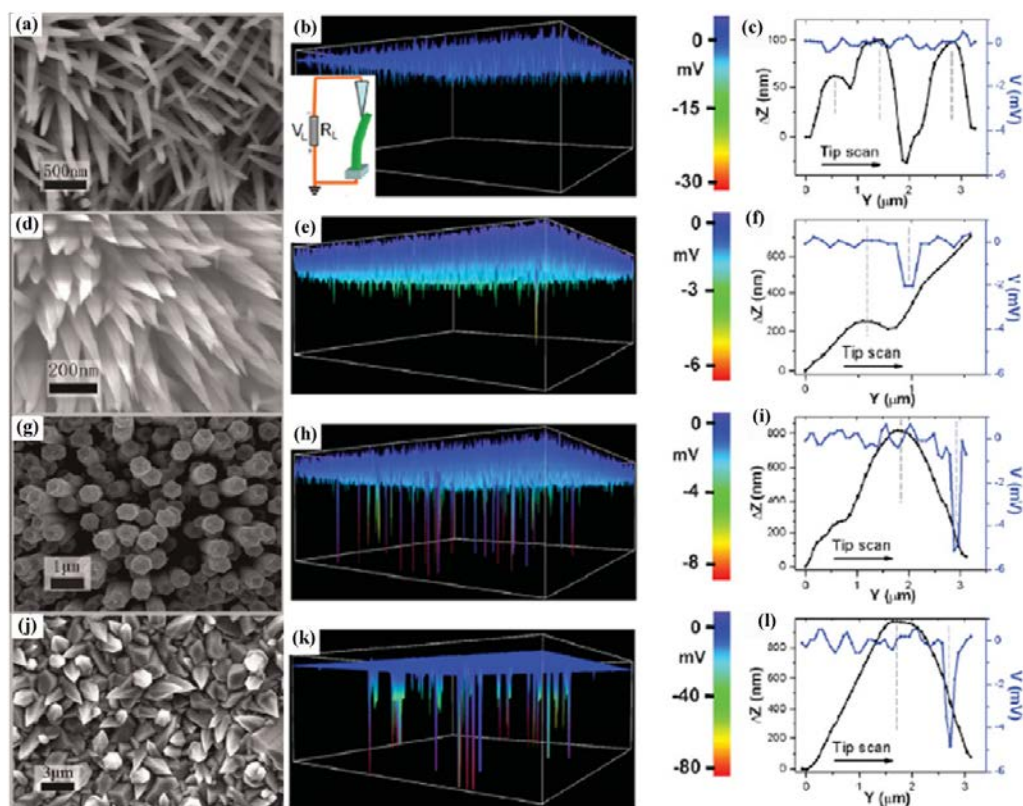


Figure 55 Typical SEM image, 3D electric signal images (middle), and corresponding voltage profiles and line-scan topography profiles (right, blue/right, black respectively) of the

group-III nitride nanostructures: (a)-(c) AlN, (d)-(f) AlGaN, (g)-(i) GaN, (j)-(l) InN.[155]

Liu et al.[478] investigated the piezoelectric nanogenerators (NG) based on PAMBE grown p-type and intrinsic InN NWs. The p-type InN NW based NGs showed 160% more output current and 70% more output power than the intrinsic InN NW based NGs. The higher output power of the *p*-type reflected better piezoelectric energy conversion efficiency than intrinsic NGs. The higher output current of *p*-type NGs reflected much lower impedance than intrinsic NGs. Both results were due to lower electrostatic losses in p-type NGs enabled by a more advantageous NW morphology, longer and narrower NWs and increased NW area density, resulting from Mg doping.

## 5. Summary and future outlook

In this review, we have presented a comprehensive view on the synthesis and properties of group-III nitride nanostructures. The unique properties of well controlled group-III nitride nanostructures have paved the way for the applications in the fields of microelectronics and optoelectronics, energy storage, sensors, and environmental science and engineering. Specifically, III-nitride-nanostructures showed great potentials in (1) LEDs in the full color range from DUV to NIR through tuning bandgap of Al/(Ga/In)/N nanostructures and constructing special *p-n* homo/heterostructures, (2) DUV to NIR lasers with ultralow threshold, small scale, (3) high-responsivity PDs operated in a broad spectra range from DUV to NIR, (4) high-efficiency and stable artificial photocatalysis (water splitting and CO<sub>2</sub> reduction) under UV, visible, and NIR light irradiation, and so on.

Although great efforts have been put into the controlled growth of nitride nanostructures with high quality and desired properties, there are still a number of challenges need to be addressed, for examples:

- (1) To control accurately growth of single-phase nitrides ternary alloys in the entire composition range, to restrain the spontaneous tendency of the phase separation in nitrides ternary alloys, especially AlInN.
- (2) To optimize the performance of diverse optoelectronic devices. Fundamental physics and in-depth understanding of processes occurring during nanostructures growth and

device operation are needed. Further experimental and theoretical studies on the electrical and thermal transport, carrier injection efficiency, and lateral band bending of such nano- or sub-micron scale structures without degrading the electronic and optical performance of devices requires to be addressed.

In summary, research activities in last decade have made remarkable progress, leading to enormous knowledge pool of group-III nitride nanostructures and figuring out of the key challenges. However, the achievements are limited as compared with those of more popular electronic and optoelectronic materials, especially ZnO nanostructures. The outstanding progress, emerging unique properties and potential applications verify that group-III nitride nanostructures are indeed promising candidates for optoelectronics. We hope that the systematic investigation of a “synthesis-property-application” triangle for group-III nitride nanostructures will inspire more research efforts to settle the current challenges, promote an intense interest to the general study on inorganic semiconducting nanostructures.

## **Declaration of Competing Interest**

The authors declare that they have no competing interest.

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