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5 **Effects of exopolysaccharide fractions with different molecular weights and compositions**
6 **on fecal microflora during *in vitro* fermentation**

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16

17 **Abstract**

18 This study was to investigate the potential prebiotic function of exopolysaccharide (EPS) from
19 a medicinal fungus and the relationship to the molecular properties by *in vitro* human fecal
20 fermentation. The EPS from *Cordyceps sinensis* Cs-HK1 mycelial fermentation was processed
21 into three fractions with different monosaccharide contents, a higher molecular weight (MW)
22 and a lower MW attained by two-step ethanol precipitation, and an intermediate MW by
23 ultrasound-degradation of EPS. All the EPS fractions were well utilized during 24-48 h of fecal
24 fermentation, leading to significant increases in the short chain fatty acid (SCFA) production.
25 The consumption rate and production level of SCFAs varied slightly with the different EPS
26 fractions. The EPS also influenced the composition and diversity of the fecal microflora,
27 increasing the relative abundance of *Firmicutes* but suppressing that of *Proteobacteria*, which
28 may be a beneficial effect for human health. Overall the results have shown that the Cs-HK1
29 EPS has significant prebiotic activity which is dependent on its molecular properties.

30

31 **Keywords:** *Cordyceps sinensis* fungus; Exopolysaccharides; prebiotic effects; human fecal
32 microflora; *in vitro* fermentation

33

34 **1. Introduction**

35 The human intestinal microflora is a diverse microbial community which is composed mainly
36 of bacteria [1]. Among the numerous bacterial phyla that have been detected in the human gut,
37 *Firmicutes* and *Bacteroidetes* are most dominant, and Actinobacteria including *Proteobacteria*
38 and *Verrucomicrobia* are also commonly found as the minor components of microflora [2]. It
39 is generally believed that the gut microbial composition and diversity have a close association
40 with the host physiology and pathology [3]. Diet is the most probable factor which can affect
41 the microbial composition and balance [4]. The non-digestible dietary fibers and prebiotics
42 including complex polysaccharides and oligosaccharides can reach the large intestine and be
43 fermented by the gut bacteria [5]. The selective consumption of the non-digestible
44 carbohydrates by different microbial species can directly affect their proliferation and relative
45 abundance in the gut microflora [6]. On the other hand, the fermentative metabolism of
46 carbohydrates in the gut yields short chain fatty acids (SCFAs) as the end products, including
47 acetic acid, propionic acid and butyric acid, which have important and beneficial functions in
48 the host both locally and systemically [7].

49

50 Natural polysaccharides from edible plants, fungi, macro-algae and other sources have been

51 widely explored as functional food ingredients because of their notable health benefits. As most
52 of these complex polysaccharides are non-digestible, their most possible site of utilization and
53 action is in the gut microflora [8]. In this regard, increasing attention in recent studies has been
54 paid to the effects of natural polysaccharides on or associated with the gut microflora [9-11].
55 Edible mushrooms or fungi which are rich of bioactive polysaccharides have been recognized
56 as the potential source of prebiotics [12]. The Chinese caterpillar fungus *Cordyceps sinensis* is
57 a precious medicinal fungus with a wide range of bioactivities and health benefits [13]. As
58 natural *C. sinensis* is very rare, mycelial fermentation has been widely applied to produce *C.*
59 *sinensis* fungal materials to meet the high and rising demand for health food and herbal
60 medicine. Cs-HK1 is a fungus species isolated from a natural *C. sinensis* fruiting body and has
61 been applied to mycelial fermentation for production of mycelial biomass and
62 exopolysaccharides (EPS). The EPS attained from the Cs-HK1 mycelial fermentation was
63 mainly composed of heterogenous polysaccharides in a wide molecular weight (MW) range
64 and the major monosaccharide constituents include glucose (Glc), mannose (Man) and
65 galactose (Gal) [14, 15].

66

67 Our recent studies performed in pure cultures of bifidobacteria have shown the beneficial and
68 protective effect of the Cs-HK1 EPS on the probiotic bacteria [16]. However, neither the high-
69 MW native EPS nor the partially hydrolyzed low-MW EPS fractions could be well utilized as
70 a carbon source for the bifidobacterial growth. It has been suggested that the degradation and
71 consumption of non-digestible complex carbohydrates by the gut bacteria is accomplished
72 through cross-feeding of different bacterial species in the human colon [17]. The mixture
73 fermentation system is more effective and realistic for assessment of complex polysaccharides
74 in human gut microflora. Fecal fermentations (*in vitro*) have been widely used to study the
75 functions and metabolism fates of food ingredients such as natural polysaccharides [18, 19].

76

77 This work was to investigate the fermentability and prebiotic function of the Cs-HK1 EPS in
78 human fecal microflora by *in vitro* fermentation and the dependence on the composition and
79 molecular weight of EPS fractions. The monosaccharide contents of EPS and SCFAs were
80 analyzed quantitatively during the *in vitro* human fecal fermentation to assess the consumption
81 and utilization of EPS by the gut bacteria. The microbial composition and diversity of the fecal
82 microflora was examined by 16S rRNA gene analysis.

83

84 **2. Materials and methods**

85 2.1. Preparation of Cs-HK1 EPS fractions

86 As described previously, the liquid medium for Cs-HK1 mycelial fermentation consisted of 40
87 g/L glucose, 5 g/L peptone, 1 g/L KH_2PO_4 , 0.5 g/L $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$ and 10 g/L yeast extract. The
88 Cs-HK1 mycelial fermentation was carried out in shake-flasks at 20 °C for 6 days and
89 exopolysaccharide (EPS) was isolated from the Cs-HK1 liquid fermentation medium by
90 ethanol precipitation (with 80% v/v ethanol) as described in detail previously [16]. The power
91 ultrasound (US) treatment was applied to the liquid fermentation medium before ethanol
92 precipitation to produce EPS-US fraction with a lower MW and a higher water-solubility as
93 reported in previously [16, 20]. Another two EPS fractions were prepared by two-step ethanol
94 precipitation as reported previously [21, 22], firstly using 40% (v/v) ethanol to attain EPS-H
95 with a much higher MW and secondly using 80% (v/v) ethanol to obtain EPS-L with a much
96 lower MW than the EPS attained by single step precipitation using 80% (v/v) ethanol. Both the
97 strategies of using power US and two-step ethanol precipitation have been proven effective in
98 our previous studies for preparation of the desired lower MW EPS fractions. As shown in our
99 previous studies, the whole EPS attained by a single step precipitation with 80% ethanol, the
100 EPS-H and EPS-L fractions by two-step ethanol precipitation and the EPS-US after US
101 treatment were mainly composed of heterogenous polysaccharides with different average MWs.
102 All the EPS precipitates were recovered from the liquid by centrifugation at 12,000 rpm for 15
103 min, re-dissolved in a small amount of deionized (DI) water and freeze-dried as the final EPS
104 fractions for experiments. The MW of EPS fractions were analyzed by high performance gel
105 permeation chromatography (HPGPC) as reported previously [21].

106

107 Methylcellulose (MC) (15 centipoise viscosity with 2% aqueous solution at 25 °C; Sigma, Saint
108 Louis, MO, USA), a non-digestive and non-fermentable carbohydrate polymer [23, 24], was
109 included as a control for comparison with the EPS fractions in the fecal fermentation
110 experiments.

111

112 2.2. Fecal sample collection and preparation

113 Fecal material was collected from three healthy donors (D1, D2 and D3, two females and one
114 male, 26-30 years of age). All donors were free of known gastrointestinal diseases and
115 metabolic disorders and had not received antibiotic probiotic and not taken any prebiotic
116 supplements for at least 3 months prior to the sample collection. Fecal samples were collected
117 in sterile tubes and immediately stored in anaerobic jars containing anaerobic sachets
118 (Mitsubishi Gas Chemical Co., Inc., Tokyo, Japan), which were used in the fermentation

119 experiments within 30 minutes of collection. The fecal samples were 10-fold diluted with the
120 phosphate buffered saline (PBS) and homogenized for 2 min, and then centrifuged at 500 rpm
121 for 5 min to remove large particles. The remaining suspension was used as the initial inoculum
122 in the fecal culture fermentation. All these were carried out in anaerobic atmosphere according
123 to well documented protocol [25].

124

125 2.3. Fecal culture fermentation

126 The basal culture medium was consisted of peptone water (2 g/l), yeast extract (2 g/l), NaCl
127 (0.1 g/l), dipotassium phosphate (0.04 g/l), monopotassium phosphate (0.04 g/l), sodium
128 bicarbonate (2 g/l), magnesium sulfate heptahydrate (0.01 g/l), calcium chloride hexahydrate
129 (0.01 g/l), Tween-80 (2 ml/l), hemin (50 mg/l), phylloquinone (vitamin K1, 10 ml/l), L-cysteine
130 (0.5 g/l), bile salts (0.5 g/l), resazurin (1 mg/l) in distilled water [25]. The medium pH was
131 adjusted to 6.8 with 1 M HCl. The EPS fractions and MC were dissolved in the basal medium
132 at 5 mg/ml with vigorous stirring for overnight and then autoclaved at 121°C for 20 min. The
133 liquid medium was dispensed aseptically into 10-mL culture tubes at 5.4 mL each. Each tube
134 was inoculated with 0.6 ml freshly prepared fecal slurry (1:10, w/w). The fecal culture tubes
135 were enclosed in anaerobic jars containing anaerobic sachets and incubated with shaking at
136 200 rpm and 37 °C for 48 h. During the fecal fermentation, samples were collected at 0, 5, 10,
137 24 and 48 h for enumeration of the bacterial populations, 16S rRNA gene sequencing,
138 determination of carbohydrate utilization profiles, and SCFA production. A new anaerobic gas
139 generating sachet was applied each time when the jar was opened for sample collection.

140

141 2.4. Enumeration of bacterial populations on selective agar plates

142 Cultivation on selective agar plates is a rapid and convenient method for enumeration of some
143 common bacterial groups in mixed cultures by counting the viable cells (CFU/ml). Total
144 anaerobic and aerobic bacteria were counted on BHI agar; *Clostridia*, *Esherichia coli*,
145 *Staphylococcus*, *Enterococcus*, *Lactobacillus* and *Bifidobacterium* were counted respectively
146 by Tryptose Sulfite Cycloserine (TSC), MacConkey agar, Mannitol Salt Agar (MSA)
147 Enterococcus agar, MRS agar, Bifidobacterium agar (Haibo Biotechnology Co. Ltd., Qingdao,
148 China). The fecal slurry was diluted with PBS sequentially from 10⁻¹ to 10⁻¹² according to
149 preliminary results, then 100 µl was spread onto each agar plate. After incubation in anaerobic
150 or aerobic atmosphere at 37 °C for 48 h, the number of colonies on the plate was counted.

151

152 2.5. DNA extraction, 16S rRNA gene sequencing and microbial composition analysis

153 Since culture-based analysis is limited to enumeration of certain bacterial species [26], genomic
154 analysis was performed for enumeration of the many bacterial species, and for assessment of
155 the microbial composition and diversity of fecal microflora. The fecal culture samples were
156 centrifuged at 12,000 rpm for 5 min and the sediment was collected for the gene sequencing
157 and the supernatant analysis for monosaccharide (1.4 ml) and SCFA analysis (0.2 ml). DNA
158 extraction was carried out of the solid samples using Tiangen stool DNA extraction kit
159 (Tiangen, Beijing, China) according to the manufacturer's manual. The microorganism DNA
160 concentration was determined by NanoDrop 2000 (Thermo Fisher, Massachusetts, USA) to be
161 6-100 ng/ μ l, total initiation mass \geq 30 ng. The 16S rRNA gene sequencing was conducted by
162 BGI (Shenzhen, China). The database used for species annotation was Greengene (default):
163 V201305[8]; RDP: Release11_5,20160930.

164

165 2.6. Analysis of monosaccharides in EPS

166 The monosaccharide composition of EPS fractions was analysed by liquid chromatography-
167 mass spectrometry (LC-MS) as reported previously [27] with minor modifications. The
168 monosaccharide standards (d-Arabinose, d-fructose, d-galactose, d-glucose, L-rhamnose
169 monohydrate, d-mannose, d-glucose 13C₁) and trifluoroacetic acid (TFA), HPLC grade
170 acetonitrile (ACN), and methanol (MeOH) were purchased from Sigma-Aldrich (St. Louis,
171 MO, USA). Stock solutions of monosaccharides and internal standard d-glucose 13C₁ were
172 prepared at 10 mg/mL in Milli-Q water. Each of the stock solutions was diluted with the LC
173 solvent ACN/H₂O (50:50 v/v) before being injected into the LC system. External calibration
174 standard solutions were prepared in the range of 0.5 to 50 μ g/mL, each containing 1 μ g/ml d-
175 glucose 13C₁. All these solutions were stored at 4 °C before use.

176

177 The EPS samples (5 mg) were dissolved in 1.4 ml of Milli-Q water with stirring for overnight.
178 The supernatant (1.4 ml) collected from the fecal fermentation samples in section 2.5 was also
179 analysed. Each of the sample solutions was mixed with 0.6 ml of TFA (4 M TFA final
180 concentration) and hydrolysed at 100 °C for 8 h. Excessive TFA was removed by vacuum
181 evaporation and the residue was redissolved in 2 mL of water. Further clean-up was
182 accomplished by solid phase extraction (SPE). The SPE cartridge was preconditioned by 3 ml
183 of methanol and then 3 ml of ultrapure water. The sample solution was loaded onto the cartridge
184 and the eluent was collected, evaporated to dryness under vacuum, and then redissolved in
185 about 2 mL or more of ACN/ H₂O (50:50 v/v) for the LC/MS/MS analysis. D-glucose 13C₁
186 (0.01 mg) as an internal standard was added to the solution. For evaluation of matrix effects,

187 the vacuum-dried residue was redissolved in 2 mL of ACN/H₂O (80:20 v/v) and was used to
188 prepare matrix-containing standard solution. The treated samples were diluted to desired
189 concentrations by ACN/H₂O (80:20 v/v) before injection.

190

191 The LC separation was achieved with a normal phase method on an ACQUITY UPLC® BEH
192 amide column (2.1mm×100mm, 1.7 μm particles; Waters, Milford, Massachusetts, USA) and
193 a mobile phase flow rate of 0.3 ml/min. The mobile phase was consisted of water (A) and
194 acetonitrile (B), MS Grade (Honeywell, Burdick and Jackson, Morristown, NJ, USA). The
195 elution was carried out primarily by a linear gradient over 30 min from 95 to 60 % (v/v) mobile
196 phase B, followed by a column wash and re-equilibration. Column was maintained at 20 °C
197 and auto-sampler plate set at 4 °C. An Agilent 6460 HPLC system (Santa Clara, Foster City,
198 CA, USA) was coupled in-line with an electrospray ionisation triple quadrupole mass
199 spectrometer (Santa Clara, Foster City, CA, USA) equipped with an Agilent Jet Stream
200 electrospray ionisation (AJS ESI) source. Ions were detected by multiple-reaction monitoring
201 (MRM) method in negative polarity with a dwell time of 200 ms and the following source
202 conditions: gas temperature at 300 °C, gas flow at 8 l/min, nebulizer pressure at 45 psi, sheath
203 gas temperature at 320 °C, sheath gas flow at 11 l/min, capillary positive voltage at 3500 V
204 (positive) and 3000 V (negative), nozzle voltage at 500 V. Data acquisition was performed
205 using MassHunter Quantitative Analysis software B.07.00.

206

207 2.7. Analysis of short chain fatty acids

208 Short chain fatty acids (SCFAs) in the fecal cultures were analysed by Gas Chromatograph-
209 Flame Ionization Detection (GC-FID) using an Agilent 7980B GC system and a fused silica
210 capillary column (Agilent Technologies Inc., Palo Alto, CA, USA) as described previously
211 [16]. In brief, 0.2 ml of fecal culture supernatant was diluted by 0.8 ml of Milli-Q water and
212 the pH was adjusted to 2-3 with 1 M HCl. An internal standard (2-ethylbutyric acid) was added
213 at 1 nM final concentration before injection into the GC system. Nitrogen gas was applied as
214 the mobile phase flowing at 0.6 ml/min. The initial oven temperature was maintained at 80 °C
215 for 2 min and raised gradually to 180 °C at 6 °C/min, and maintained for 4 min. The injection
216 volume was 1 μl and the temperature was controlled at 200 °C, and the detector temperature at
217 220 °C. Six SCFA standards (Aladdin®, Shanghai, China) were used for identification and
218 quantification, including acetic acid, propionic acid, *n*-butyric acid, *i*-butyric acid, *n*-valeric
219 acid, and *i*-valeric acid. The *n*-butyric and *i*-butyric acid were combined in the analysis.

220

221 2.8. Statistical analysis

222 One-way ANOVA was used to compare the monosaccharide composition of EPS fractions
223 after fecal fermentation. Two-way ANOVA with Tukey post-hoc test was used for the
224 comparison of cfu among different groups. Kruskal-Wallis test was used to compare the
225 operational taxonomic unit (OTU) difference between MC and experimental groups. The
226 paired *t*-test was used to compare different taxonomic compositions between experimental and
227 control groups [28]. The *p* value < 0.05 indicated a significant difference. SPSS 23.0 was
228 applied for statistical analysis.

229

230 3. Results and discussion

231 3.1. Monosaccharide composition of different EPS fractions

232 Table 1 shows the molar ratios of constituent monosaccharides in the different EPS fractions.
233 All EPS fractions were composed mainly of mannose, glucose, galactose and fructose with
234 different molar ratios. EPS and EPS-US had the similar molar composition of four
235 monosaccharides, suggesting that ultrasonic degradation did not cause chemical or structural
236 change in the EPS molecule. Compared with EPS and EPS-US, EPS-H had a much higher ratio
237 of glucose (6.83 to mannose) and EPS-L had a much lower ratio of glucose and a much higher
238 ratio of galactose (0.34 and 2.02 to mannose, respectively).

239

240 As the Cs-HK1 EPS was resistant to hydrolysis by digestive enzymes and simulated gastric
241 acid [29], the undigested EPS fractions were used in the following experiments.

242

243 3.2. Consumption of EPS during fecal fermentation

244 Fig. 1 shows the changes in the total concentration of monosaccharides in the culture media
245 containing MC and EPS fractions after complete TFA hydrolysis during the *in vitro* fecal
246 fermentation. The total monosaccharide concentration in the MC medium did not show any
247 change (ANOVA, *p* = 0.880), implying that MC was not utilized during the fermentation and
248 confirming its role as a non-fermentable carbohydrate polymer control. In contrast, the total
249 monosaccharide concentrations in the cultures with the four EPS fractions all dropped sharply,
250 to about 20% or below 5%, from 10-24 hours during the fecal fermentation, suggesting their
251 consumption by the gut flora. The consumption of most EPS fractions except EPS-H took place
252 mainly between 10-24 h after the inoculation, due probably to that the period of 0-10 h was in
253 the lag period and the period of 24-48 h was in the stationary phase of the fecal fermentation
254 [16]. As for EPS-H, the consumption or reduction of total monosaccharides remained steady

255 from 10 to 48 h, suggesting a slower fermentation rate as well as a longer growth period than
256 the fecal cultures with other three EPS fractions. The slower consumption of EPS-H than the
257 other EPS fractions was probably attributed to its higher MW, causing a higher viscosity in the
258 culture medium and slower nutrient uptake and slower metabolism. This was in good
259 agreement with the finding in our previous study that the higher MW EPS was more effective
260 to extend the growth and survival of bifidobacteria [29]. Moreover, the EPS-US was consumed
261 more rapidly than EPS, due probably to the increased bioavailability by the ultrasonic
262 degradation of EPS [21].

263

264 Table 2 shows the molar ratios of monosaccharides in the EPS fractions before and after 48 h
265 of fecal fermentation. The complete data (5, 10 and 24 h) was shown in Table S2. For EPS-US,
266 the molar ratio of glucose decreased more dramatically, while that of galactose increased
267 significantly over the fermentation period. This suggests that the majority of fecal bacterial
268 community preferred glucose as the major carbon source than galactose or mannose. In contrast,
269 for the EPS-H and EPS-L fractions, galactose was consumed more during the fermentation. It
270 is possible that the EPS-H and EPS-L was taken up as a whole and consumed by the fecal
271 bacteria. It has been suggested that polysaccharides are mostly transported into and
272 metabolized within probiotic bacterial cells [30, 31], and the metabolism of polysaccharides in
273 the gut microflora is dependent on their molecular properties [32].

274

275 3.3. Medium pH change and SCFA production during fecal fermentation

276 Compared with the control MC fermentation, all the fecal fermentation with the EPS fractions
277 experienced an accelerated pH drop from 10 h to 24 h (Fig. 2A). In addition, the fermentation
278 fed with EPS-H exhibited a slower pH drop between 10-24 h and a much faster drop between
279 24-48 h than the cultures with the three low MW EPS fractions. In association with the pH drop
280 in the fecal cultures, the concentrations of three SCFAs, including acetic acid (Fig. 2B),
281 propionic acid (Fig. 2C) and butyric acid (Fig. 2D) showed a notable ($p < 0.05$) increase from
282 10 to 24 h and a further, and smaller increase from 24 to 48 h. The SCFAs in the cultures fed
283 with the EPS fractions increased to a much higher level than in the MC culture. Among the
284 three SCFAs, acetic acid was the most abundant and butyric acid was the lowest in the cultures
285 with the four EPS fractions. Another observation was that the butyric acid in the culture fed
286 with EPS-H was notably higher (8.67 mM) than in the other three EPS cultures (3.38-4.58
287 mM). Compared with other EPS fractions, EPS-H had a much higher glucose content (Table
288 1), which may be a contributing factor to the high rate of butyric acid production as suggested

289 previously that β -glucans composed of glucose increased the butyric acid formation in intestine
290 [33, 34]. The relative level of pH changes in the fermentation medium with various EPS
291 fractions did not match with the level of EPS consumption, due probably to that the
292 consumption of EPS by the fecal bacteria was not all used for acid production. A practical point
293 is that the batch fecal fermentation is one of the most convenient and economic models for
294 studying the fermentability and metabolism of dietary compounds by gut microflora [35].

295

296 3.4. Bacterial population distributions in fecal microflora

297 Fig. 3 shows the cfu values in the control (with MC) and test groups (with EPS fractions) which
298 was enumerated by selective agar cultivation during fecal fermentation. The cfu values in all
299 groups increased with culture time and the change showed no obvious dependence on the
300 different EPS fractions. However, the cfu values of *Bifidobacterium* (Fig. 3.A), *Clostridium*
301 (Fig. 3.C), the total anaerobic (Fig. 3.F) and aerobic bacteria (Fig. 3.G) at 10 h were notably
302 higher in most of the EPS groups than the MC group, though the difference did not last long
303 and diminished at 24 or 48 h. The cfu values indicated the viability of bacteria. In our previous
304 studies, the higher MW EPS fractions including EPS and EPS-US could significantly protect
305 the viability or survival of several bifidobacterial strains in pure cultures based on the cfu
306 values, though they were not well utilized as a carbon source for the growth (based on the
307 bacterial cell concentration quantified by optical density (OD)) [29]. In contrast, although the
308 EPS fractions were well utilized in the fecal cultures, leading to a significant increase in the
309 SCFA production, they did not offer any protective effect on the viability and survival, with
310 little or no increase in the cfu in the later culture stage (24 - 48 h), especially the EPS-L (nearly
311 no difference compared with MC). The ability of mixed fecal cultures to ferment the complex
312 EPS can be attributed to the availability of all the required enzymes in various bacteria for the
313 degradation of the polysaccharide bonds. On the other hand, the degradation and utilization of
314 EPS by the bacteria resulted in the lack of protective effect in the mixed fecal cultures.

315

316 3.5. Effect of EPS on diversity of fecal microflora

317 There was no shift in the microbial diversity observed in the fecal microflora with MC or any
318 of the EPS fractions (Kruskal-Wallis test, p -value = 0.556) (Supplemental data Fig. S1). In
319 contrast, the fecal materials from different donors affected the results of *in vitro* fecal
320 fermentation (Kruskal-Wallis test, p -value = 0.022) (Fig. S2), which contributed to the reduced
321 richness in D3 incubations (Dunn's test, p -value < 0.1). Although the diversity or richness of
322 bacterial populations is generally regarded as an indicator for the healthy balance and resilience

323 of gut microflora [36], some previous studies have observed that the high microbiome richness
324 indices were associated with gastrointestinal disorders including prolonged transit times [37],
325 hard stools [38], and increased proteolytic fermentation [39]. This signifies a complex
326 relationship between community richness and gut health.

327

328 3.6. Effect of EPS on composition of fecal microflora

329 Fig. 4 shows the taxa relative abundance after 24 h of fecal fermentation with EPS and MC in
330 the matching donor fecal slurries at different levels for identifying substrate specific responsive
331 genera. On the phylum level (Fig. 4A), the Cs-HK1 EPS fractions resulted in increased
332 *Firmicutes* relative abundance (paired *t*-test, EPS-H, $p = 0.042$; EPS-L, $p = 0.006$) at the
333 expense of *Proteobacteria* relative abundance (EPS, $p = 0.031$; EPS-H, $p = 0.013$; EPS-L, $p =$
334 0.014) (Supplemental data Table S2). At the family level (Fig. 4B), the EPS fractions decreased
335 the relative abundance of *Desulfovibrionaceae* (EPS, $p = 0.043$; EPS-US, $p = 0.034$; EPS-H, $p =$
336 0.045 ; EPS-L, $p = 0.033$) and *Enterobacteriaceae* (EPS, $p = 0.029$; EPS-H, $p = 0.008$; EPS-
337 L, $p = 0.017$). At the genus level (Fig. 4C), the EPS fractions induced specific changes in fecal
338 microbial composition. The genera *Escherichia* of *Enterobacteriaceae* family (EPS, $p = 0.018$;
339 EPS-H, $p = 0.015$; EPS-L, $p = 0.012$), *Bilophila* of *Desulfovibrionaceae* (EPS-US, $p = 0.048$;
340 EPS-L, $p = 0.049$), *Parabacteroides* (EPS-US, $p = 0.026$) and *Phascolarctobacterium* family
341 (EPS-US, $p = 0.029$) were reduced after fermentation with the EPS fractions (Table S3). In
342 contrast, only one significant increase ($p = 0.029$) was observed with the low MW EPS-L in
343 the *Phascolarctobacterium* relative abundance. In general, the EPS fractions reduced the
344 relative abundance of the *Proteobacteria* phylum and had an insignificant effect on the
345 *Bifidobacterium* relative abundance ($p > 0.05$). In comparison, EPS-US and EPS-L had a
346 broader impact on the compositions of different microbial populations, causing more
347 significant changes on relative abundances of three genera. The different effects of EPS
348 fractions on gut microflora may be due to their different molecular properties.

349

350 The alternation of gut microbial composition is widely believed to be linked with numerous
351 local and systemic disorders [40], though the mechanisms are far from being understood. One
352 of the most common ecological patterns observed during intestinal microbial dysbiosis is an
353 expansion of bacterial population of the phylum *Proteobacteria* [41], which is the most diverse
354 phylum of bacteria associated with many diseases [42]. For example, there is mounting
355 evidence for the association of *E. coli* with gastrointestinal disorders, particularly in
356 inflammatory diseases such as Crohn's disease and ulcerative colitis [43, 44]. Therefore, the

357 significant reduction of *Proteobacteria* (particularly *E. coli*) ratio with the EPS fractions
358 observed in this study may lead to a beneficial effect of EPS on human health through their
359 action on the gut microflora.

360

361 There was no significant change ($p > 0.05$) in the relative abundance of *Bifidobacterium* with
362 the addition of EPS fractions (Table S4). This may be due to the very low abundance of this
363 genus in the fecal material of the three donors (0.24%, 0.26% and 1.01%, respectively), which
364 could not compete with other abundant genera to utilize the polysaccharides [45]. Additionally,
365 although the butyrate content was increased by the EPS, there was no significant change in the
366 relative abundance of the butyrate-producing bacteria (including *Eubacterium*,
367 *Faecalibacterium* and *Roseburia*) (Table S4). Indeed, the effect on microbial composition
368 alone is insufficient to tell if the EPS are beneficial or not on the gut health [46]. To minimize
369 the variation among donors, the enterotype classification may also be considered in future
370 studies [47, 48].

371

372 **4. Conclusions**

373 Our present study has demonstrated the fermentability and consumption in fecal microflora of
374 a complex, high-MW EPS produced by a medicinal fungus Cs-HK1 and the dependence on the
375 molecular properties of different EPS fractions. The EPS fractions with different MWs and
376 compositions derived from the EPS were all well utilized to produce SCFAs during fecal
377 fermentation. Despite the heterogeneous composition of the fecal microflora among different
378 donors and the limitations of in vitro fermentation model, the fecal fermentation experiments
379 were simple and effective for evaluation of various EPS fractions for their effects on the
380 diversity and composition of fecal microflora. Although no statistically significant changes
381 were found on the presumably beneficial genera, the results still suggested the beneficial effect
382 of EPS toward a healthier gut microbial ecosystem. Further studies through animal experiments
383 are warranted to assess and verify the prebiotic function and related health benefits of EPS.

384

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389

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552

553 **Table 1** Properties and composition of EPS fractions

EPS fractions	MW (Da)	[η] dl/g	Molar ratio of monosaccharides			
			Man	Fru	Glc	Gal
EPS	1.93×10^8	6.03	1.00 *	0.03	2.57	0.97
EPS-US	2.30×10^7	4.65	1.00	0.03	3.32	1.16
EPS-H	2.57×10^8	12.47	1.00	0.07	6.83	1.04
EPS-L	1.76×10^7	ND	1.00	0.01	0.34	2.02

554 * Standard variation (SD) < 5% for all data; ND: not detectable

555 MC: methylcellulose, EPS: exopolysaccharides, EPS-US: exopolysaccharides treated with
 556 ultrasound; EPS-H: exopolysaccharides with high molecular weight, EPS-L:
 557 exopolysaccharides with low molecular weight.

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560 **Table 2** The change of monosaccharide composition (molar ratio) of MC and EPS fractions
 561 over 48 hours of fecal fermentation (total molar ratio 100%)

	Time (h)	mannose	fructose	glucose	galactose
MC	0	ND	0.30 ± 0.02 c	99.7 ± 1.03 a	ND
	48	ND	0.56 ± 0.02 ab	99.4 ± 1.87 a	ND
EPS	0	22.2 ± 5.00 b	0.59 ± 0.10 b	54.5 ± 3.00 ab	22.7 ± 3.00 b
	48	34.6 ± 3.77 a	1.57 ± 0.19 a	24.8 ± 2.57 c	39.0 ± 4.82 a
EPS-US	0	18.9 ± 1.00 b	0.61 ± 0.05 a	59.2 ± 1.00 a	21.3 ± 1.00 c
	48	13.0 ± 0.22 c	0.16 ± 0.01 c	23.9 ± 0.29 c	62.9 ± 2.30 a
EPS-H	0	5.32 ± 0.90 a	0.61 ± 0.10 a	88.0 ± 7.00 a	6.12 ± 0.50 a
	48	6.88 ± 0.12 a	ND b	93.1 ± 0.26 a	ND d
EPS-L	0	31.7 ± 1.00 a	0.34 ± 0.10 a	5.50 ± 0.50 c	62.5 ± 5.00 a
	48	36.8 ± 0.89 a	ND b	16.6 ± 0.03 a	46.6 ± 0.68 b

562 MC: methylcellulose, EPS: exopolysaccharides, EPS-US: exopolysaccharides treated with
 563 ultrasound; EPS-H: exopolysaccharides with high molecular weight, EPS-L:
 564 exopolysaccharides with low molecular weight. ND: not detectable. ANOVA was used.
 565 Different letters a, b and c mean significant difference ($p < 0.05$) from each other in the same
 566 column with the same substrate fermentation. (Average of three fecal fermentations, error bars
 567 representing standard deviation at $n = 3$).

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 570

571 **Fig. 1.** The changes of total monosaccharide concentration during *in vitro* fermentation of fecal
572 samples with MC and different EPS fractions. Total monosaccharides at 0 h was normalized to
573 100%. Average of three fecal fermentations, error bars representing standard deviation at $n =$
574 3. MC: methylcellulose, EPS: exopolysaccharides, EPS-US: exopolysaccharides treated with
575 ultrasound; EPS-H: exopolysaccharides with high molecular weight, EPS-L:
576 exopolysaccharides with low molecular weight.

577 **Fig. 2.** The changes of pH (A) and the concentrations (mM) of acetic acid (B), propionic acid
578 (C) and butyric acid (D) during *in vitro* fermentation of fecal samples with MC and different
579 EPS fractions. MC: methylcellulose, EPS: exopolysaccharides, EPS-US: exopolysaccharides
580 treated with ultrasound; EPS-H: exopolysaccharides with high molecular weight, EPS-L:
581 exopolysaccharides with low molecular weight. (Average of three fecal fermentations, error
582 bars representing standard deviation at $n = 3$)

583 **Fig. 3.** Colony form units (cfu) of different groups of bacteria at 0-48 h during *in vitro*
584 fermentation of fecal samples with MC and different EPS fractions: (A) *Bifidobacterium*; (B)
585 *Lactobacillus*; (C) *Clostridium*; (D) *Escherichia coli*; (E) *Enterococcus*; (F) Total anaerobic
586 bacteria; (G) Total aerobic bacteria. Different letters indicating significant differences between
587 substrate at the same time point; Asterisks indicating significant difference compared to 0 h
588 within the same substrate *: $p < 0.05$, **: $p < 0.01$; ***: $p < 0.001$ by two-way multivariate
589 analysis of variance with Tukey post-hoc tests. MC: methylcellulose, EPS:
590 exopolysaccharides, EPS-US: exopolysaccharides treated with ultrasound; EPS-H:
591 exopolysaccharides with high molecular weight, EPS-L: exopolysaccharides with low
592 molecular weight. (Average of three fecal fermentations, error bars representing standard
593 deviation at $n = 3$).

594 **Fig. 4.** The taxonomic distribution of fecal microflora at the phylum (A), family (B) and genus
595 level (C). MC: methylcellulose, EPS: exopolysaccharides, EPS-US: exopolysaccharides

596 treated with ultrasound; EPS-H: exopolysaccharides with high molecular weight, EPS-L:

597 exopolysaccharides with low molecular weight. D1/2/3: donor 1/2/3.

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4

5 **Effects of exopolysaccharide fractions with different molecular weights and compositions**
6 **on fecal microflora during *in vitro* fermentation**

7

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16

17 **Abstract**

18 This study was to investigate the potential prebiotic function of exopolysaccharide (EPS) from
19 a medicinal fungus and the relationship to the molecular properties by *in vitro* human fecal
20 fermentation. The EPS from *Cordyceps sinensis* Cs-HK1 mycelial fermentation was processed
21 into three fractions with different monosaccharide contents, a higher molecular weight (MW)
22 and a lower MW attained by two-step ethanol precipitation, and an intermediate MW by
23 ultrasound-degradation of EPS. All the EPS fractions were well utilized during 24-48 h of fecal
24 fermentation, leading to significant increases in the short chain fatty acid (SCFA) production.
25 The consumption rate and production level of SCFAs varied slightly with the different EPS
26 fractions. The EPS also influenced the composition and diversity of the fecal microflora,
27 increasing the relative abundance of *Firmicutes* but suppressing that of *Proteobacteria*, which
28 may be a beneficial effect for human health. Overall the results have shown that the Cs-HK1
29 EPS has significant prebiotic activity which is dependent on its molecular properties.

30

31 **Keywords:** *Cordyceps sinensis* fungus; exopolysaccharides; human fecal fermentation

32

33 **1. Introduction**

34 The human intestinal microflora is a diverse microbial community which is composed mainly
35 of bacteria [1]. Among the numerous bacterial phyla that have been detected in the human gut,
36 *Firmicutes* and *Bacteroidetes* are most dominant, and Actinobacteria including *Proteobacteria*
37 and *Verrucomicrobia* are also commonly found as the minor components of microflora [2]. It
38 is generally believed that the gut microbial composition and diversity have a close association
39 with the host physiology and pathology [3]. Diet is the most probable factor which can affect
40 the microbial composition and balance [4]. The non-digestible dietary fibers and prebiotics
41 including complex polysaccharides and oligosaccharides can reach the large intestine and be
42 fermented by the gut bacteria [5]. The selective consumption of the non-digestible
43 carbohydrates by different microbial species can directly affect their proliferation and relative
44 abundance in the gut microflora [6]. On the other hand, the fermentative metabolism of
45 carbohydrates in the gut yields short chain fatty acids (SCFAs) as the end products, including
46 acetic acid, propionic acid and butyric acid, which have important and beneficial functions in
47 the host both locally and systemically [7].

48

49 Natural polysaccharides from edible plants, fungi, macro-algae and other sources have been
50 widely explored as functional food ingredients because of their notable health benefits. As most

51 of these complex polysaccharides are non-digestible, their most possible site of utilization and
52 action is in the gut microflora [8]. In this regard, increasing attention in recent studies has been
53 paid to the effects of natural polysaccharides on or associated with the gut microflora [9-11].
54 Edible mushrooms or fungi which are rich of bioactive polysaccharides have been recognized
55 as the potential source of prebiotics [12]. The Chinese caterpillar fungus *Cordyceps sinensis* is
56 a precious medicinal fungus with a wide range of bioactivities and health benefits [13]. As
57 natural *C. sinensis* is very rare, mycelial fermentation has been widely applied to produce *C.*
58 *sinensis* fungal materials to meet the high and rising demand for health food and herbal
59 medicine. Cs-HK1 is a fungus species isolated from a natural *C. sinensis* fruiting body and has
60 been applied to mycelial fermentation for production of mycelial biomass and
61 exopolysaccharides (EPS). The EPS attained from the Cs-HK1 mycelial fermentation was
62 mainly composed of heterogenous polysaccharides in a wide molecular weight (MW) range
63 and the major monosaccharide constituents include glucose (Glc), mannose (Man) and
64 galactose (Gal) [14, 15].

65

66 Our recent studies performed in pure cultures of bifidobacteria have shown the beneficial and
67 protective effect of the Cs-HK1 EPS on the probiotic bacteria [16]. However, neither the high-
68 MW native EPS nor the partially hydrolyzed low-MW EPS fractions could be well utilized as
69 a carbon source for the bifidobacterial growth. It has been suggested that the degradation and
70 consumption of non-digestible complex carbohydrates by the gut bacteria is accomplished
71 through cross-feeding of different bacterial species in the human colon [17]. The mixture
72 fermentation system is more effective and realistic for assessment of complex polysaccharides
73 in human gut microflora. Fecal fermentations (*in vitro*) have been widely used to study the
74 functions and metabolism fates of food ingredients such as natural polysaccharides [18, 19].

75

76 This work was to investigate the fermentability and prebiotic function of the Cs-HK1 EPS in
77 human fecal microflora by *in vitro* fermentation and the dependence on the composition and
78 molecular weight of EPS fractions. The monosaccharide contents of EPS and SCFAs were
79 analyzed quantitatively during the *in vitro* human fecal fermentation to assess the consumption
80 and utilization of EPS by the gut bacteria. The microbial composition and diversity of the fecal
81 microflora was examined by 16S rRNA gene analysis.

82

83 **2. Materials and methods**

84 2.1. Preparation of Cs-HK1 EPS fractions

85 As described previously, the liquid medium for Cs-HK1 mycelial fermentation consisted of 40
86 g/L glucose, 5 g/L peptone, 1 g/L KH_2PO_4 , 0.5 g/L $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$ and 10 g/L yeast extract. The
87 Cs-HK1 mycelial fermentation was carried out in shake-flasks at 20 °C for 6 days and
88 exopolysaccharide (EPS) was isolated from the Cs-HK1 liquid fermentation medium by
89 ethanol precipitation (with 80% v/v ethanol) as described in detail previously [16]. The power
90 ultrasound (US) treatment was applied to the liquid fermentation medium before ethanol
91 precipitation to produce EPS-US fraction with a lower MW and a higher water-solubility as
92 reported in previously [16, 20]. Another two EPS fractions were prepared by two-step ethanol
93 precipitation as reported previously [21, 22], firstly using 40% (v/v) ethanol to attain EPS-H
94 with a much higher MW and secondly using 80% (v/v) ethanol to obtain EPS-L with a much
95 lower MW than the EPS attained by single step precipitation using 80% (v/v) ethanol. Both the
96 strategies of using power US and two-step ethanol precipitation have been proven effective in
97 our previous studies for preparation of the desired lower MW EPS fractions. As shown in our
98 previous studies, the whole EPS attained by a single step precipitation with 80% ethanol, the
99 EPS-H and EPS-L fractions by two-step ethanol precipitation and the EPS-US after US
100 treatment were mainly composed of heterogenous polysaccharides with different average MWs.
101 All the EPS precipitates were recovered from the liquid by centrifugation at 12,000 rpm for 15
102 min, re-dissolved in a small amount of deionized (DI) water and freeze-dried as the final EPS
103 fractions for experiments. The MW of EPS fractions were analyzed by high performance gel
104 permeation chromatography (HPGPC) as reported previously [21].

105

106 Methylcellulose (MC) (15 centipoise viscosity with 2% aqueous solution at 25 °C; Sigma, Saint
107 Louis, MO, USA), a non-digestive and non-fermentable carbohydrate polymer [23, 24], was
108 included as a control for comparison with the EPS fractions in the fecal fermentation
109 experiments.

110

111 2.2. Fecal sample collection and preparation

112 Fecal material was collected from three healthy donors (D1, D2 and D3, two females and one
113 male, 26-30 years of age). All donors were free of known gastrointestinal diseases and
114 metabolic disorders and had not received antibiotic probiotic and not taken any prebiotic
115 supplements for at least 3 months prior to the sample collection. Fecal samples were collected
116 in sterile tubes and immediately stored in anaerobic jars containing anaerobic sachets
117 (Mitsubishi Gas Chemical Co., Inc., Tokyo, Japan), which were used in the fermentation
118 experiments within 30 minutes of collection. The fecal samples were 10-fold diluted with the

119 phosphate buffered saline (PBS) and homogenized for 2 min, and then centrifuged at 500 rpm
120 for 5 min to remove large particles. The remaining suspension was used as the initial inoculum
121 in the fecal culture fermentation. All these were carried out in anaerobic atmosphere according
122 to well documented protocol [25].

123

124 2.3. Fecal culture fermentation

125 The basal culture medium was consisted of peptone water (2 g/l), yeast extract (2 g/l), NaCl
126 (0.1 g/l), dipotassium phosphate (0.04 g/l), monopotassium phosphate (0.04 g/l), sodium
127 bicarbonate (2 g/l), magnesium sulfate heptahydrate (0.01 g/l), calcium chloride hexahydrate
128 (0.01 g/l), Tween-80 (2 ml/l), hemin (50 mg/l), phyloquinone (vitamin K1, 10 ml/l), L-cysteine
129 (0.5 g/l), bile salts (0.5 g/l), resazurin (1 mg/l) in distilled water [25]. The medium pH was
130 adjusted to 6.8 with 1 M HCl. The EPS fractions and MC were dissolved in the basal medium
131 at 5 mg/ml with vigorous stirring for overnight and then autoclaved at 121°C for 20 min. The
132 liquid medium was dispensed aseptically into 10-mL culture tubes at 5.4 mL each. Each tube
133 was inoculated with 0.6 ml freshly prepared fecal slurry (1:10, w/w). The fecal culture tubes
134 were enclosed in anaerobic jars containing anaerobic sachets and incubated with shaking at
135 200 rpm and 37 °C for 48 h. During the fecal fermentation, samples were collected at 0, 5, 10,
136 24 and 48 h for enumeration of the bacterial populations, 16S rRNA gene sequencing,
137 determination of carbohydrate utilization profiles, and SCFA production. A new anaerobic gas
138 generating sachet was applied each time when the jar was opened for sample collection.

139

140 2.4. Enumeration of bacterial populations on selective agar plates

141 Cultivation on selective agar plates is a rapid and convenient method for enumeration of some
142 common bacterial groups in mixed cultures by counting the viable cells (CFU/ml). Total
143 anaerobic and aerobic bacteria were counted on BHI agar; *Clostridia*, *Esherichia coli*,
144 *Staphylococcus*, *Enterococcus*, *Lactobacillus* and *Bifidobacterium* were counted respectively
145 by Tryptose Sulfite Cycloserine (TSC), MacConkey agar, Mannitol Salt Agar (MSA)
146 Enterococcus agar, MRS agar, Bifidobacterium agar (Haibo Biotechnology Co. Ltd., Qingdao,
147 China). The fecal slurry was diluted with PBS sequentially from 10⁻¹ to 10⁻¹² according to
148 preliminary results, then 100 µl was spread onto each agar plate. After incubation in anaerobic
149 or aerobic atmosphere at 37 °C for 48 h, the number of colonies on the plate was counted.

150

151 2.5. DNA extraction, 16S rRNA gene sequencing and microbial composition analysis

152 Since culture-based analysis is limited to enumeration of certain bacterial species [26], genomic

153 analysis was performed for enumeration of the many bacterial species, and for assessment of
154 the microbial composition and diversity of fecal microflora. The fecal culture samples were
155 centrifuged at 12,000 rpm for 5 min and the sediment was collected for the gene sequencing
156 and the supernatant analysis for monosaccharide (1.4 ml) and SCFA analysis (0.2 ml). DNA
157 extraction was carried out of the solid samples using Tiangen stool DNA extraction kit
158 (Tiangen, Beijing, China) according to the manufacturer's manual. The microorganism DNA
159 concentration was determined by NanoDrop 2000 (Thermo Fisher, Massachusetts, USA) to be
160 6-100 ng/ μ l, total initiation mass \geq 30 ng. The 16S rRNA gene sequencing was conducted by
161 BGI (Shenzhen, China). The database used for species annotation was Greengene (default):
162 V201305[8]; RDP: Release11_5,20160930.

163

164 2.6. Analysis of monosaccharides in EPS

165 The monosaccharide composition of EPS fractions was analysed by liquid chromatography-
166 mass spectrometry (LC-MS) as reported previously [27] with minor modifications. The
167 monosaccharide standards (d-Arabinose, d-fructose, d-galactose, d-glucose, L-rhamnose
168 monohydrate, d-mannose, d-glucose $^{13}\text{C}_1$) and trifluoroacetic acid (TFA), HPLC grade
169 acetonitrile (ACN), and methanol (MeOH) were purchased from Sigma-Aldrich (St. Louis,
170 MO, USA). Stock solutions of monosaccharides and internal standard d-glucose $^{13}\text{C}_1$ were
171 prepared at 10 mg/mL in Milli-Q water. Each of the stock solutions was diluted with the LC
172 solvent ACN/ H_2O (50:50 v/v) before being injected into the LC system. External calibration
173 standard solutions were prepared in the range of 0.5 to 50 $\mu\text{g}/\text{mL}$, each containing 1 $\mu\text{g}/\text{mL}$ d-
174 glucose $^{13}\text{C}_1$. All these solutions were stored at 4 $^\circ\text{C}$ before use.

175

176 The EPS samples (5 mg) were dissolved in 1.4 ml of Milli-Q water with stirring for overnight.
177 The supernatant (1.4 ml) collected from the fecal fermentation samples in section 2.5 was also
178 analysed. Each of the sample solutions was mixed with 0.6 ml of TFA (4 M TFA final
179 concentration) and hydrolysed at 100 $^\circ\text{C}$ for 8 h. Excessive TFA was removed by vacuum
180 evaporation and the residue was redissolved in 2 mL of water. Further clean-up was
181 accomplished by solid phase extraction (SPE). The SPE cartridge was preconditioned by 3 ml
182 of methanol and then 3 ml of ultrapure water. The sample solution was loaded onto the cartridge
183 and the eluent was collected, evaporated to dryness under vacuum, and then redissolved in
184 about 2 mL or more of ACN/ H_2O (50:50 v/v) for the LC/MS/MS analysis. D-glucose $^{13}\text{C}_1$
185 (0.01 mg) as an internal standard was added to the solution. For evaluation of matrix effects,
186 the vacuum-dried residue was redissolved in 2 mL of ACN/ H_2O (80:20 v/v) and was used to

187 prepare matrix-containing standard solution. The treated samples were diluted to desired
188 concentrations by ACN/H₂O (80:20 v/v) before injection.

189

190 The LC separation was achieved with a normal phase method on an ACQUITY UPLC® BEH
191 amide column (2.1mm×100mm, 1.7 μm particles; Waters, Milford, Massachusetts, USA) and
192 a mobile phase flow rate of 0.3 ml/min. The mobile phase was consisted of water (A) and
193 acetonitrile (B), MS Grade (Honeywell, Burdick and Jackson, Morristown, NJ, USA). The
194 elution was carried out primarily by a linear gradient over 30 min from 95 to 60 % (v/v) mobile
195 phase B, followed by a column wash and re-equilibration. Column was maintained at 20 °C
196 and auto-sampler plate set at 4 °C. An Agilent 6460 HPLC system (Santa Clara, Foster City,
197 CA, USA) was coupled in-line with an electrospray ionisation triple quadrupole mass
198 spectrometer (Santa Clara, Foster City, CA, USA) equipped with an Agilent Jet Stream
199 electrospray ionisation (AJS ESI) source. Ions were detected by multiple-reaction monitoring
200 (MRM) method in negative polarity with a dwell time of 200 ms and the following source
201 conditions: gas temperature at 300 °C, gas flow at 8 l/min, nebulizer pressure at 45 psi, sheath
202 gas temperature at 320 °C, sheath gas flow at 11 l/min, capillary positive voltage at 3500 V
203 (positive) and 3000 V (negative), nozzle voltage at 500 V. Data acquisition was performed
204 using MassHunter Quantitative Analysis software B.07.00.

205

206 2.7. Analysis of short chain fatty acids

207 Short chain fatty acids (SCFAs) in the fecal cultures were analysed by Gas Chromatograph-
208 Flame Ionization Detection (GC-FID) using an Agilent 7980B GC system and a fused silica
209 capillary column (Agilent Technologies Inc., Palo Alto, CA, USA) as described previously
210 [16]. In brief, 0.2 ml of fecal culture supernatant was diluted by 0.8 ml of Milli-Q water and
211 the pH was adjusted to 2-3 with 1 M HCl. An internal standard (2-ethylbutyric acid) was added
212 at 1 nM final concentration before injection into the GC system. Nitrogen gas was applied as
213 the mobile phase flowing at 0.6 ml/min. The initial oven temperature was maintained at 80 °C
214 for 2 min and raised gradually to 180 °C at 6 °C/min, and maintained for 4 min. The injection
215 volume was 1 μl and the temperature was controlled at 200 °C, and the detector temperature at
216 220 °C. Six SCFA standards (Aladdin®, Shanghai, China) were used for identification and
217 quantification, including acetic acid, propionic acid, *n*-butyric acid, *i*-butyric acid, *n*-valeric
218 acid, and *i*-valeric acid. The *n*-butyric and *i*-butyric acid were combined in the analysis.

219

220 2.8. Statistical analysis

221 One-way ANOVA was used to compare the monosaccharide composition of EPS fractions
222 after fecal fermentation. Two-way ANOVA with Tukey post-hoc test was used for the
223 comparison of cfu among different groups. Kruskal-Wallis test was used to compare the
224 operational taxonomic unit (OTU) difference between MC and experimental groups. The
225 paired *t*-test was used to compare different taxonomic compositions between experimental and
226 control groups [28]. The *p* value < 0.05 indicated a significant difference. SPSS 23.0 was
227 applied for statistical analysis.

228

229 **3. Results and discussion**

230 3.1. Monosaccharide composition of different EPS fractions

231 Table 1 shows the molar ratios of constituent monosaccharides in the different EPS fractions.
232 All EPS fractions were composed mainly of mannose, glucose, galactose and fructose with
233 different molar ratios. EPS and EPS-US had the similar molar composition of four
234 monosaccharides, suggesting that ultrasonic degradation did not cause chemical or structural
235 change in the EPS molecule. Compared with EPS and EPS-US, EPS-H had a much higher ratio
236 of glucose (6.83 to mannose) and EPS-L had a much lower ratio of glucose and a much higher
237 ratio of galactose (0.34 and 2.02 to mannose, respectively).

238

239 As the Cs-HK1 EPS was resistant to hydrolysis by digestive enzymes and simulated gastric
240 acid [29], the undigested EPS fractions were used in the following experiments.

241

242 3.2. Consumption of EPS during fecal fermentation

243 Fig. 1 shows the changes in the total concentration of monosaccharides in the culture media
244 containing MC and EPS fractions after complete TFA hydrolysis during the *in vitro* fecal
245 fermentation. The total monosaccharide concentration in the MC medium did not show any
246 change (ANOVA, *p* = 0.880), implying that MC was not utilized during the fermentation and
247 confirming its role as a non-fermentable carbohydrate polymer control. In contrast, the total
248 monosaccharide concentrations in the cultures with the four EPS fractions all dropped sharply,
249 to about 20% or below 5%, from 10-24 hours during the fecal fermentation, suggesting their
250 consumption by the gut flora. The consumption of most EPS fractions except EPS-H took place
251 mainly between 10-24 h after the inoculation, due probably to that the period of 0-10 h was in
252 the lag period and the period of 24-48 h was in the stationary phase of the fecal fermentation
253 [16]. As for EPS-H, the consumption or reduction of total monosaccharides remained steady
254 from 10 to 48 h, suggesting a slower fermentation rate as well as a longer growth period than

255 the fecal cultures with other three EPS fractions. The slower consumption of EPS-H than the
256 other EPS fractions was probably attributed to its higher MW, causing a higher viscosity in the
257 culture medium and slower nutrient uptake and slower metabolism. This was in good
258 agreement with the finding in our previous study that the higher MW EPS was more effective
259 to extend the growth and survival of bifidobacteria [29]. Moreover, the EPS-US was consumed
260 more rapidly than EPS, due probably to the increased bioavailability by the ultrasonic
261 degradation of EPS [21].

262

263 Table 2 shows the molar ratios of monosaccharides in the EPS fractions before and after 48 h
264 of fecal fermentation. The complete data (5, 10 and 24 h) was shown in Table S2. For EPS-US,
265 the molar ratio of glucose decreased more dramatically, while that of galactose increased
266 significantly over the fermentation period. This suggests that the majority of fecal bacterial
267 community preferred glucose as the major carbon source than galactose or mannose. In contrast,
268 for the EPS-H and EPS-L fractions, galactose was consumed more during the fermentation. It
269 is possible that the EPS-H and EPS-L was taken up as a whole and consumed by the fecal
270 bacteria. It has been suggested that polysaccharides are mostly transported into and
271 metabolized within probiotic bacterial cells [30, 31], and the metabolism of polysaccharides in
272 the gut microflora is dependent on their molecular properties [32].

273

274 3.3. Medium pH change and SCFA production during fecal fermentation

275 Compared with the control MC fermentation, all the fecal fermentation with the EPS fractions
276 experienced an accelerated pH drop from 10 h to 24 h (Fig. 2A). In addition, the fermentation
277 fed with EPS-H exhibited a slower pH drop between 10-24 h and a much faster drop between
278 24-48 h than the cultures with the three low MW EPS fractions. In association with the pH drop
279 in the fecal cultures, the concentrations of three SCFAs, including acetic acid (Fig. 2B),
280 propionic acid (Fig. 2C) and butyric acid (Fig. 2D) showed a notable ($p < 0.05$) increase from
281 10 to 24 h and a further, and smaller increase from 24 to 48 h. The SCFAs in the cultures fed
282 with the EPS fractions increased to a much higher level than in the MC culture. Among the
283 three SCFAs, acetic acid was the most abundant and butyric acid was the lowest in the cultures
284 with the four EPS fractions. Another observation was that the butyric acid in the culture fed
285 with EPS-H was notably higher (8.67 mM) than in the other three EPS cultures (3.38-4.58
286 mM). Compared with other EPS fractions, EPS-H had a much higher glucose content (Table
287 1), which may be a contributing factor to the high rate of butyric acid production as suggested
288 previously that β -glucans composed of glucose increased the butyric acid formation in intestine

289 [33, 34]. The relative level of pH changes in the fermentation medium with various EPS
290 fractions did not match with the level of EPS consumption, due probably to that the
291 consumption of EPS by the fecal bacteria was not all used for acid production. A practical point
292 is that the batch fecal fermentation is one of the most convenient and economic models for
293 studying the fermentability and metabolism of dietary compounds by gut microflora [35].

294

295 3.4. Bacterial population distributions in fecal microflora

296 Fig. 3 shows the cfu values in the control (with MC) and test groups (with EPS fractions) which
297 was enumerated by selective agar cultivation during fecal fermentation. The cfu values in all
298 groups increased with culture time and the change showed no obvious dependence on the
299 different EPS fractions. However, the cfu values of *Bifidobacterium* (Fig. 3.A), *Clostridium*
300 (Fig. 3.C), the total anaerobic (Fig. 3.F) and aerobic bacteria (Fig. 3.G) at 10 h were notably
301 higher in most of the EPS groups than the MC group, though the difference did not last long
302 and diminished at 24 or 48 h. The cfu values indicated the viability of bacteria. In our previous
303 studies, the higher MW EPS fractions including EPS and EPS-US could significantly protect
304 the viability or survival of several bifidobacterial strains in pure cultures based on the cfu
305 values, though they were not well utilized as a carbon source for the growth (based on the
306 bacterial cell concentration quantified by optical density (OD)) [29]. In contrast, although the
307 EPS fractions were well utilized in the fecal cultures, leading to a significant increase in the
308 SCFA production, they did not offer any protective effect on the viability and survival, with
309 little or no increase in the cfu in the later culture stage (24 - 48 h), especially the EPS-L (nearly
310 no difference compared with MC). The ability of mixed fecal cultures to ferment the complex
311 EPS can be attributed to the availability of all the required enzymes in various bacteria for the
312 degradation of the polysaccharide bonds. On the other hand, the degradation and utilization of
313 EPS by the bacteria resulted in the lack of protective effect in the mixed fecal cultures.

314

315 3.5. Effect of EPS on diversity of fecal microflora

316 There was no shift in the microbial diversity observed in the fecal microflora with MC or any
317 of the EPS fractions (Kruskal-Wallis test, p -value = 0.556) (Supplemental data Fig. S1). In
318 contrast, the fecal materials from different donors affected the results of *in vitro* fecal
319 fermentation (Kruskal-Wallis test, p -value = 0.022) (Fig. S2), which contributed to the reduced
320 richness in D3 incubations (Dunn's test, p -value < 0.1). Although the diversity or richness of
321 bacterial populations is generally regarded as an indicator for the healthy balance and resilience
322 of gut microflora [36], some previous studies have observed that the high microbiome richness

323 indices were associated with gastrointestinal disorders including prolonged transit times [37],
324 hard stools [38], and increased proteolytic fermentation [39]. This signifies a complex
325 relationship between community richness and gut health.

326

327 3.6. Effect of EPS on composition of fecal microflora

328 Fig. 4 shows the taxa relative abundance after 24 h of fecal fermentation with EPS and MC in
329 the matching donor fecal slurries at different levels for identifying substrate specific responsive
330 genera. On the phylum level (Fig. 4A), the Cs-HK1 EPS fractions resulted in increased
331 *Firmicutes* relative abundance (paired *t*-test, EPS-H, $p = 0.042$; EPS-L, $p = 0.006$) at the
332 expense of *Proteobacteria* relative abundance (EPS, $p = 0.031$; EPS-H, $p = 0.013$; EPS-L, $p =$
333 0.014) (Supplemental data Table S2). At the family level (Fig. 4B), the EPS fractions decreased
334 the relative abundance of *Desulfovibrionaceae* (EPS, $p = 0.043$; EPS-US, $p = 0.034$; EPS-H, $p =$
335 0.045 ; EPS-L, $p = 0.033$) and *Enterobacteriaceae* (EPS, $p = 0.029$; EPS-H, $p = 0.008$; EPS-
336 L, $p = 0.017$). At the genus level (Fig. 4C), the EPS fractions induced specific changes in fecal
337 microbial composition. The genera *Escherichia* of *Enterobacteriaceae* family (EPS, $p = 0.018$;
338 EPS-H, $p = 0.015$; EPS-L, $p = 0.012$), *Bilophila* of *Desulfovibrionaceae* (EPS-US, $p = 0.048$;
339 EPS-L, $p = 0.049$), *Parabacteroides* (EPS-US, $p = 0.026$) and *Phascolarctobacterium* family
340 (EPS-US, $p = 0.029$) were reduced after fermentation with the EPS fractions (Table S3). In
341 contrast, only one significant increase ($p = 0.029$) was observed with the low MW EPS-L in
342 the *Phascolarctobacterium* relative abundance. In general, the EPS fractions reduced the
343 relative abundance of the *Proteobacteria* phylum and had an insignificant effect on the
344 *Bifidobacterium* relative abundance ($p > 0.05$). In comparison, EPS-US and EPS-L had a
345 broader impact on the compositions of different microbial populations, causing more
346 significant changes on relative abundances of three genera. The different effects of EPS
347 fractions on gut microflora may be due to their different molecular properties.

348

349 The alternation of gut microbial composition is widely believed to be linked with numerous
350 local and systemic disorders [40], though the mechanisms are far from being understood. One
351 of the most common ecological patterns observed during intestinal microbial dysbiosis is an
352 expansion of bacterial population of the phylum *Proteobacteria* [41], which is the most diverse
353 phylum of bacteria associated with many diseases [42]. For example, there is mounting
354 evidence for the association of *E. coli* with gastrointestinal disorders, particularly in
355 inflammatory diseases such as Crohn's disease and ulcerative colitis [43, 44]. Therefore, the
356 significant reduction of *Proteobacteria* (particularly *E. coli*) ratio with the EPS fractions

357 observed in this study may lead to a beneficial effect of EPS on human health through their
358 action on the gut microflora.

359

360 There was no significant change ($p > 0.05$) in the relative abundance of *Bifidobacterium* with
361 the addition of EPS fractions (Table S4). This may be due to the very low abundance of this
362 genus in the fecal material of the three donors (0.24%, 0.26% and 1.01%, respectively), which
363 could not compete with other abundant genera to utilize the polysaccharides [45]. Additionally,
364 although the butyrate content was increased by the EPS, there was no significant change in the
365 relative abundance of the butyrate-producing bacteria (including *Eubacterium*,
366 *Faecalibacterium* and *Roseburia*) (Table S4). Indeed, the effect on microbial composition
367 alone is insufficient to tell if the EPS are beneficial or not on the gut health [46]. To minimize
368 the variation among donors, the enterotype classification may also be considered in future
369 studies [47, 48].

370

371 **4. Conclusions**

372 Our present study has demonstrated the fermentability and consumption in fecal microflora of
373 a complex, high-MW EPS produced by a medicinal fungus Cs-HK1 and the dependence on the
374 molecular properties of different EPS fractions. The EPS fractions with different MWs and
375 compositions derived from the EPS were all well utilized to produce SCFAs during fecal
376 fermentation. Despite the heterogeneous composition of the fecal microflora among different
377 donors and the limitations of in vitro fermentation model, the fecal fermentation experiments
378 were simple and effective for evaluation of various EPS fractions for their effects on the
379 diversity and composition of fecal microflora. Although no statistically significant changes
380 were found on the presumably beneficial genera, the results still suggested the beneficial effect
381 of EPS toward a healthier gut microbial ecosystem. Further studies through animal experiments
382 are warranted to assess and verify the prebiotic function and related health benefits of EPS.

383

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388

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552 **Table 1** Properties and composition of EPS fractions

EPS fractions	MW (Da)	[η] dl/g	Molar ratio of monosaccharides			
			Man	Fru	Glc	Gal
EPS	1.93×10^8	6.03	1.00 *	0.03	2.57	0.97
EPS-US	2.30×10^7	4.65	1.00	0.03	3.32	1.16
EPS-H	2.57×10^8	12.47	1.00	0.07	6.83	1.04
EPS-L	1.76×10^7	ND	1.00	0.01	0.34	2.02

553 * Standard variation (SD) < 5% for all data; ND: not detectable

554 MC: methylcellulose, EPS: exopolysaccharides, EPS-US: exopolysaccharides treated with
 555 ultrasound; EPS-H: exopolysaccharides with high molecular weight, EPS-L:
 556 exopolysaccharides with low molecular weight.

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559 **Table 2** The change of monosaccharide composition (molar ratio) of MC and EPS fractions
 560 over 48 hours of fecal fermentation (total molar ratio 100%)

	Time (h)	mannose	fructose	glucose	galactose
MC	0	ND	0.30 ± 0.02 c	99.7 ± 1.03 a	ND
	48	ND	0.56 ± 0.02 ab	99.4 ± 1.87 a	ND
EPS	0	22.2 ± 5.00 b	0.59 ± 0.10 b	54.5 ± 3.00 ab	22.7 ± 3.00 b
	48	34.6 ± 3.77 a	1.57 ± 0.19 a	24.8 ± 2.57 c	39.0 ± 4.82 a
EPS-US	0	18.9 ± 1.00 b	0.61 ± 0.05 a	59.2 ± 1.00 a	21.3 ± 1.00 c
	48	13.0 ± 0.22 c	0.16 ± 0.01 c	23.9 ± 0.29 c	62.9 ± 2.30 a
EPS-H	0	5.32 ± 0.90 a	0.61 ± 0.10 a	88.0 ± 7.00 a	6.12 ± 0.50 a
	48	6.88 ± 0.12 a	ND b	93.1 ± 0.26 a	ND d
EPS-L	0	31.7 ± 1.00 a	0.34 ± 0.10 a	5.50 ± 0.50 c	62.5 ± 5.00 a
	48	36.8 ± 0.89 a	ND b	16.6 ± 0.03 a	46.6 ± 0.68 b

561 MC: methylcellulose, EPS: exopolysaccharides, EPS-US: exopolysaccharides treated with
 562 ultrasound; EPS-H: exopolysaccharides with high molecular weight, EPS-L:
 563 exopolysaccharides with low molecular weight. ND: not detectable. ANOVA was used.
 564 Different letters a, b and c mean significant difference ($p < 0.05$) from each other in the same
 565 column with the same substrate fermentation. (Average of three fecal fermentations, error bars
 566 representing standard deviation at $n = 3$).

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570 **Fig. 1.** The changes of total monosaccharide concentration during *in vitro* fermentation of fecal
571 samples with MC and different EPS fractions. Total monosaccharides at 0 h was normalized to
572 100%. Average of three fecal fermentations, error bars representing standard deviation at $n =$
573 3. MC: methylcellulose, EPS: exopolysaccharides, EPS-US: exopolysaccharides treated with
574 ultrasound; EPS-H: exopolysaccharides with high molecular weight, EPS-L:
575 exopolysaccharides with low molecular weight.

576 **Fig. 2.** The changes of pH (A) and the concentrations (mM) of acetic acid (B), propionic acid
577 (C) and butyric acid (D) during *in vitro* fermentation of fecal samples with MC and different
578 EPS fractions. MC: methylcellulose, EPS: exopolysaccharides, EPS-US: exopolysaccharides
579 treated with ultrasound; EPS-H: exopolysaccharides with high molecular weight, EPS-L:
580 exopolysaccharides with low molecular weight. (Average of three fecal fermentations, error
581 bars representing standard deviation at $n = 3$)

582 **Fig. 3.** Colony form units (cfu) of different groups of bacteria at 0-48 h during *in vitro*
583 fermentation of fecal samples with MC and different EPS fractions: (A) *Bifidobacterium*; (B)
584 *Lactobacillus*; (C) *Clostridium*; (D) *Escherichia coli*; (E) *Enterococcus*; (F) Total anaerobic
585 bacteria; (G) Total aerobic bacteria. Different letters indicating significant differences between
586 substrate at the same time point; Asterisks indicating significant difference compared to 0 h
587 within the same substrate *: $p < 0.05$, **: $p < 0.01$; ***: $p < 0.001$ by two-way multivariate
588 analysis of variance with Tukey post-hoc tests. MC: methylcellulose, EPS:
589 exopolysaccharides, EPS-US: exopolysaccharides treated with ultrasound; EPS-H:
590 exopolysaccharides with high molecular weight, EPS-L: exopolysaccharides with low
591 molecular weight. (Average of three fecal fermentations, error bars representing standard
592 deviation at $n = 3$).

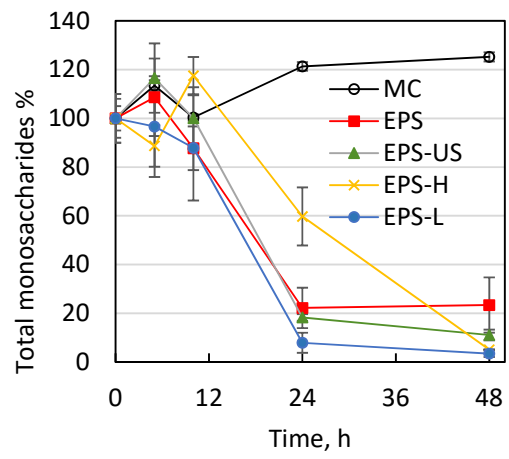
593 **Fig. 4.** The taxonomic distribution of fecal microflora at the phylum (A), family (B) and genus
594 level (C). MC: methylcellulose, EPS: exopolysaccharides, EPS-US: exopolysaccharides

595 treated with ultrasound; EPS-H: exopolysaccharides with high molecular weight, EPS-L:

596 exopolysaccharides with low molecular weight. D1/2/3: donor 1/2/3.

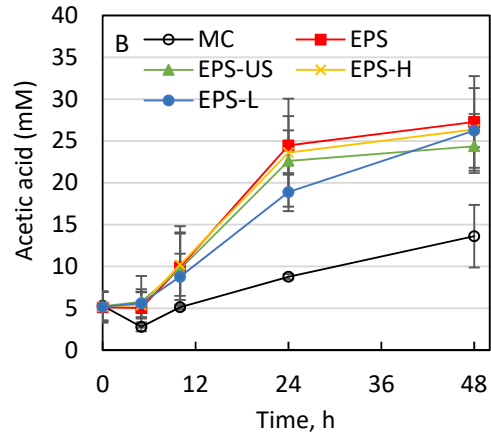
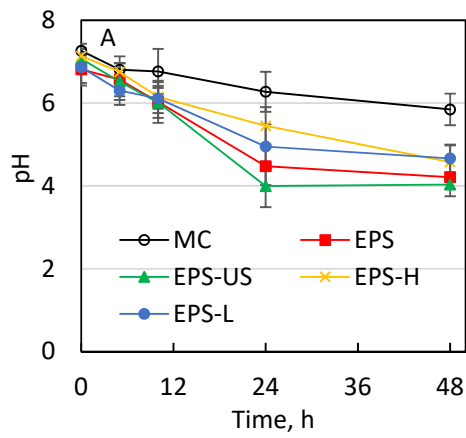
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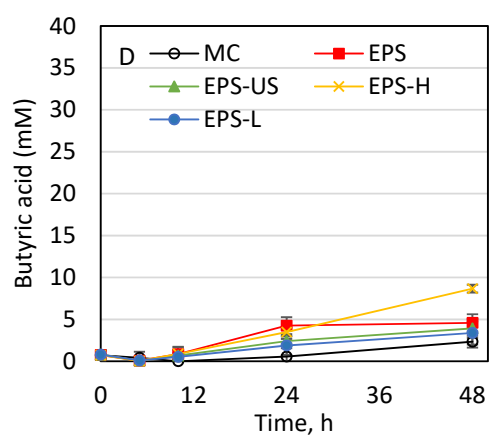
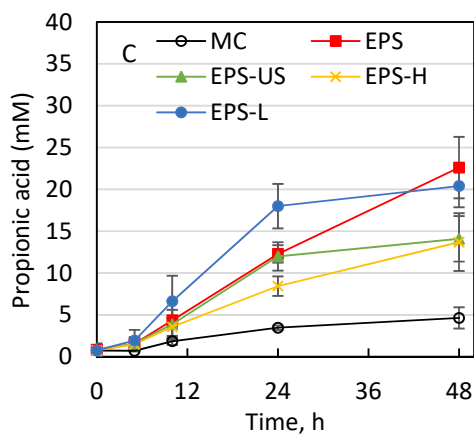


600 <Fig. 1 Int. J. Biol. Macromol, Mao et al>
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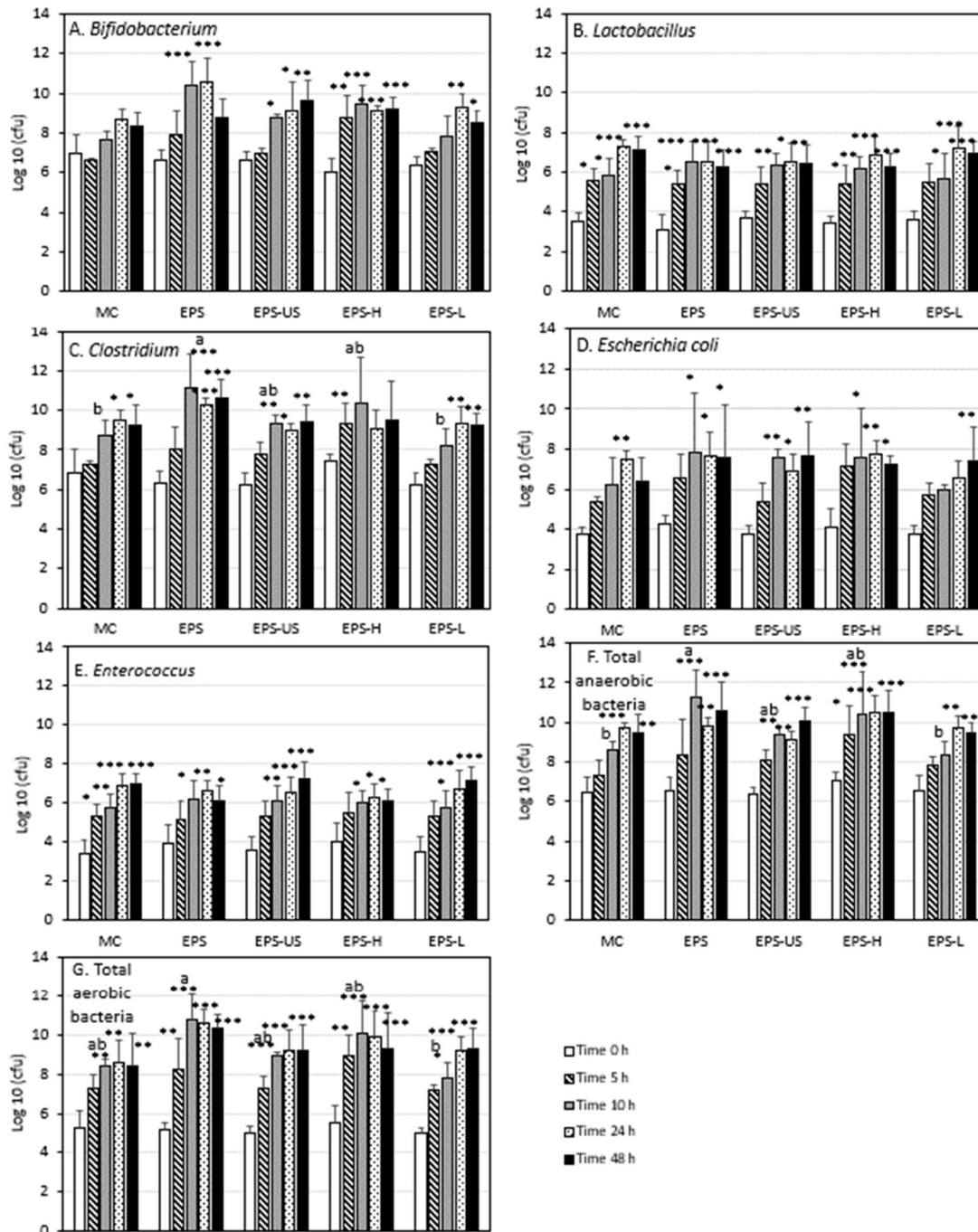
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605 <Fig. 2 Int. J. Biol. Macromol, Mao et al>

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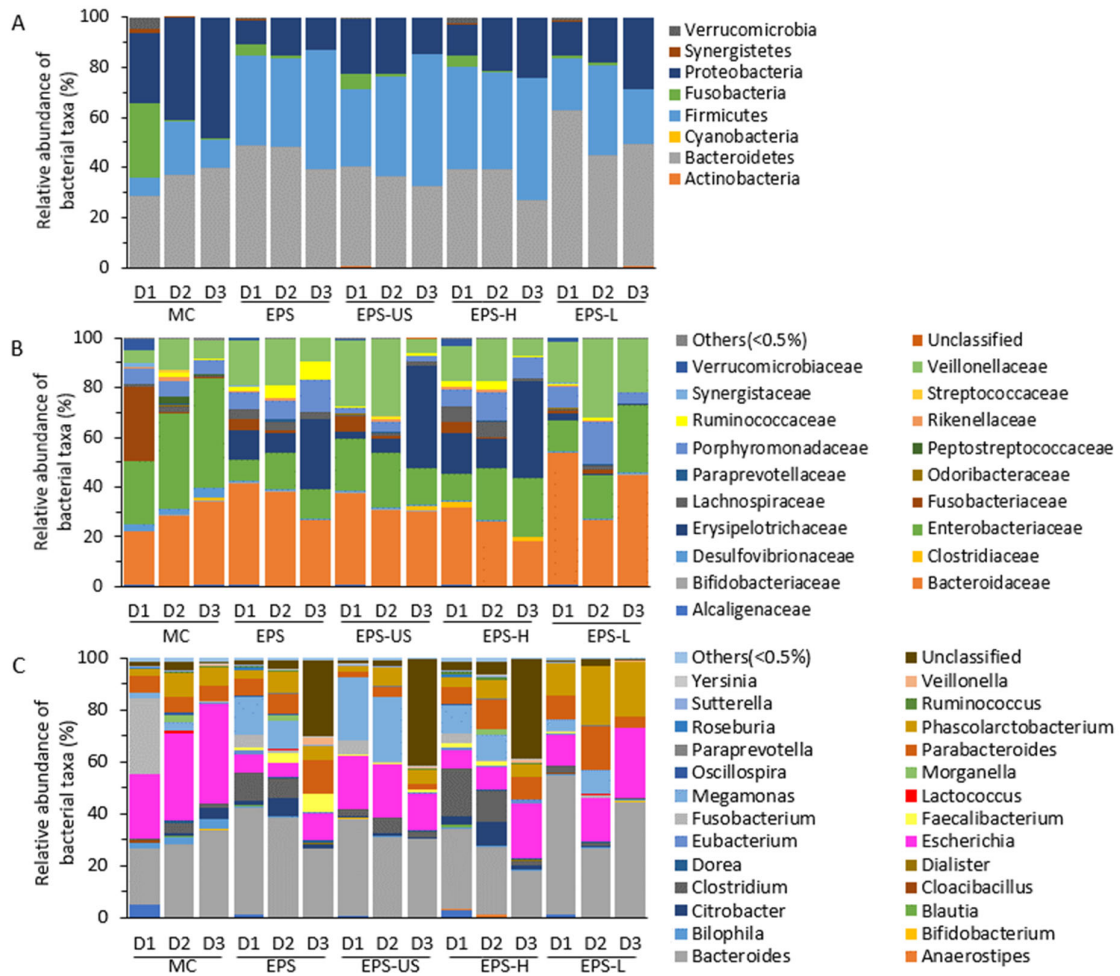


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<Fig. 3 Int. J. Biol. Macromol, Mao et al>



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612 <Fig. 4 Int. J. Biol. Macromol, Mao et al>

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